

# Capri Summer School 2022

## Theory of Twisted Bilayer Graphene

Ashvin Vishwanath  
Harvard University

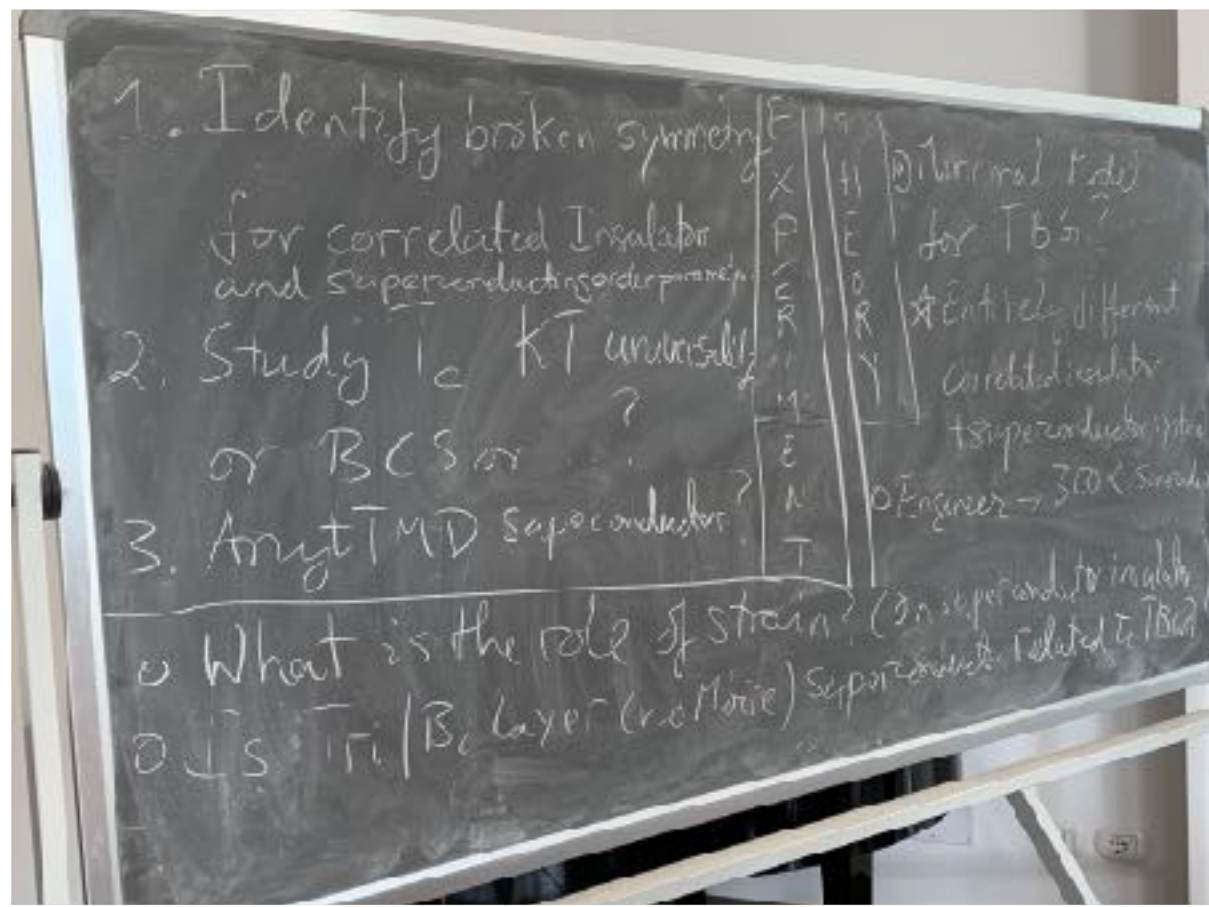
Lecture Notes for More Technical Details:

Strong Coupling Theory of Magic-Angle Graphene: A Pedagogical Introduction

Ledwith, Khalaf AV

(P.W. Anderson Special Issue). <https://arxiv.org/pdf/2105.08858.pdf>

# OPEN QUESTIONS -Crowdsourced

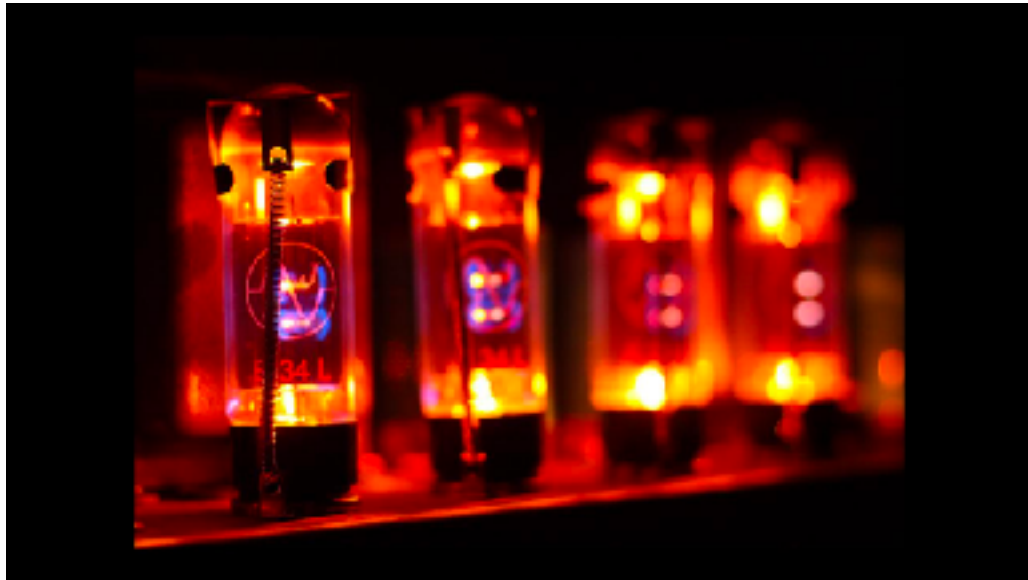


1. Experimentally, identify the broken symmetry of the correlated insulators and the pairing symmetry of the superconductor.
2. What is the nature of the transition into the superconductor KT/BCS..?
3. What is the role of strain and disorder in magic angle graphene?
4. Are there any TMD moire' superconductors? Is there an entirely different setting for correlated insulators+superconductors in moire materials different from the twisted bilayer and alternating multilayers.
5. Theoretically, what is the minimal model for TBG?
6. Can we engineer a 300k superconductor using the lessons from moire' materials?

# OUTLINE

- Lecture 1 - **Preliminaries**, the chiral model, *wave functions*, from bilayer to  $n=3,4,5..$
- Lecture 2 - **Correlated Insulators** - exact solutions, Hartree Fock, topology and  $\sigma$  model.
- Lecture 3 - **Superconductivity** - disordered  $\sigma$  model.
- Lecture 4 - **Fractional Chern insulators** in magic angle graphene

# Crystals - Artificial Vacuum for Electrons



**Vacuum Tubes <1960s**

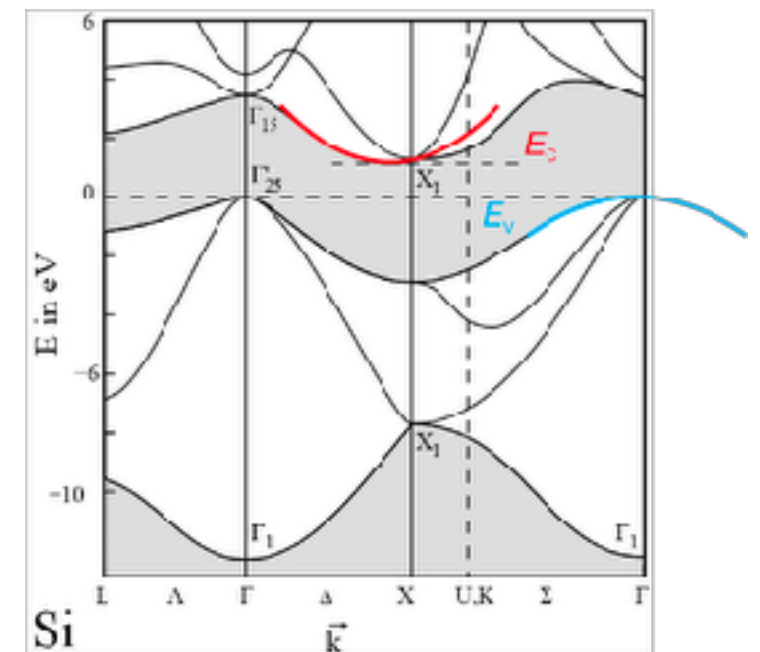


**Transistor**



“A sudden gasp filled the room when he flicked on an oscillator circuit, and it emitted a shrill tone instantaneously, with no warmup delay whatsoever.”

Demonstration of the Transistor 1948  
From - Crystal Fire



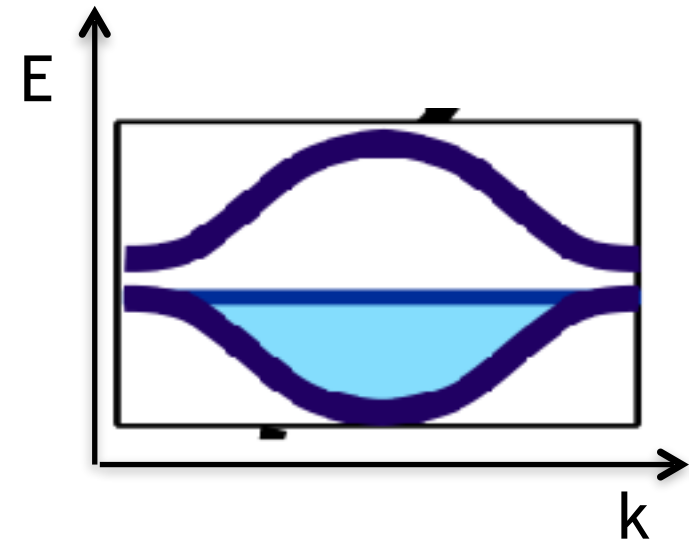
Modify properties of the electron  
Effective mass, electrons+holes etc.



# Qualitatively new effects?

- Semi-classical theory of electrons in a crystal

$$\begin{aligned}\dot{x} &= \nabla_k \mathcal{E}(k) + \dot{k} \times \tilde{B} \\ \dot{k} &= -\nabla_r \mathcal{V}(r) + e\dot{x} \times B\end{aligned}$$

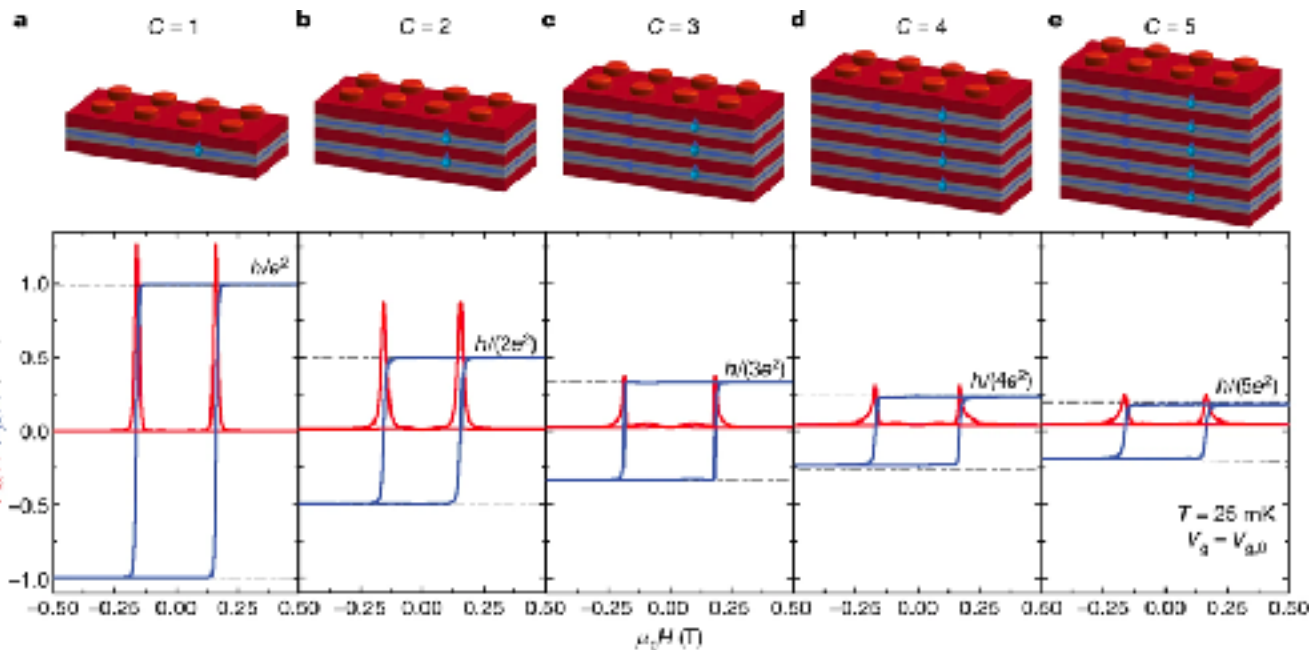


- Symmetry restored in a crystal - Berry Flux  $\tilde{B}$  leads to an anomalous velocity.
- Berry Flux is related to the Berry's phase acquired by states in the band. “Quantum Geometry” of bands.

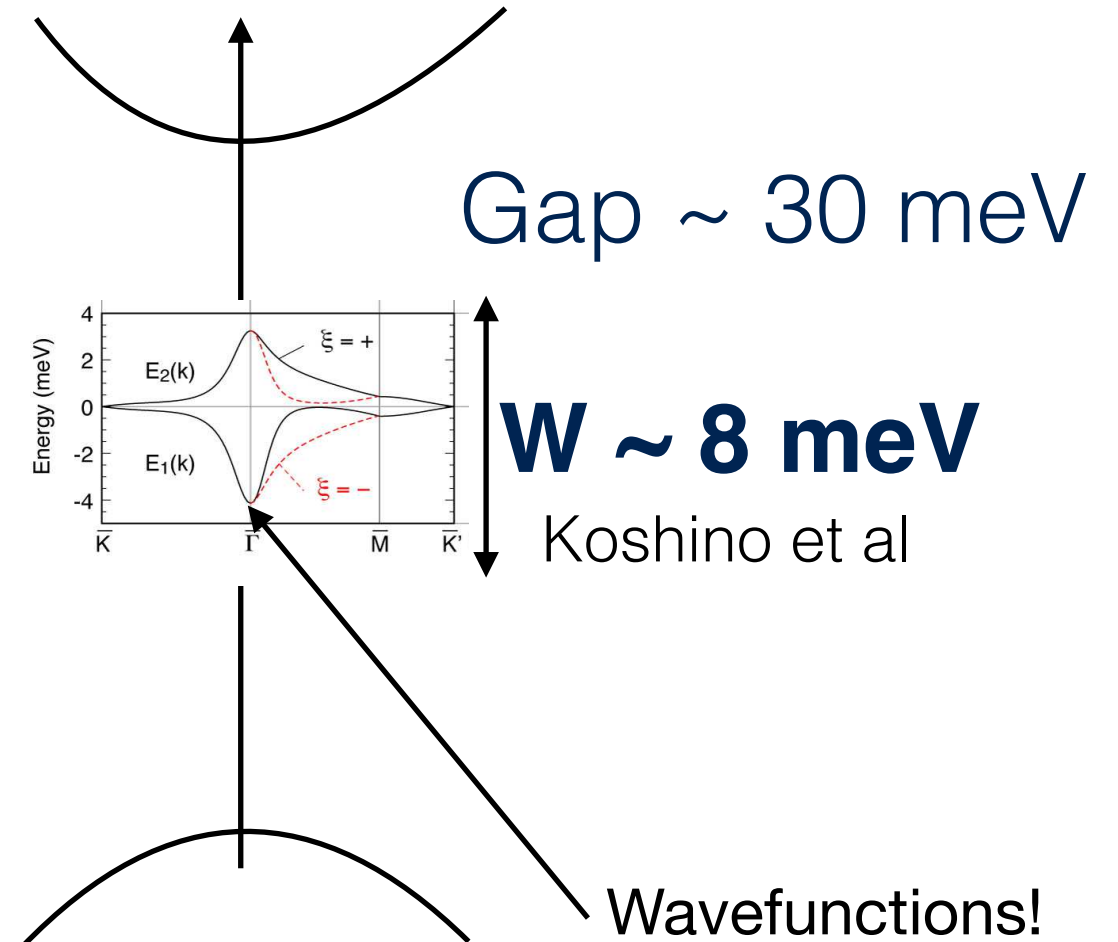
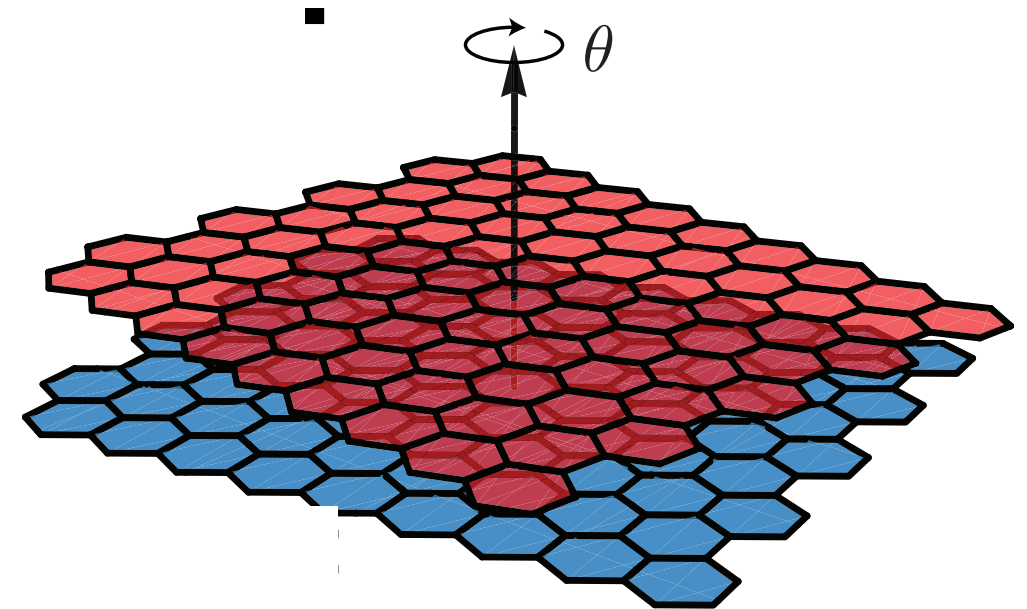
# Tuning the Topology and Geometry of Bands

## Quantized Hall Conductance in a Two-Dimensional Periodic Potential

D. J. Thouless, M. Kohmoto,<sup>(a)</sup> M. P. Nightingale, and M. den Nijs  
 Department of Physics, University of Washington, Seattle, Washington 98195  
 (Received 30 April 1982)



(Bi,Sb)<sub>2-x</sub>Cr<sub>x</sub>Te<sub>3</sub> Zhao et al. Nature 2020



# 0. Recall Some Properties of Graphene

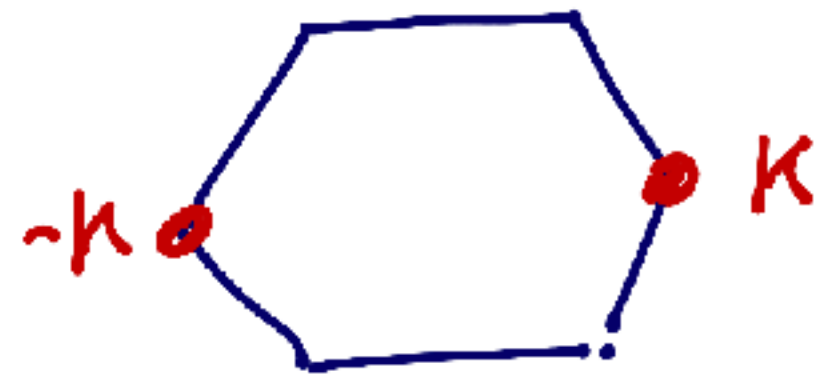
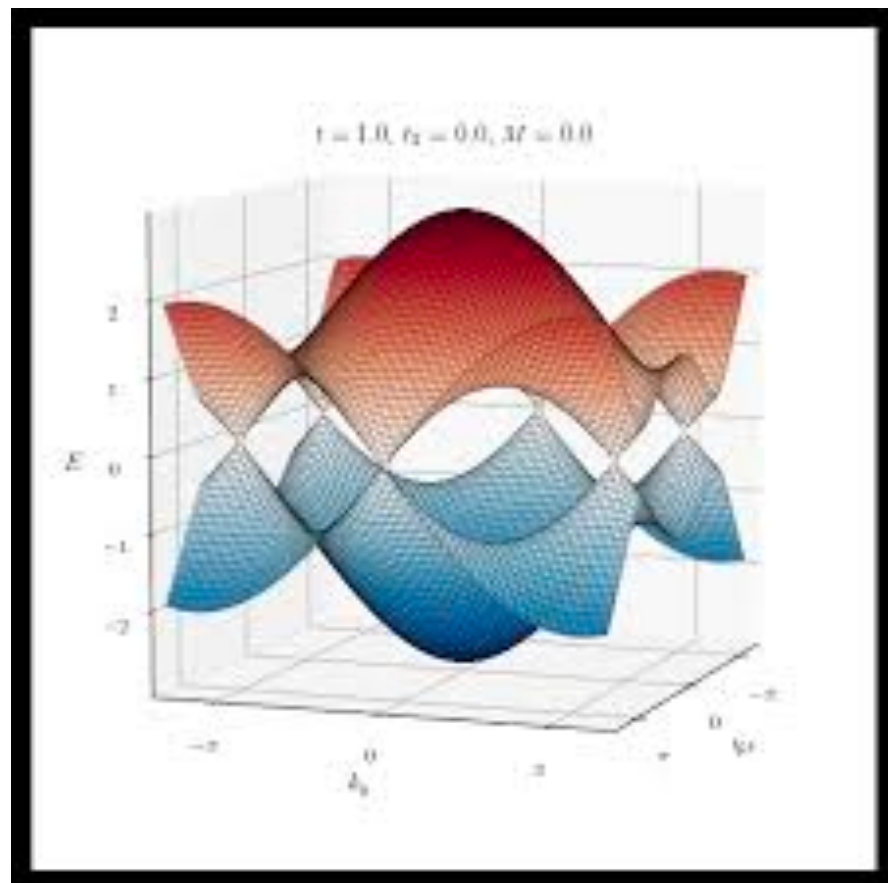
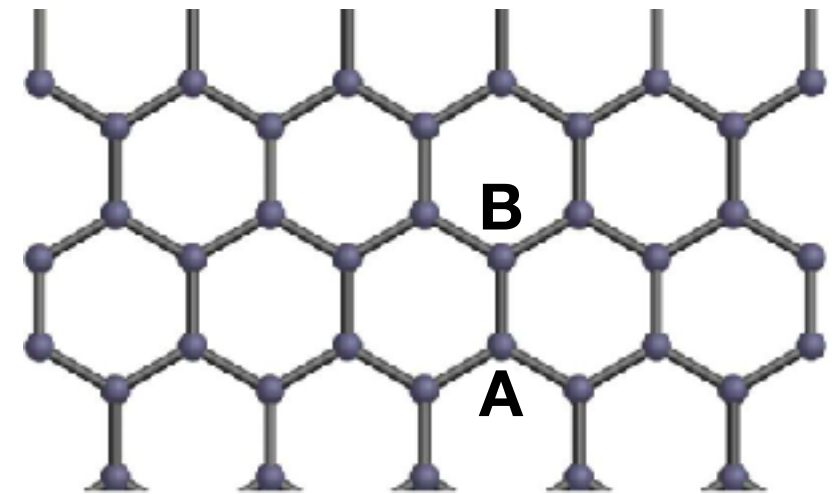
# Graphene and Dirac Points

$$H_k = \vec{d}_k \cdot \vec{\sigma}$$

$$d_x = -t (\cos k \cdot a_1 + \cos k \cdot a_2 + \cos k \cdot a_3)$$

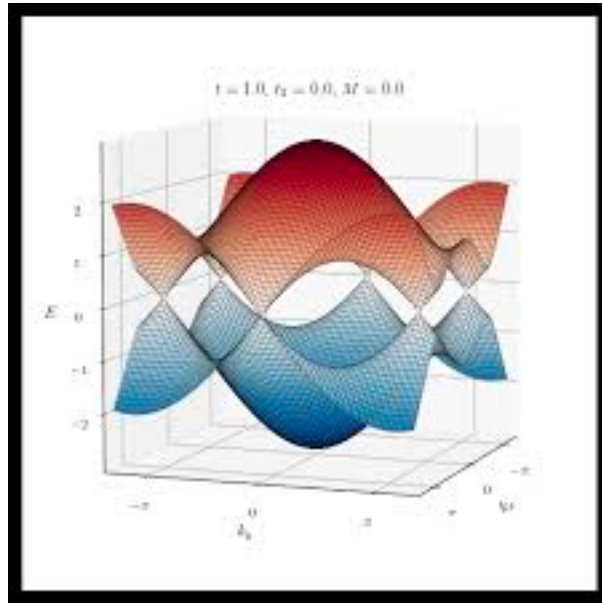
$$d_y = -t (\sin k \cdot a_1 + \sin k \cdot a_2 + \sin k \cdot a_3)$$

$$d_z = 0 \quad \text{“Chiral Symmetry”} \quad \{\sigma_z, H_k\} = 0$$



Dirac Points - vortices in d vector

# Graphene and Dirac Points



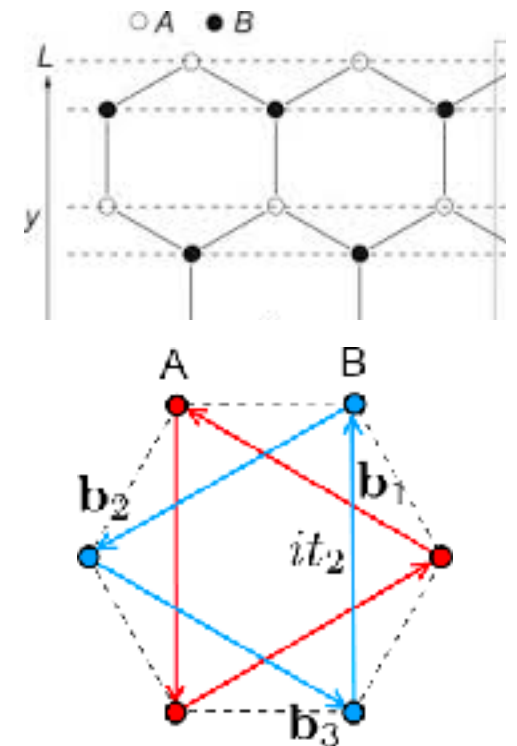
## Three ways to remove Dirac Cones:

1. Break P ( $r \rightarrow -r$ ) symmetry - staggered potential (B-N)

$$\Delta H = m\sigma_z$$

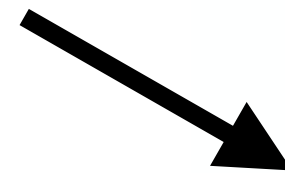
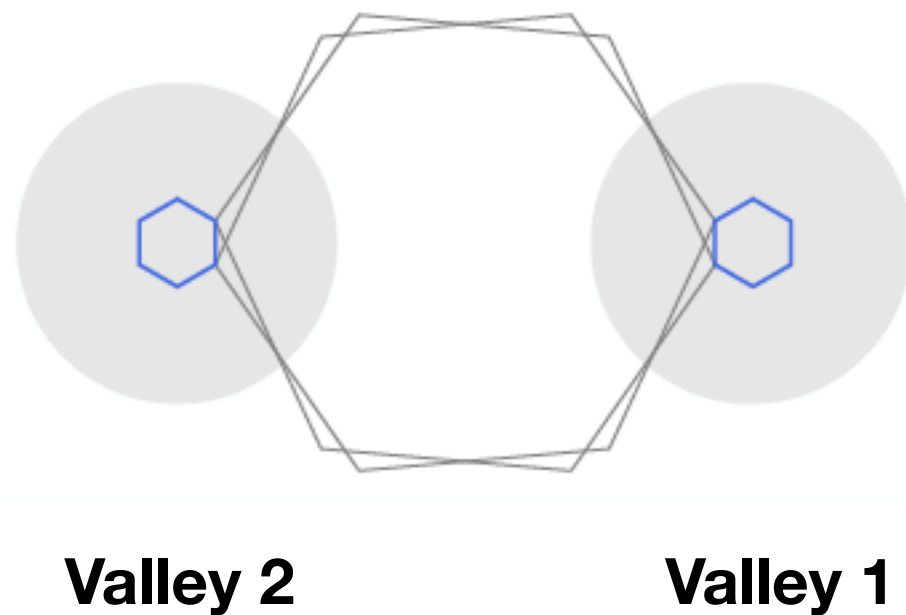
2. Break T symmetry - Haldane term

3. Break C3 rotation and annihilate Dirac points (needs finite strength)



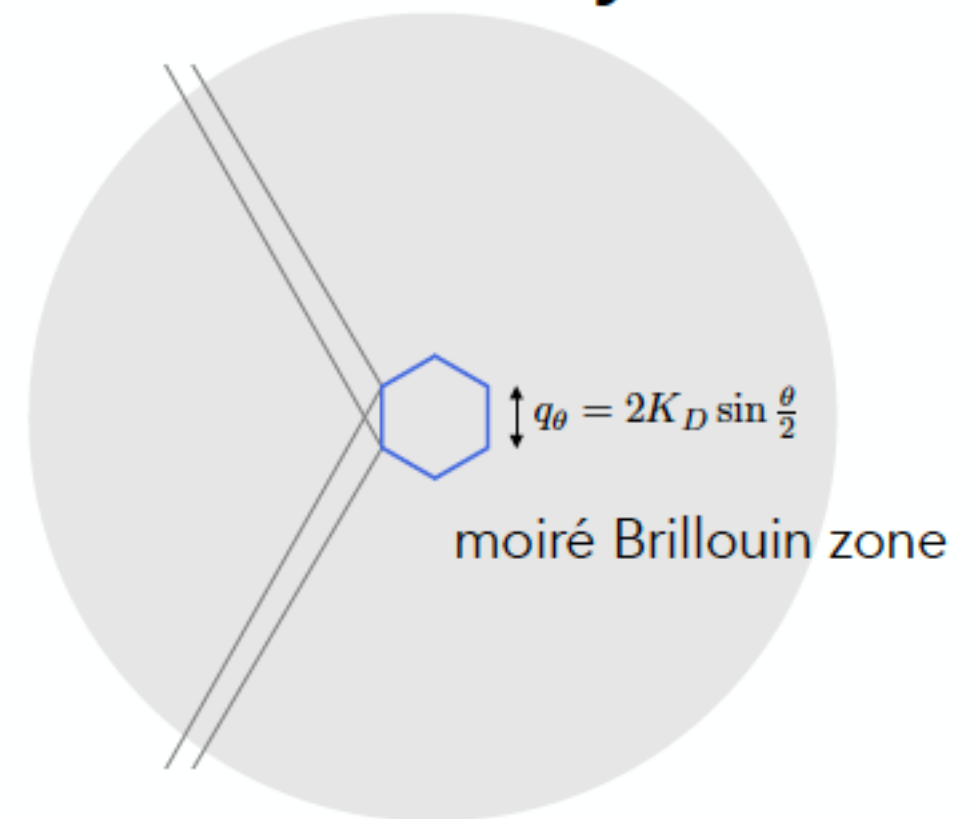
# Twisted Bilayer Graphene

Continuum Approximation - each layer Dirac points.



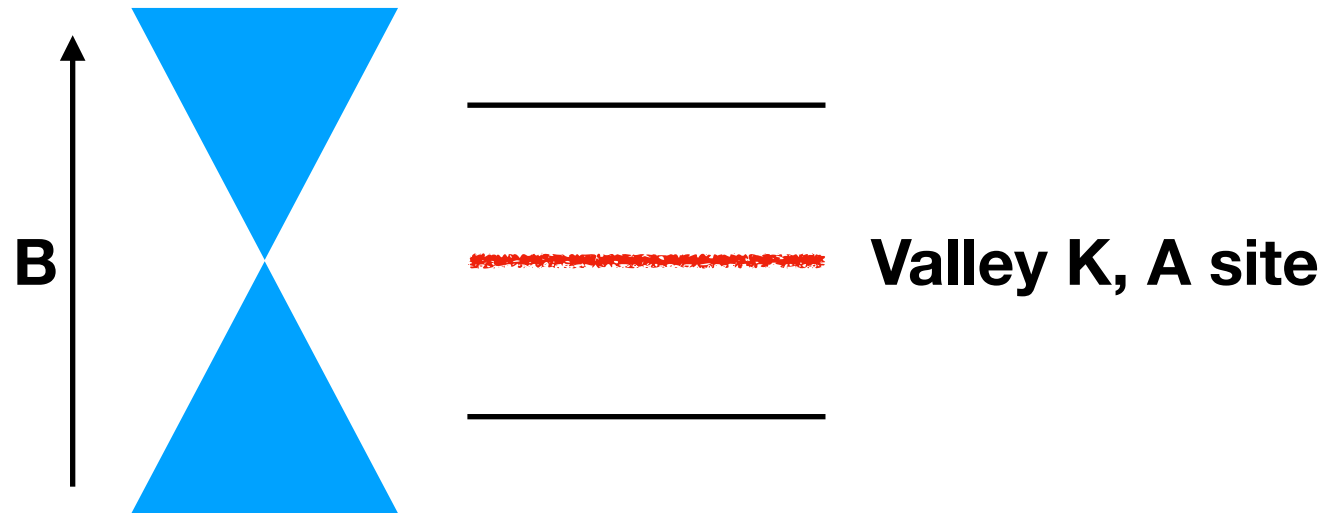
$1^\circ$

One valley





# Dirac Landau Levels



$$H_{\pm} = (p_x - eA_x)\sigma_x \pm (p_y - eA_y)\sigma_y \quad (+K, -K) \text{ valleys}$$

$$H_- = \hbar\omega_c \begin{pmatrix} 0 & a \\ a^\dagger & 0 \end{pmatrix}$$

Zeroth Landau Level  
is a 'zero mode'.  
Sublattice polarized

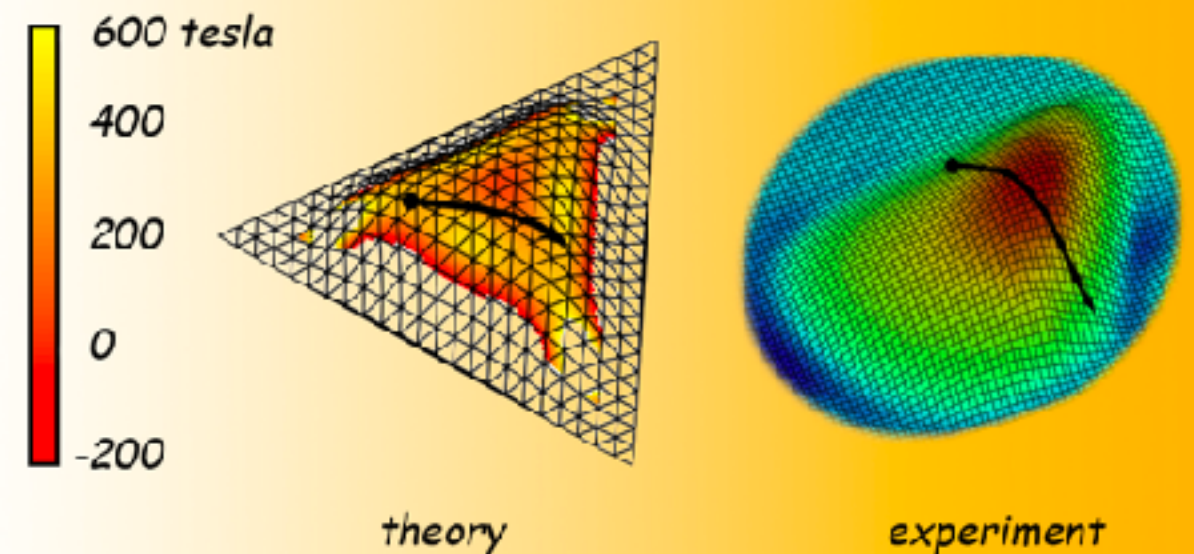
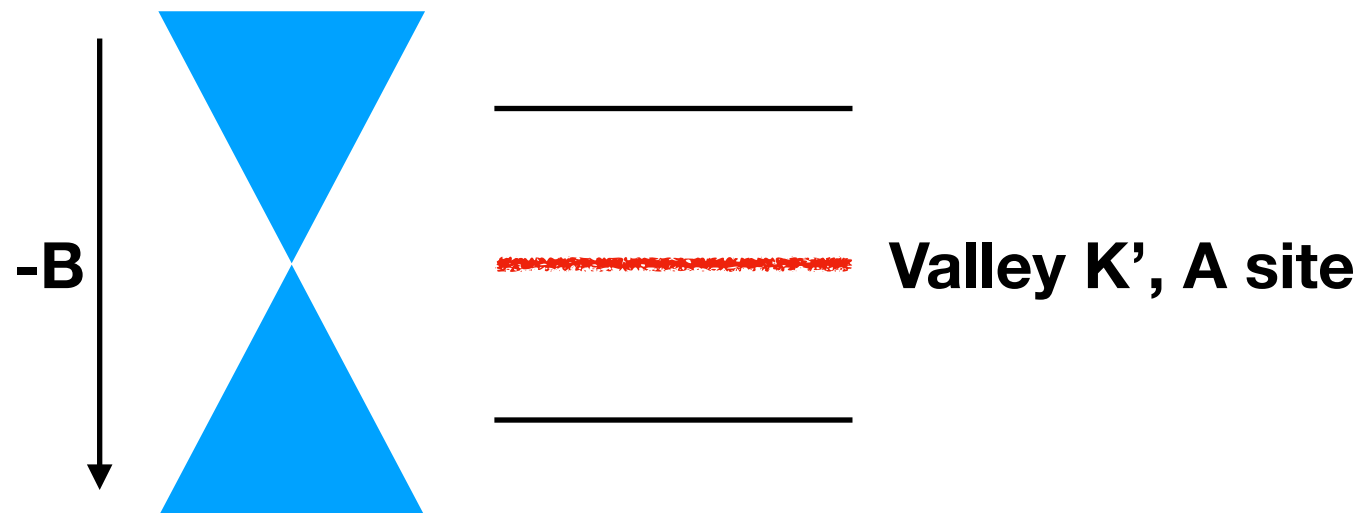
$$H \begin{pmatrix} 0 \\ |0\rangle \end{pmatrix} = 0$$

$$A = \frac{B}{2}(-y, x)$$

$$a = -i \left( 2 \frac{\partial}{\partial \bar{z}} + \frac{1}{2} z \right)$$

$$\Psi_0(z = x + iy) = f(z) e^{-\frac{1}{4}|z|^2}$$

# Strained Graphene - Dirac Landau Levels



- Strained graphene Landau levels [Guinea, Crommie, Castro-Neto, 2010]

PRL 108, 266801 (2012)

PHYSICAL REVIEW LETTERS

week ending  
29 JUNE 2012

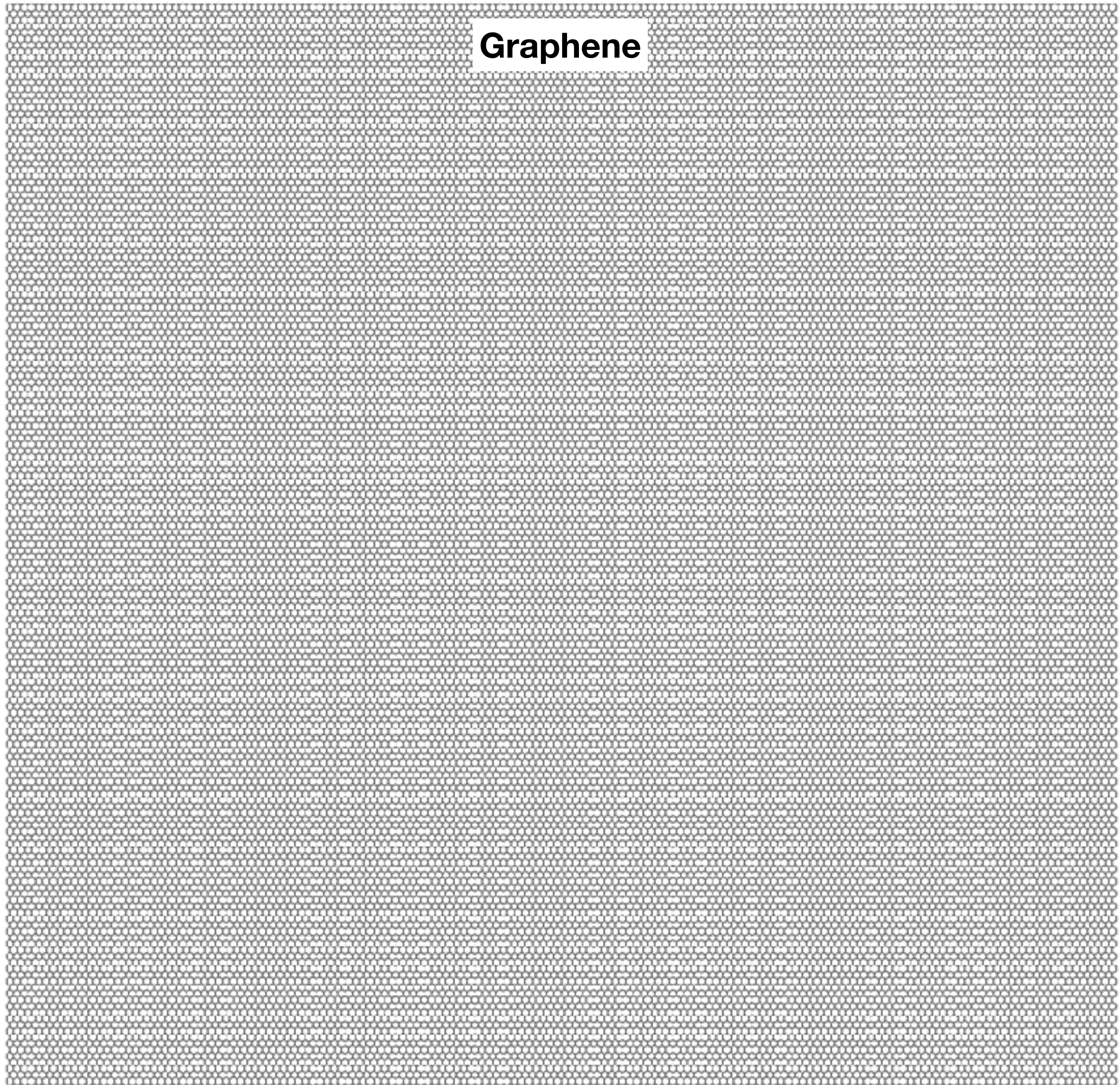
Fractional Topological Phases and Broken Time-Reversal Symmetry in Strained Graphene

Pouyan Ghaemi,<sup>1,2,3,\*</sup> Jérôme Cayssol,<sup>2,4,5</sup> D. N. Sheng,<sup>6</sup> and Ashvin Vishwanath<sup>2,3</sup>

- Here - “2-copies” of strained graphene Landau levels

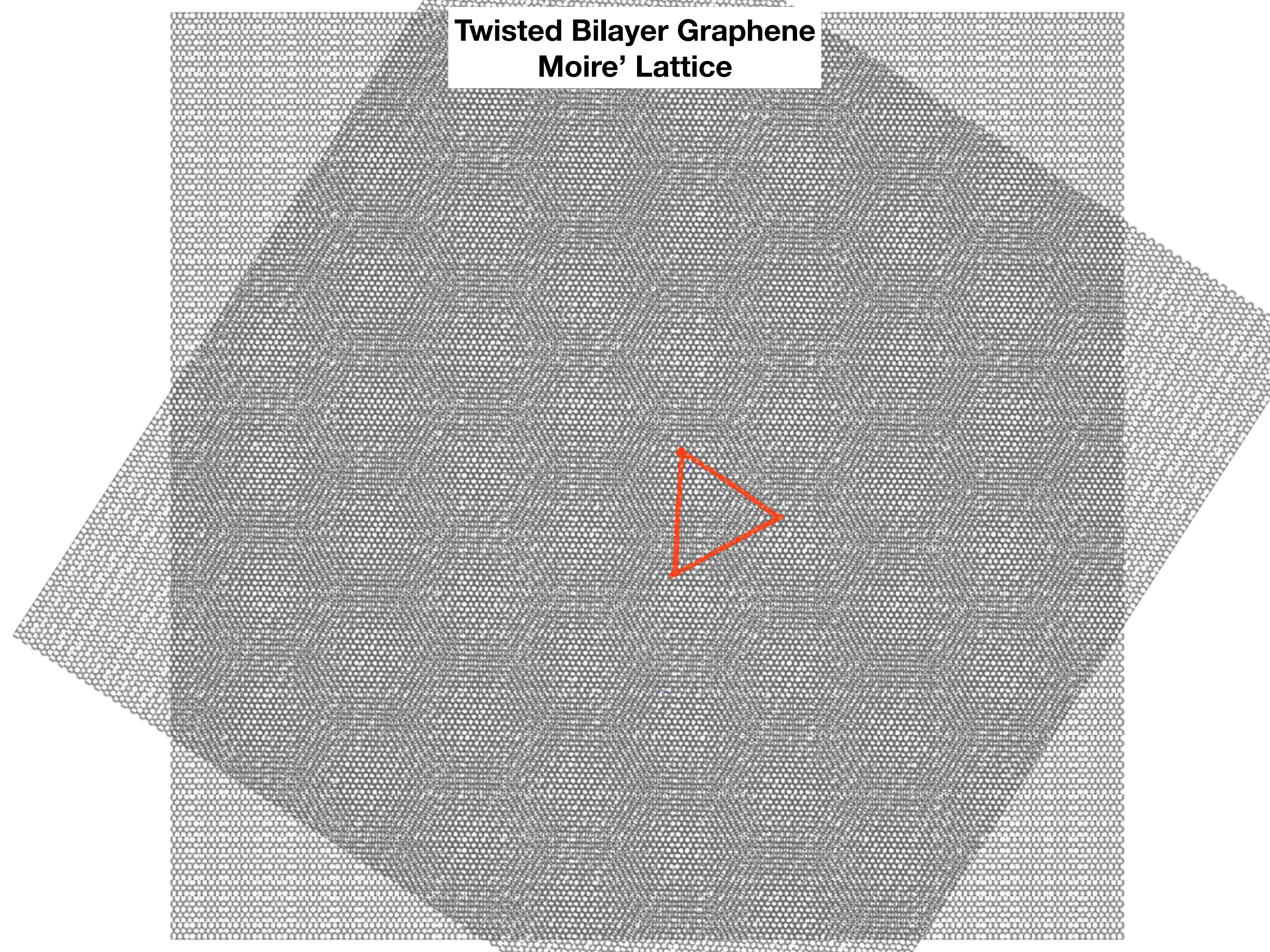
# I. The Wavefunctions of Magic Angle Flat Bands

# Graphene





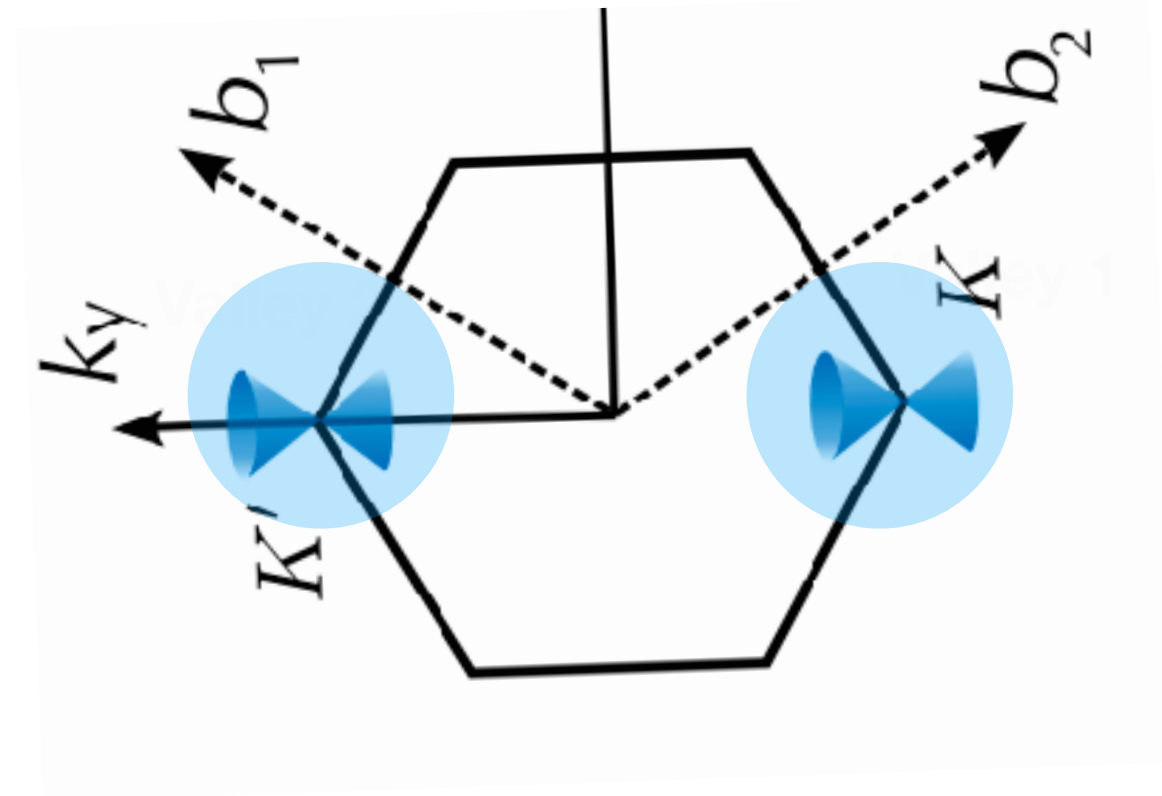
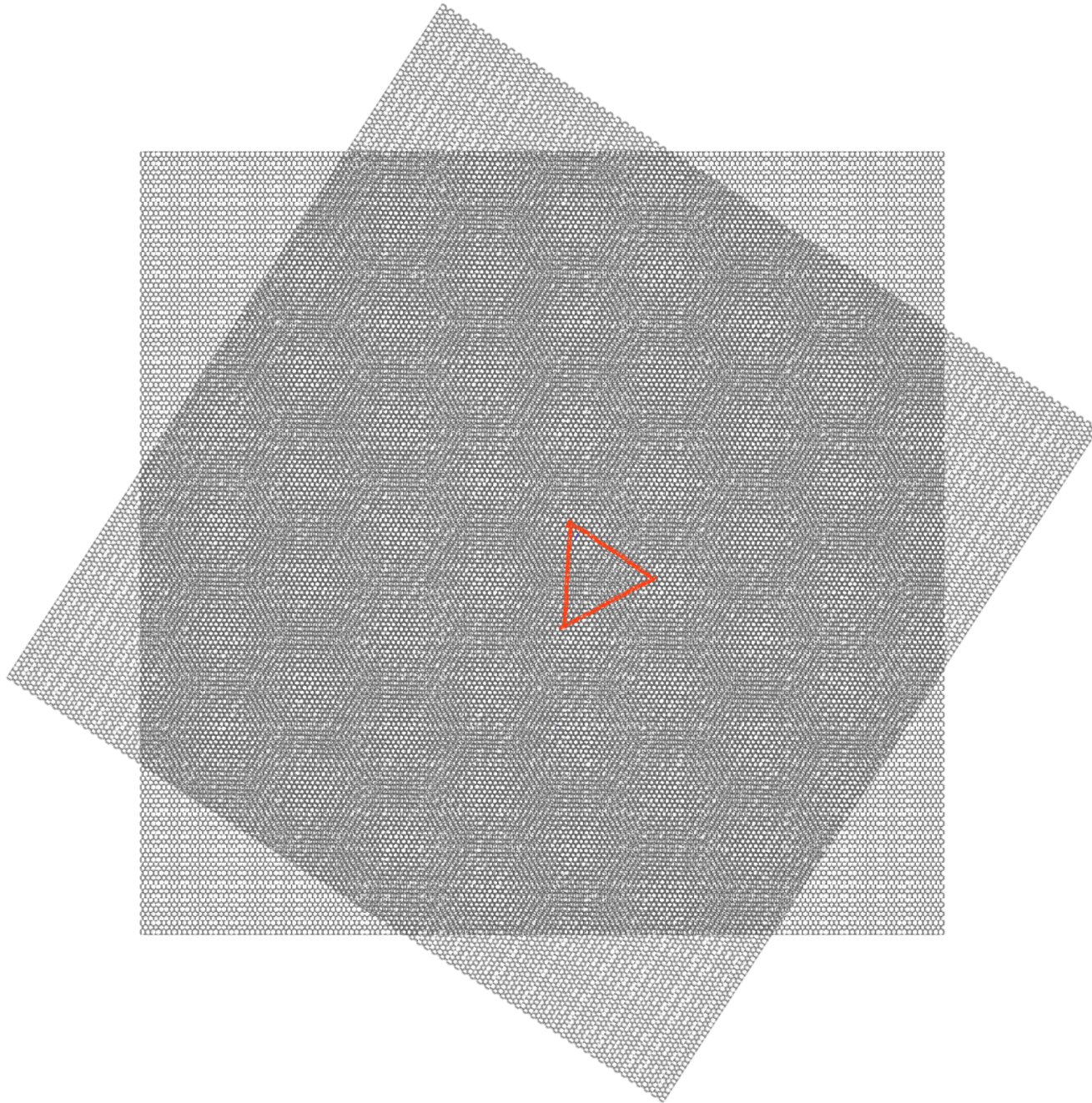
# Twisted Bilayer Graphene Moire' Lattice





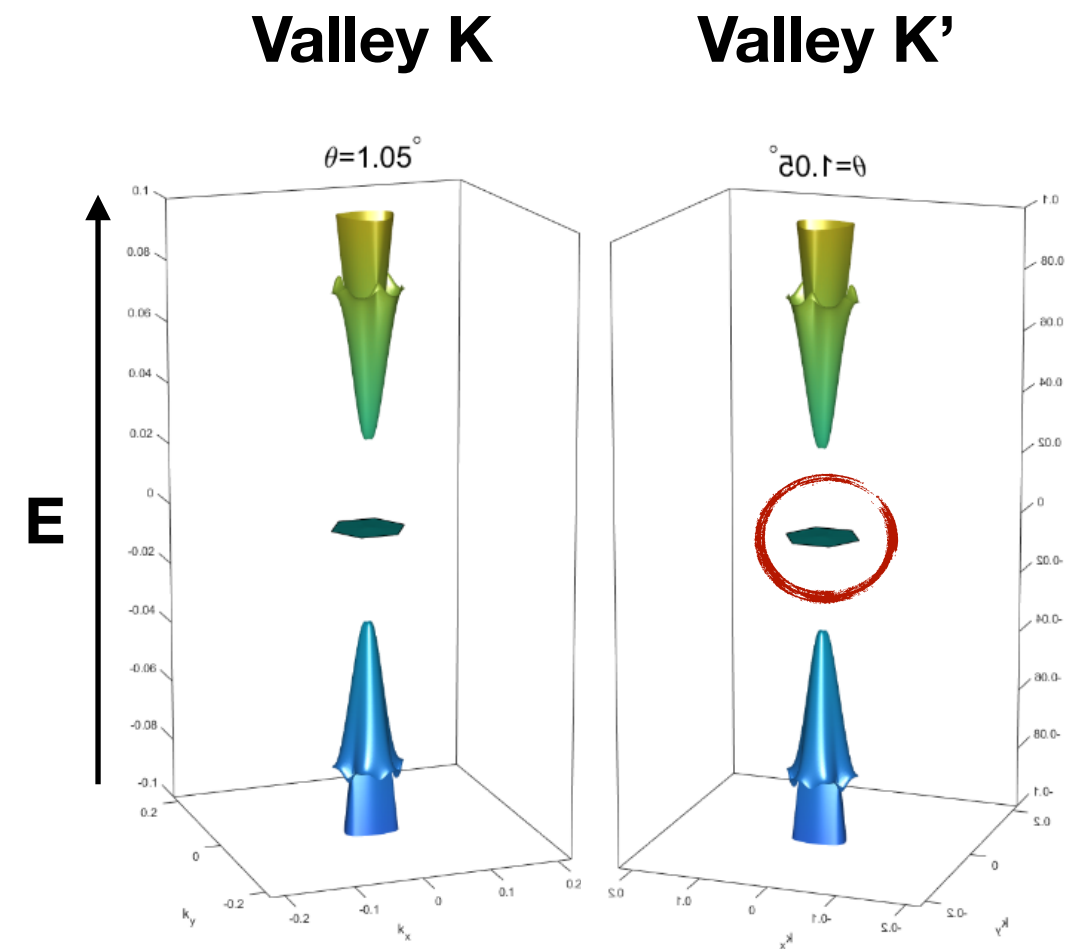
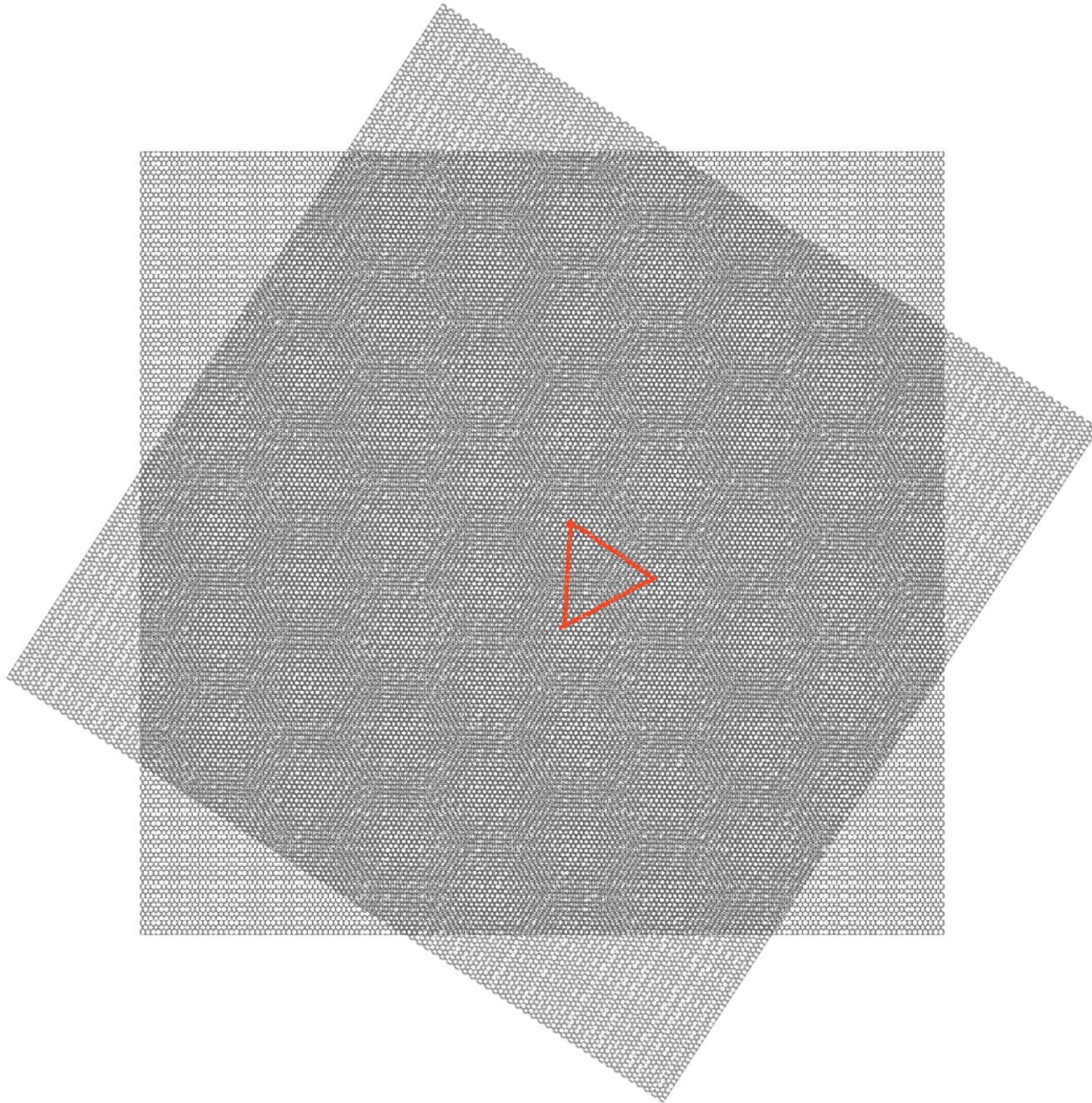
# Moire Unit Cell and Brillouin Zone

Graphene BZ



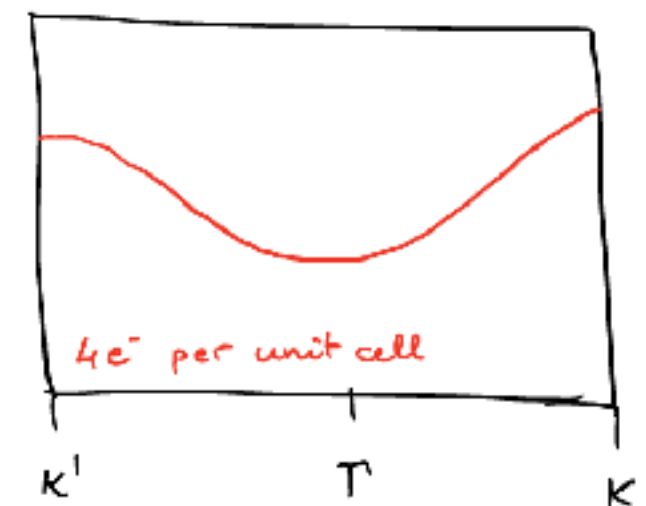
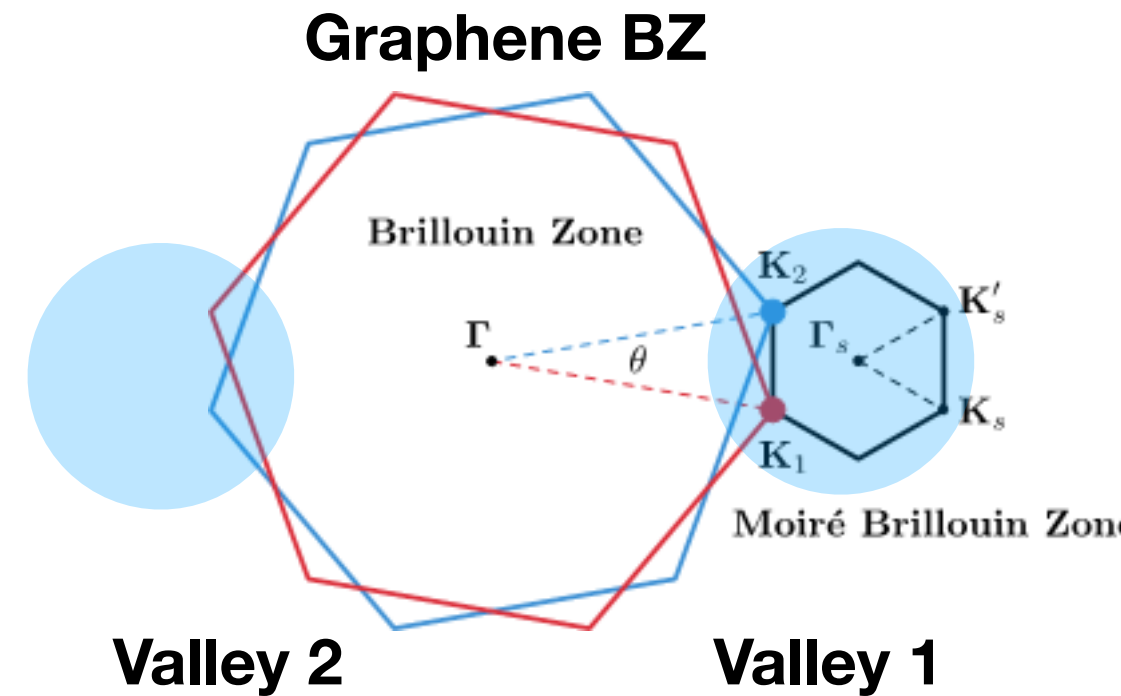
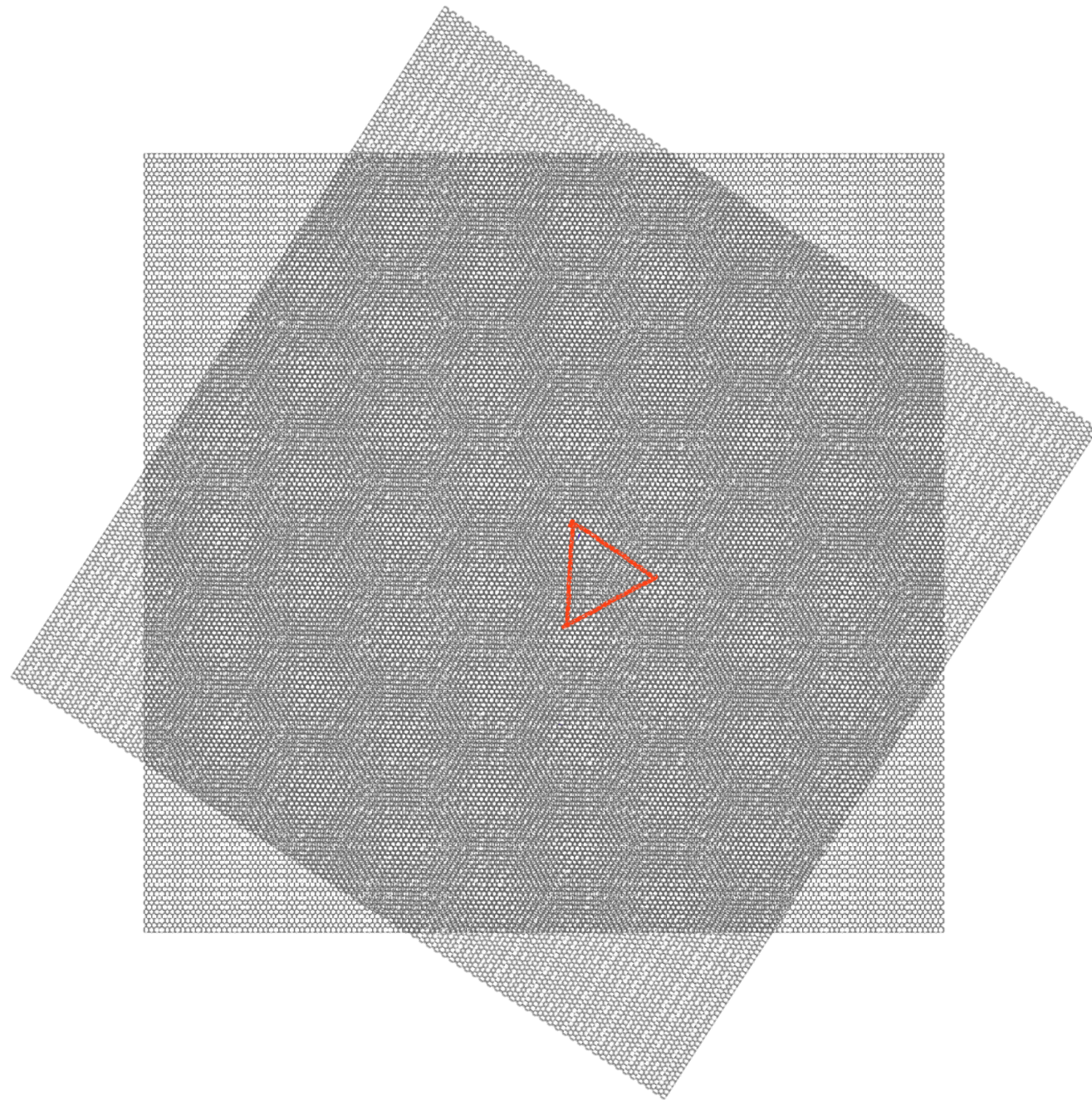


# Moire Unit Cell and Brillouin Zone





# Moire Unit Cell and Brillouin Zone

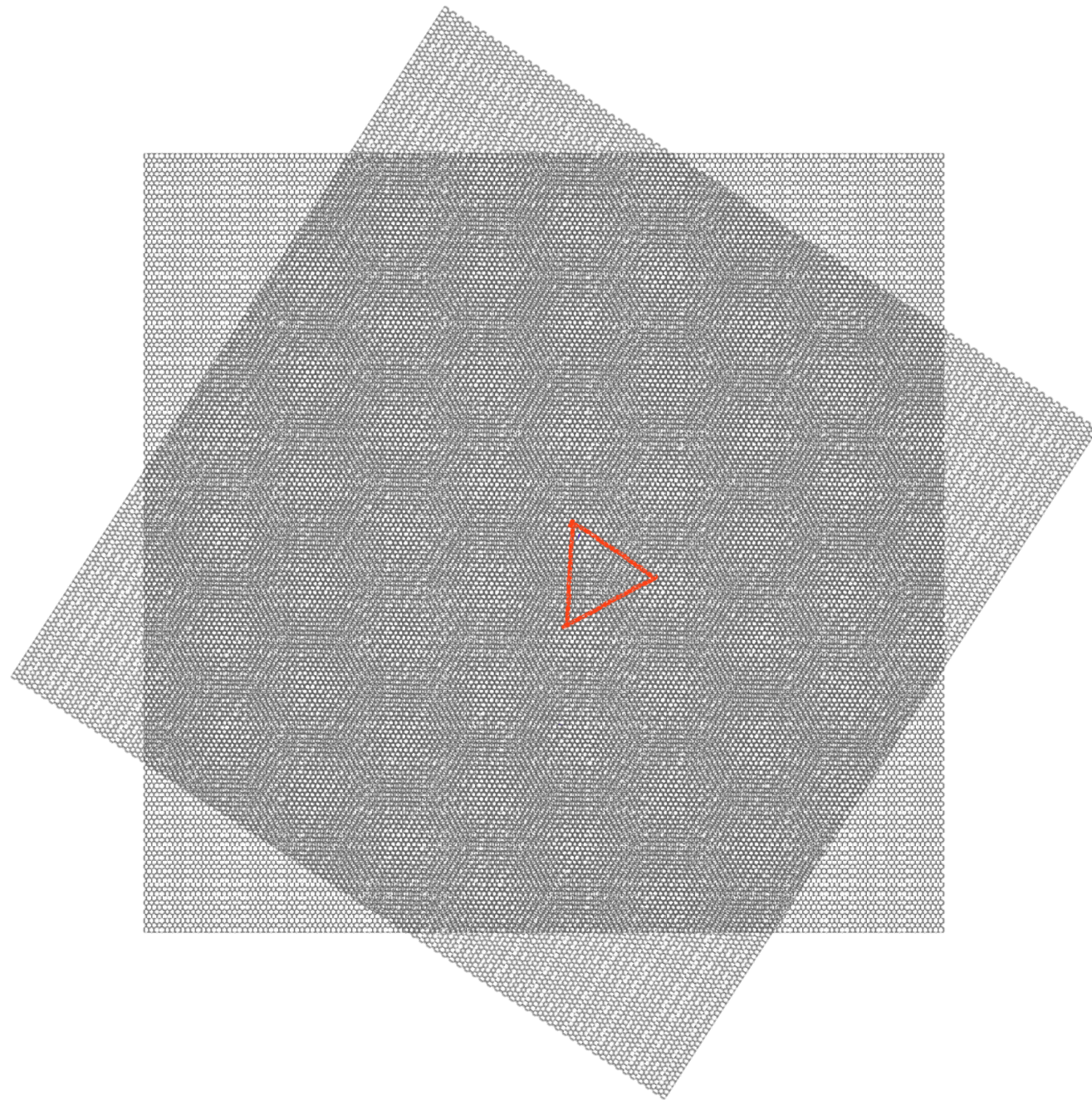


**Moire Brillouin Zone**

4e to fill a unit cell (spin and valley)?

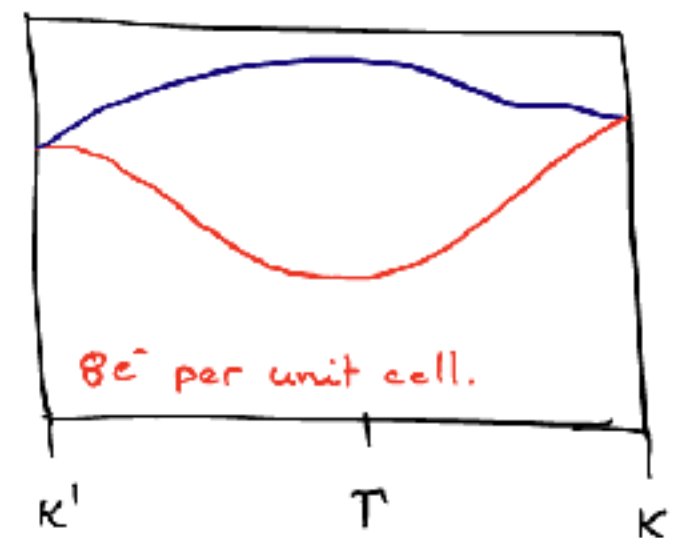
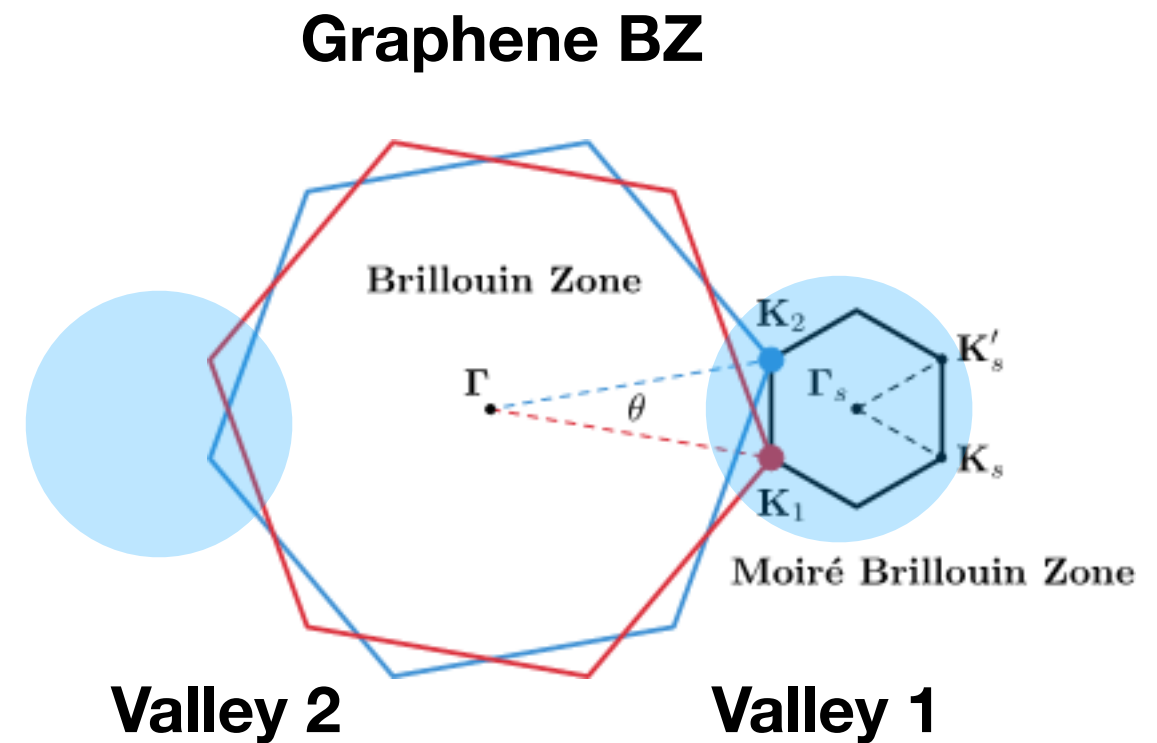


# Moire Unit Cell and Brillouin Zone



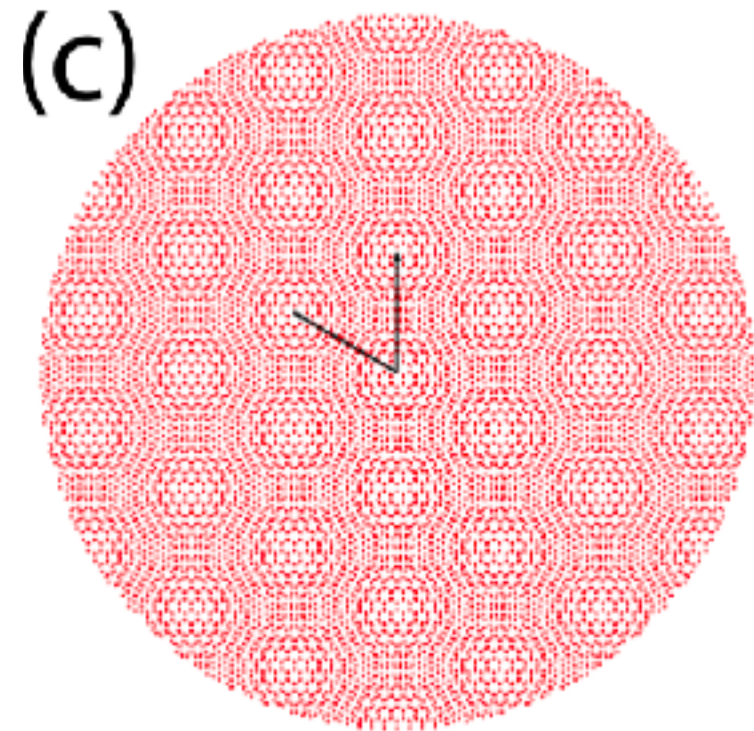
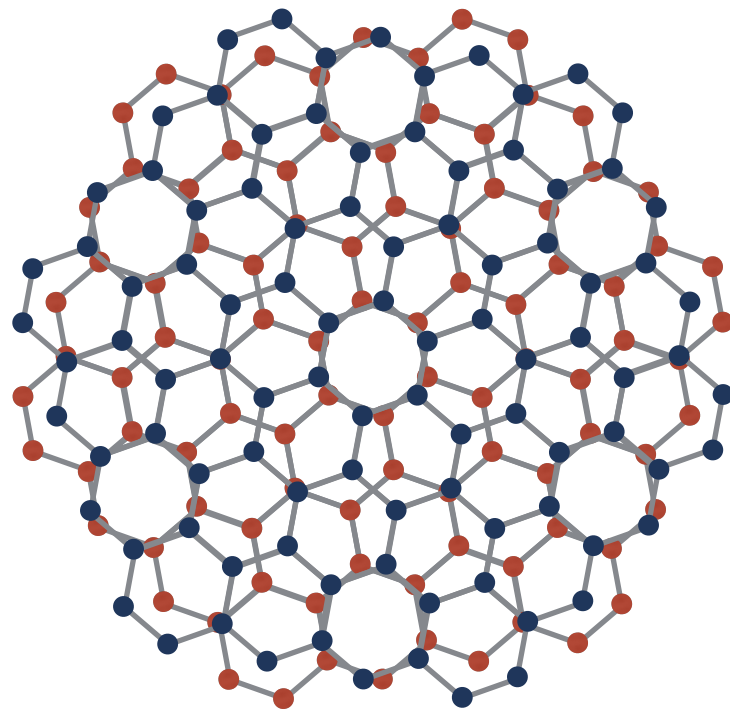
Actually,  $8e^-$  to fill a unit cell

New degree of freedom: spin + valley + band



**Moire Brillouin Zone**

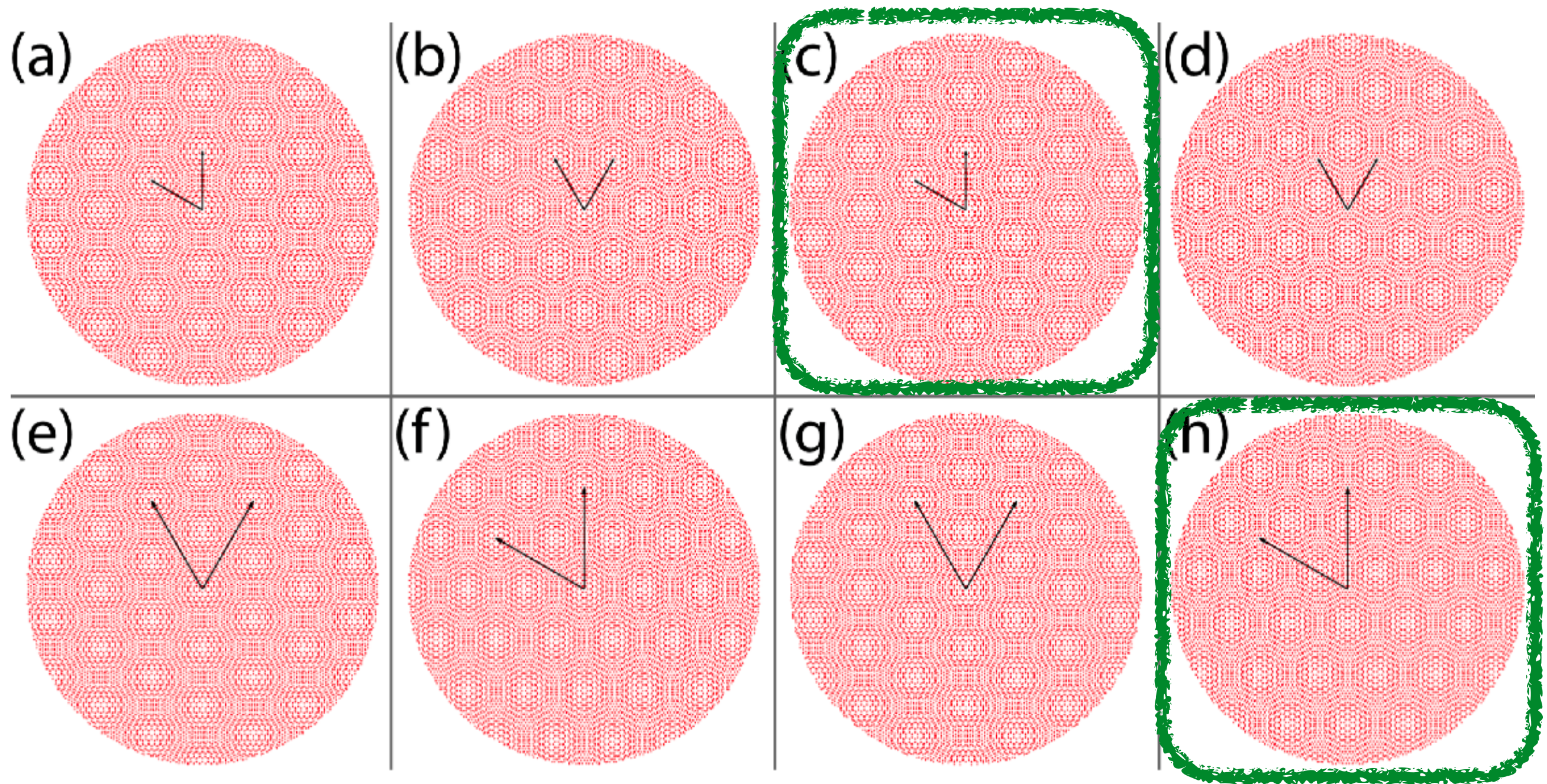
# Symmetries of Twisted Bilayer Graphene



- At *small angles* - emergent  $C_6$  symmetry. Commensurate vs incommensurate is irrelevant.
- Valley conservation  $\rightarrow U(1)_v$  symmetry.
- The  **$C_2$**  part of the symmetry is crucial and specific to twisted bilayer graphene.
- Flat band topology - no tight binding model of just flat bands that preserves  $C_2T$  &  $U(1)_v$  symmetries. [Po, Zou, Senthil, AV - PRX, PRB 2018]



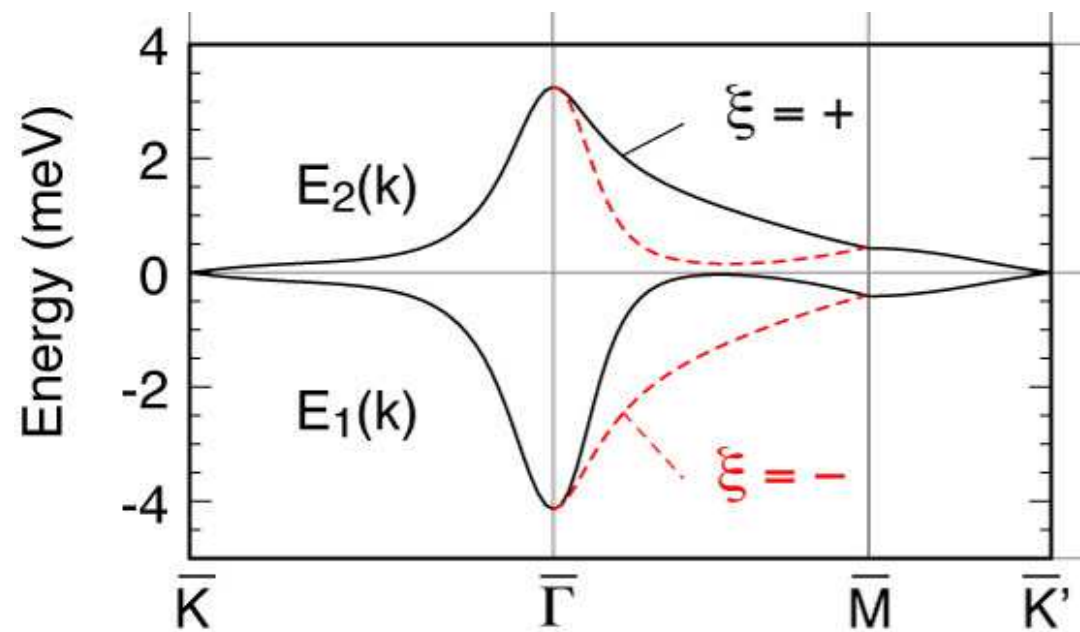
# Symmetries: C6 vs C3?



Which one(s) **doesn't** have six-fold rotation symmetry about the bright spots?



# Topology and Obstruction to 2-band model



[Castro Neto et al., PRB 2011, Goerbig & Montambaux, 2017]

The Dirac points in each valley have the **same** chirality.

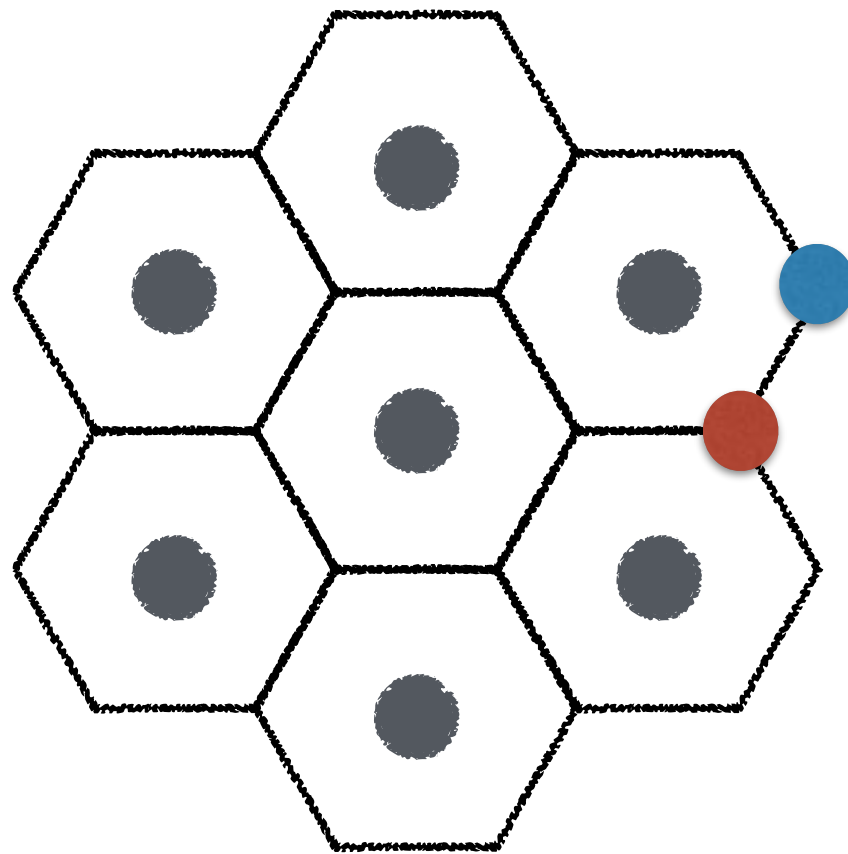
Origin: the graphene Dirac dispersion.

Prohibits a symmetric 2-band tight binding model. [Po, Zou, Senthil, AV - PRX, PRB 2018]

**See Also:** Ahn, Park and Yang; Liu, Liu, Dai; Song, Wang, Shi, Li, Fang, Bernevig;



# No-go argument- Flipped Haldane Model



- With *same* chirality - onsite staggered potential will lead to Dirac gap and Chern number +1, -1.
- Large onsite potential - atomic insulator, incompatible with Chern number

**Work in the Continuum like for Quantum Hall**

# Continuum model

- Larger unit cell  $\rightarrow$  smaller BZone
- Bistrizer-Macdonald (BM) model (2011)

$$\mathcal{H}_K = \begin{pmatrix} -iv_F \boldsymbol{\sigma}_{\theta/2} \cdot \nabla & T(\mathbf{r}) \\ T^\dagger(\mathbf{r}) & -iv_F \boldsymbol{\sigma}_{-\theta/2} \cdot \nabla \end{pmatrix}_{12},$$

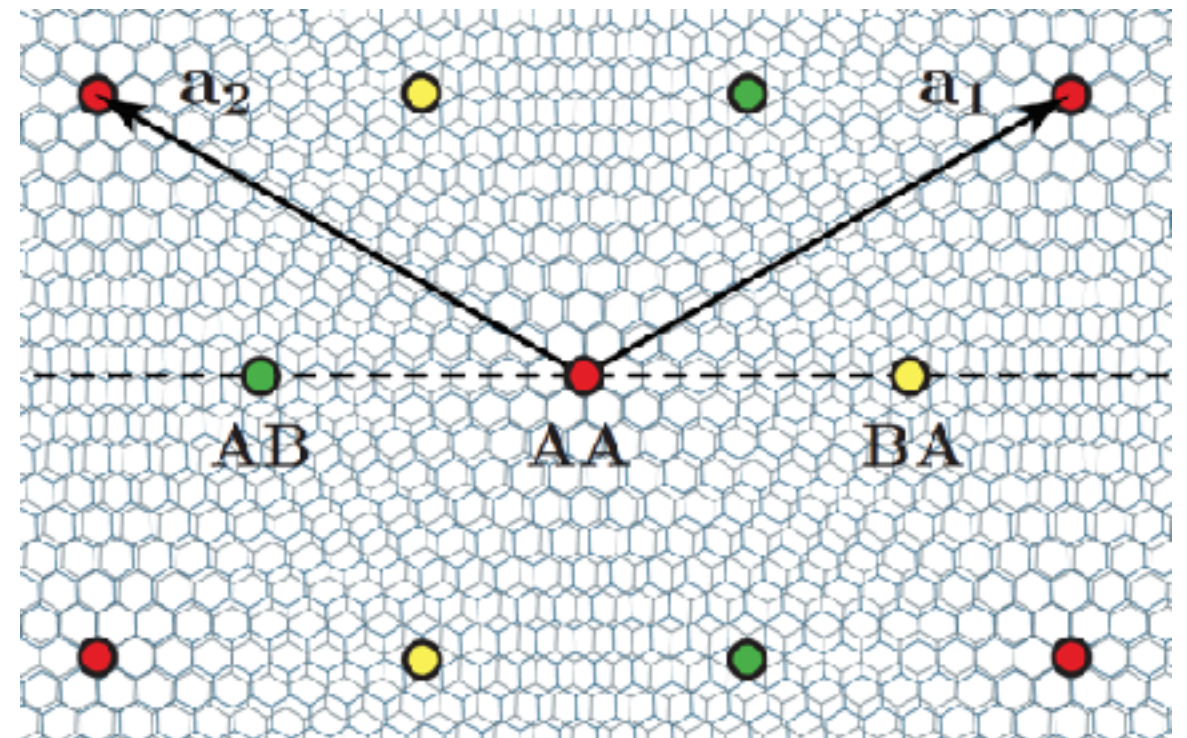
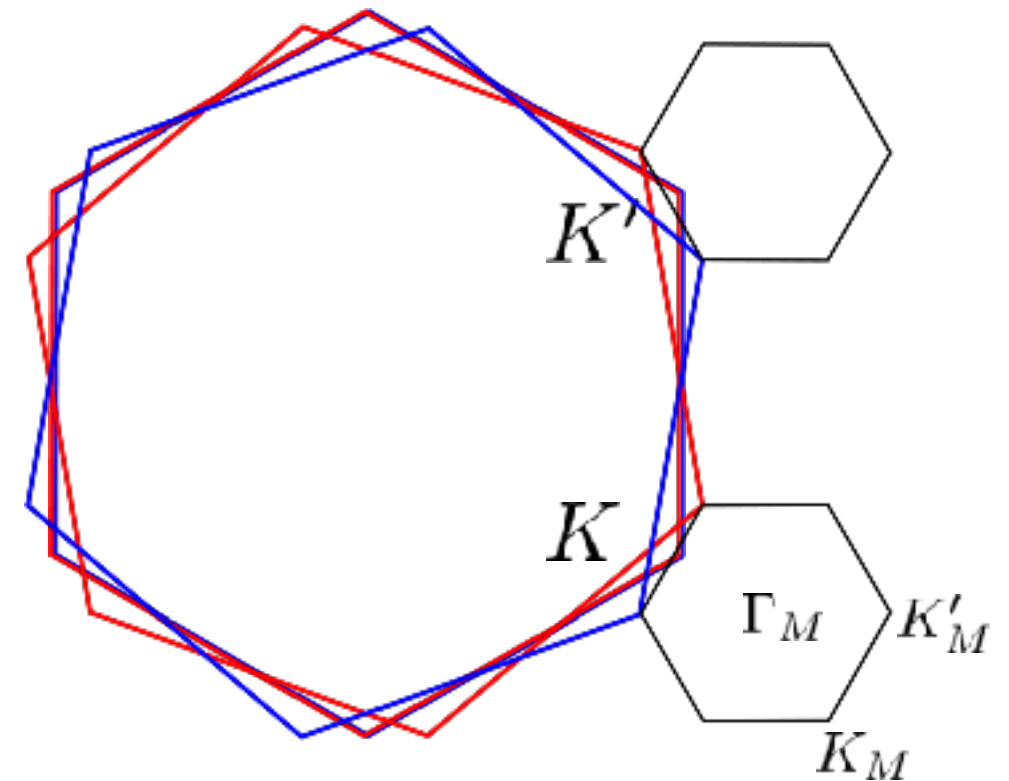
- Moire “potential”

$$T(\mathbf{r}) = \begin{pmatrix} w_0 U_0(\mathbf{r}) & w_1 U(\mathbf{r}) \\ w_1 U^*(-\mathbf{r}) & w_0 U_0(\mathbf{r}) \end{pmatrix}_{AB}$$

- Lattice relaxation: AB stacking favored to AA stacking (Carr *et al.* 2019, Nam, Koshino 2017)

$$\Rightarrow w_0/w_1 \approx 0.7$$

$$\frac{w_0}{w_1} = \approx 0.55 - 0.8 \quad (\text{AA vs AB/BA})$$



# Chiral Model

Tarnopolski, Kruchkov, AV PRL 2019

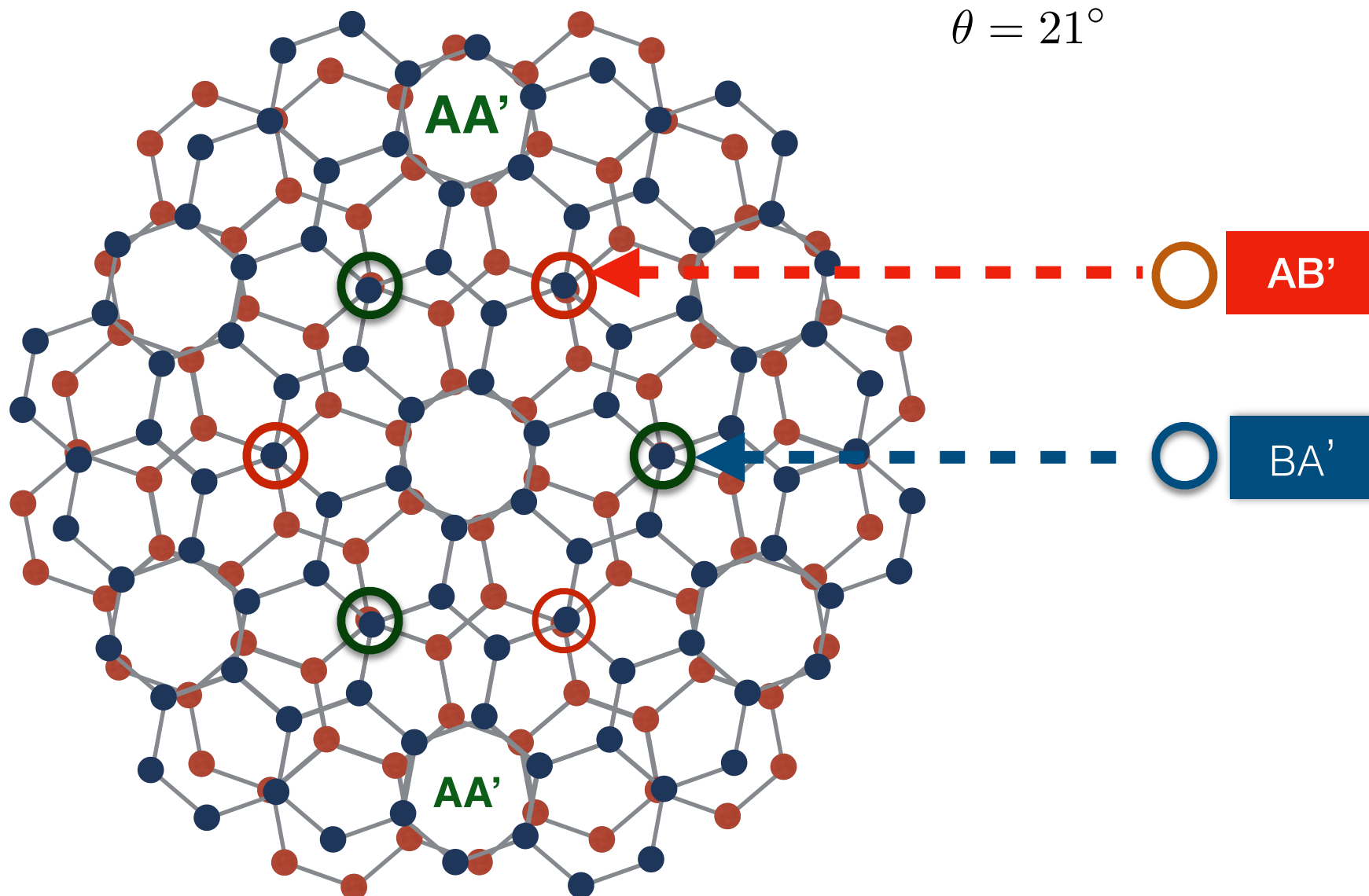
Switch off AA coupling. Only AB coupling

$$T(\mathbf{r}) = \begin{pmatrix} w_0 \cancel{J_0(\mathbf{r})} & w_1 U(\mathbf{r}) \\ w_1 U^*(-\mathbf{r}) & w_0 \cancel{J_0(\mathbf{r})} \end{pmatrix}$$

$$\theta = 21^\circ$$



Grisha Tarnopolski  
+ Alex Kruchkov  
Harvard



Chiral Symmetry

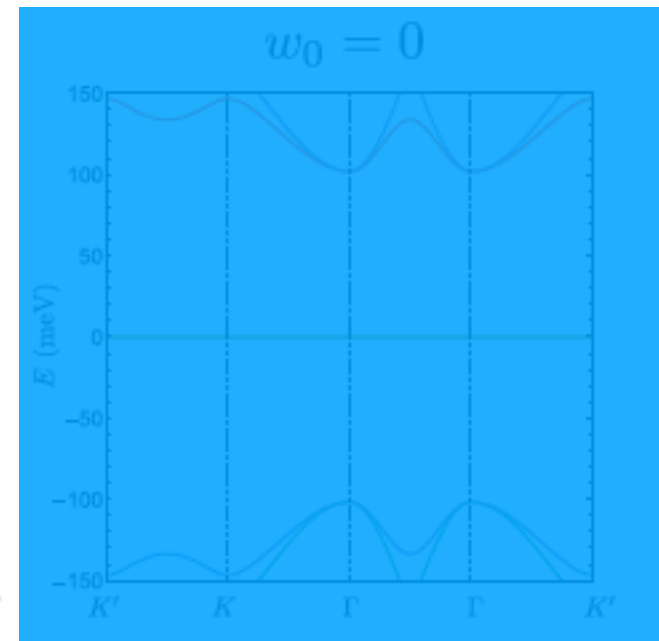
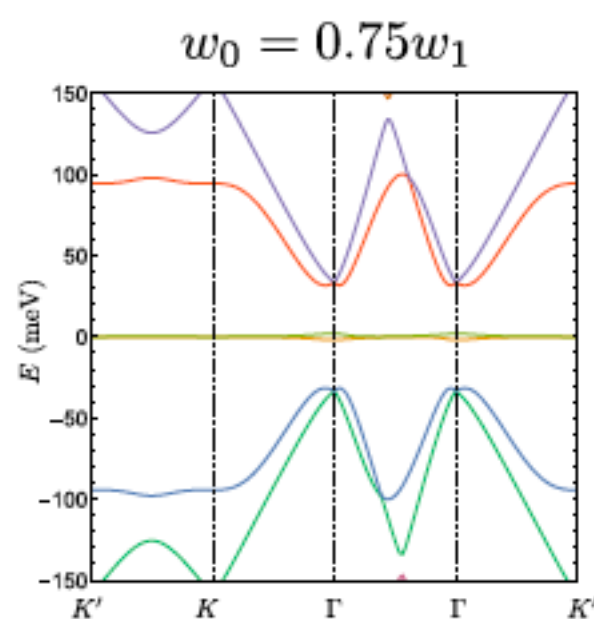
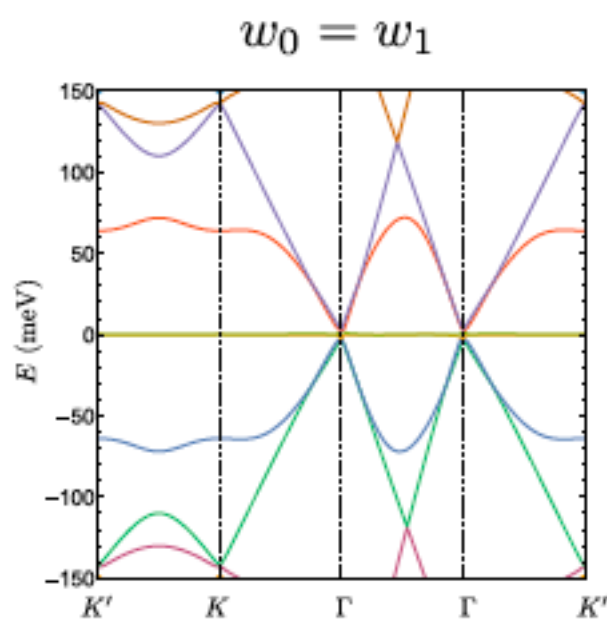
$$\{\sigma_z \otimes 1, \mathcal{H}\} = 0$$

# Chiral Model

Tarnopolski, Kruchkov, AV  
PRL 2019

Switch off AA coupling. Only AB coupling

$$T(\mathbf{r}) = \begin{pmatrix} \cancel{w_0 U_0(\mathbf{r})} & w_1 U(\mathbf{r}) \\ w_1 U^*(-\mathbf{r}) & \cancel{w_0 U_0(\mathbf{r})} \end{pmatrix}$$



Chiral Symmetry

$$\{\sigma_z \otimes 1, \mathcal{H}\} = 0$$

↑  
sublattice

$$\alpha = \frac{w_1}{2v_F k_D \sin \theta/2}$$

Large angle:  $\alpha \approx 0$

Magic angle:  $\alpha \approx 0.6$

# Chiral Model

Tarnopolski, Kruchkov, AV PRL 2019

Switch off AA coupling. Only AB coupling

$$\mathcal{H} = \begin{pmatrix} \overset{\text{A}}{0} & \overset{\text{B}}{\mathcal{D}^*(-\mathbf{r})} \\ \mathcal{D}(\mathbf{r}) & 0 \end{pmatrix}, \quad \mathcal{D}(\mathbf{r}) = \begin{pmatrix} \overset{\text{U}}{-2i\bar{\partial}} & \overset{\text{D}}{\alpha U(\mathbf{r})} \\ \alpha U(-\mathbf{r}) & \overset{\text{D}}{-2i\bar{\partial}} \end{pmatrix} \begin{matrix} \overset{\text{U}}{} \\ \overset{\text{D}}{} \end{matrix}$$

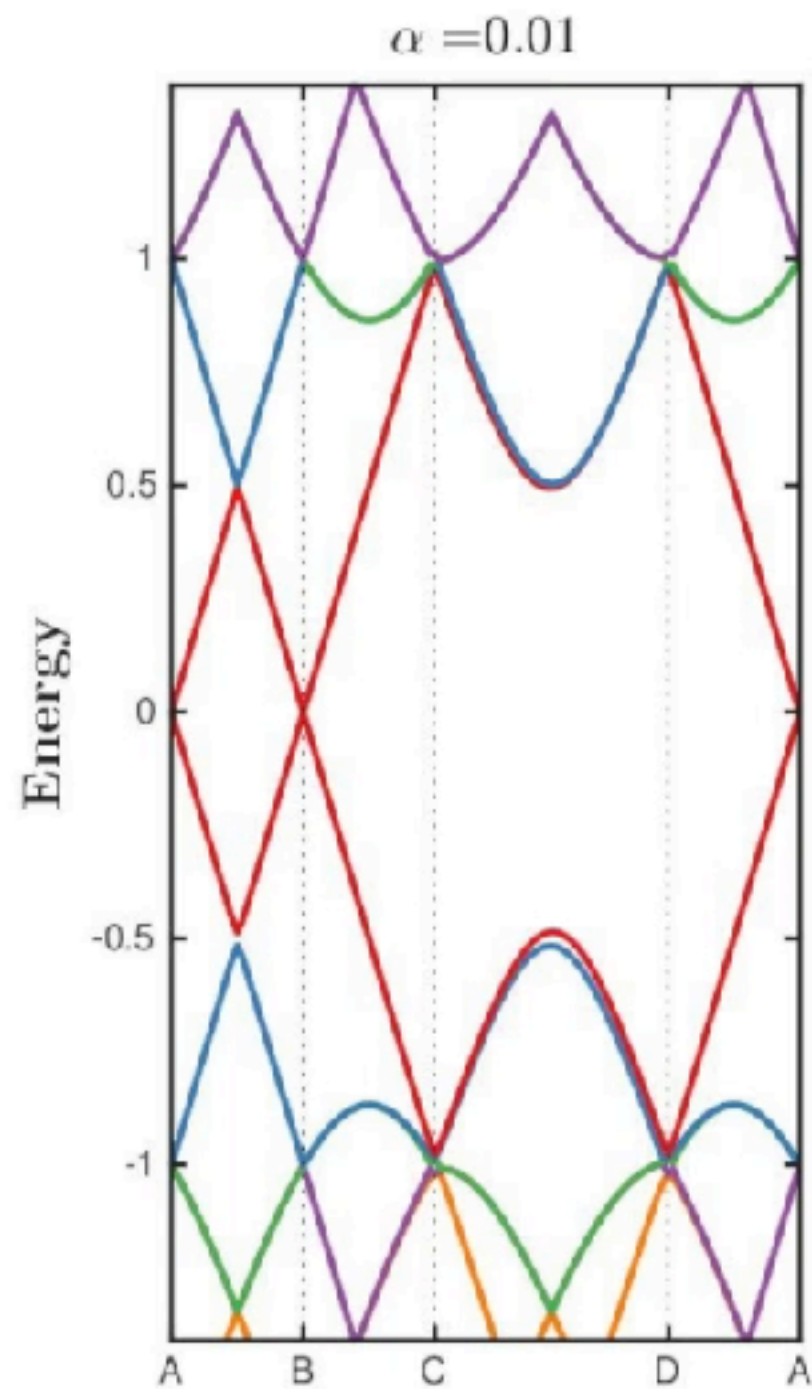
$$\bar{\partial} = \frac{1}{2}(\partial_x + i\partial_y)$$

Can be viewed as Dirac fermions in a non-abelian  
SU(2) gauge field *P. San-Jose, J. Gonz'alez, and F. Guinea*

**Non-Abelian vector potential:**

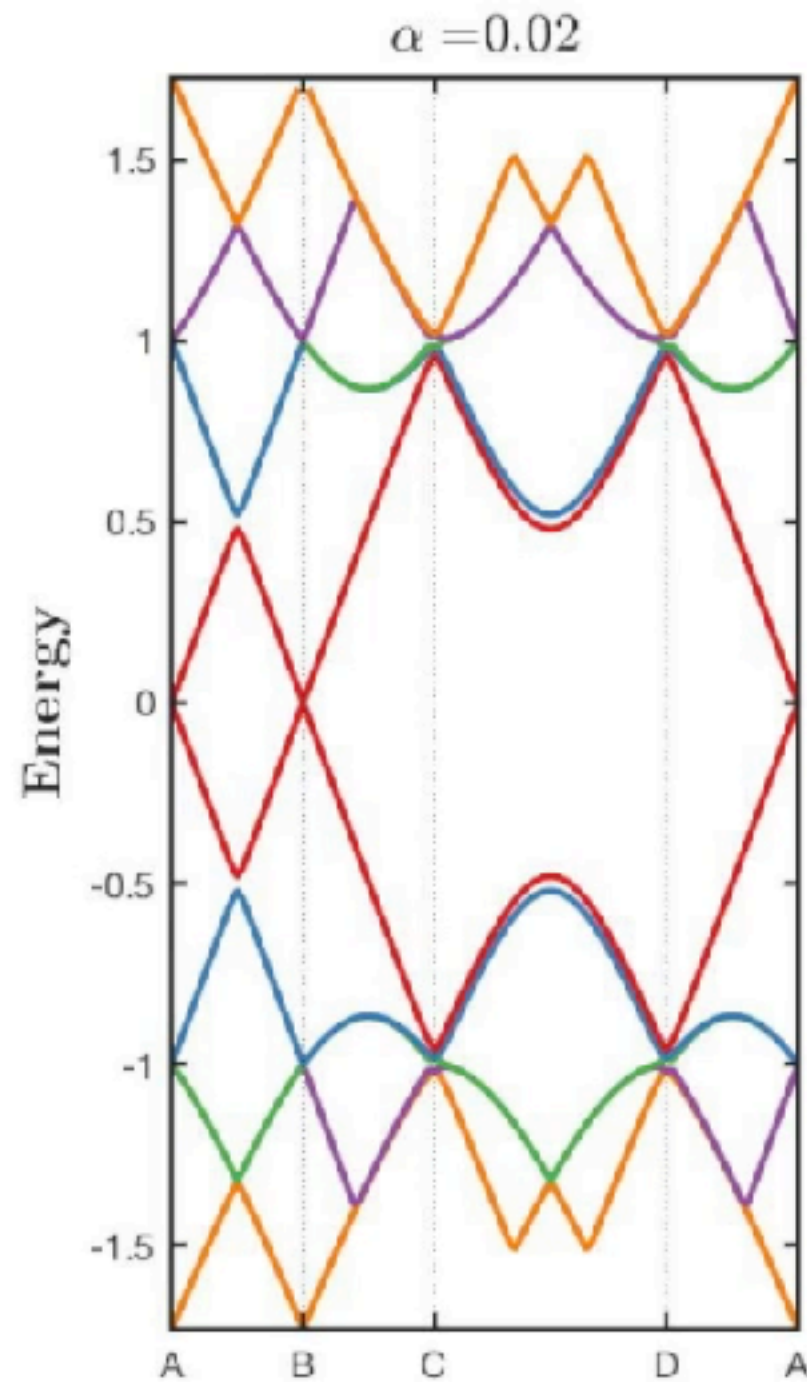
$$\bar{A} = \frac{U(r) + U(-r)}{2}\tau^x + i\frac{U(r) - U(-r)}{2}\tau^y$$

# Bistritzer-MacDonald Model

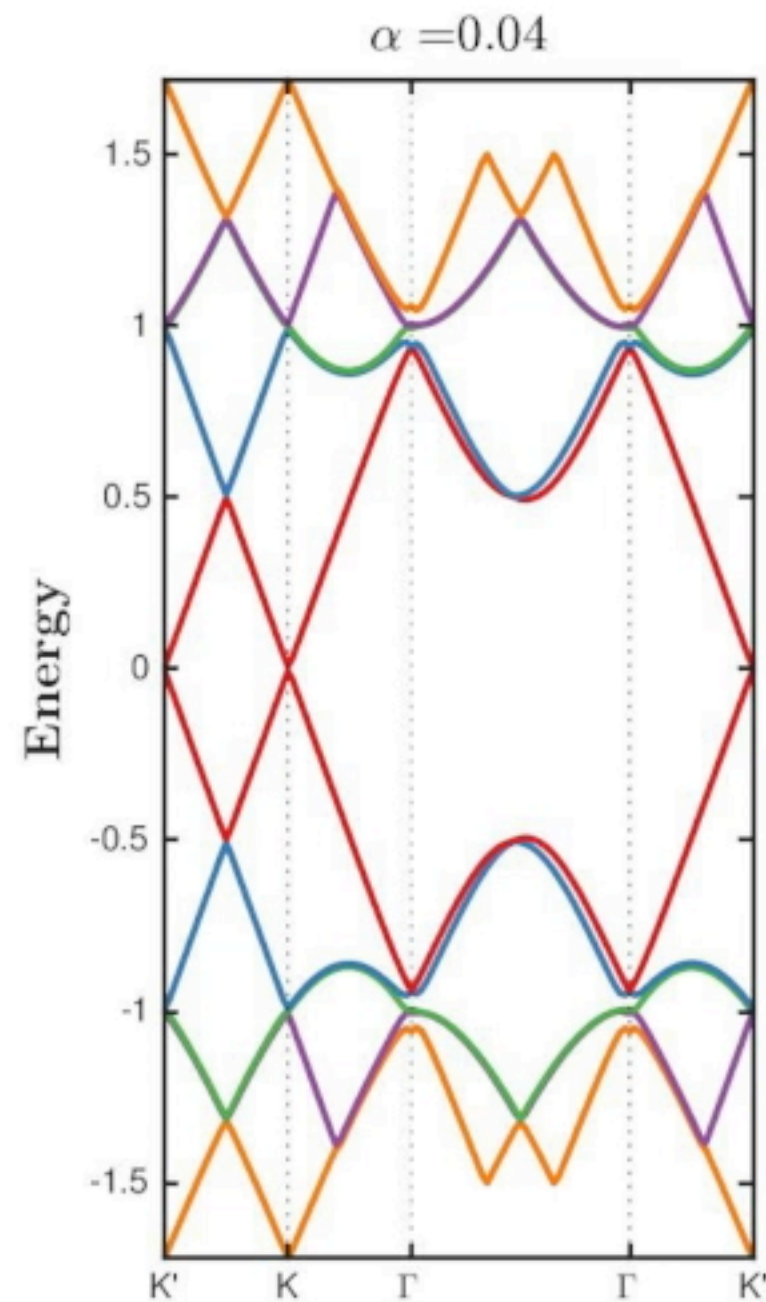




# Perfectly Flat Bands in the Chiral Model

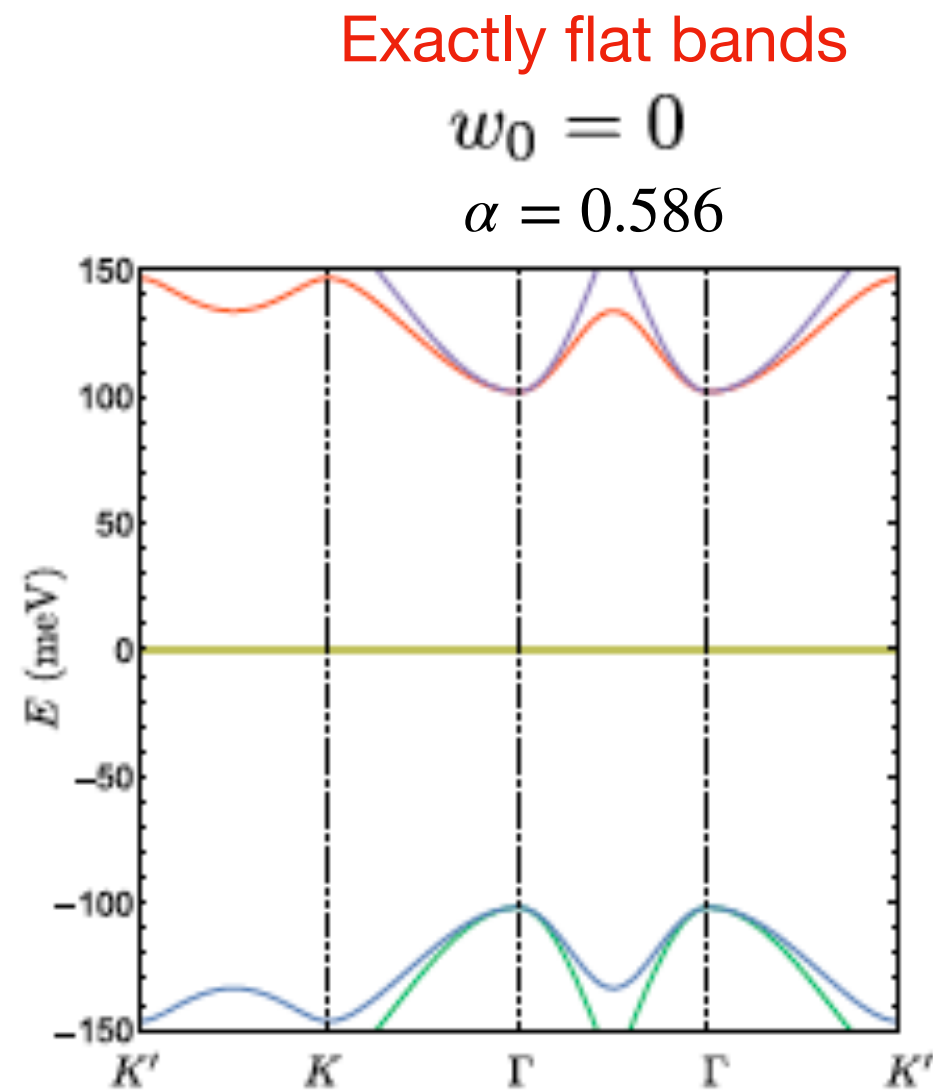
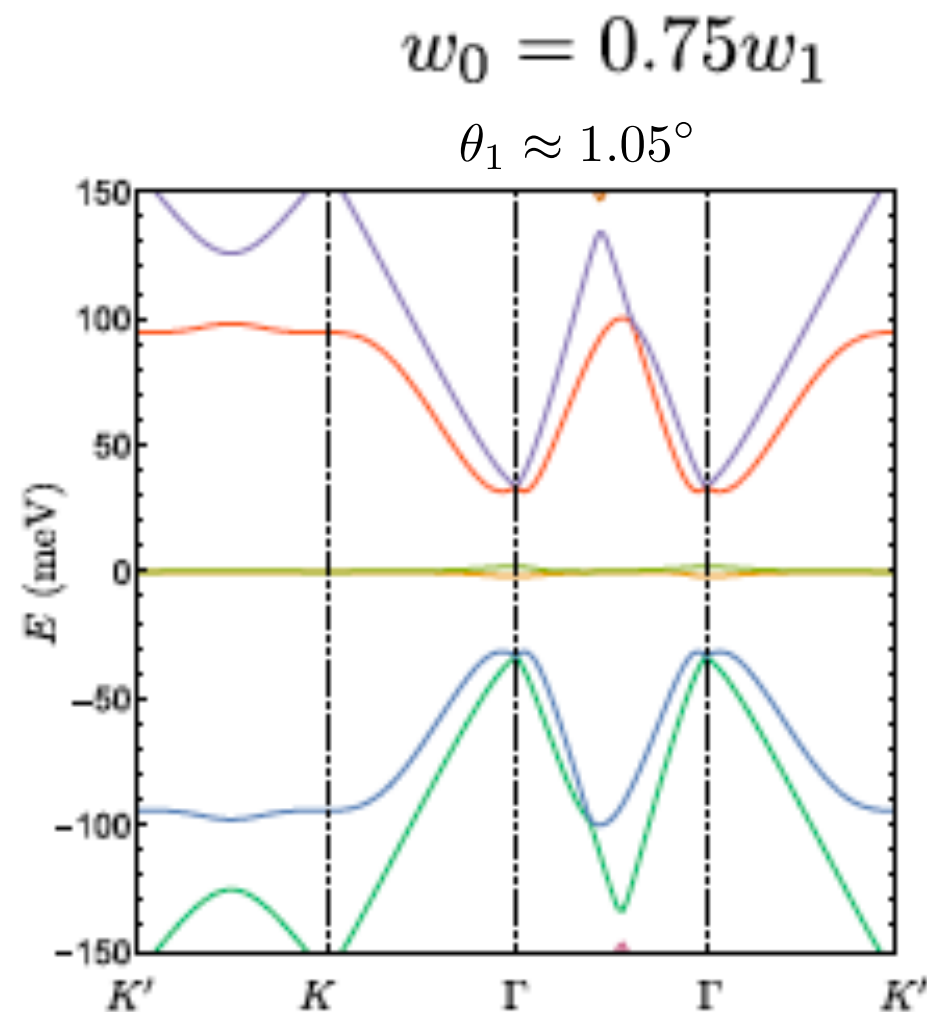


# Absence of Flat Bands in anti-Chiral Model



- Switch off A-B hopping (retain only A-A)

# From Flattish to Perfectly Flat Bands in the Chiral Limit



$$\alpha = \frac{w_1}{2v_0k_D \sin(\theta/2)}$$

$$\alpha = 0.58566355838955$$

Becker, Embree, Wittsten,  
Zworski '20

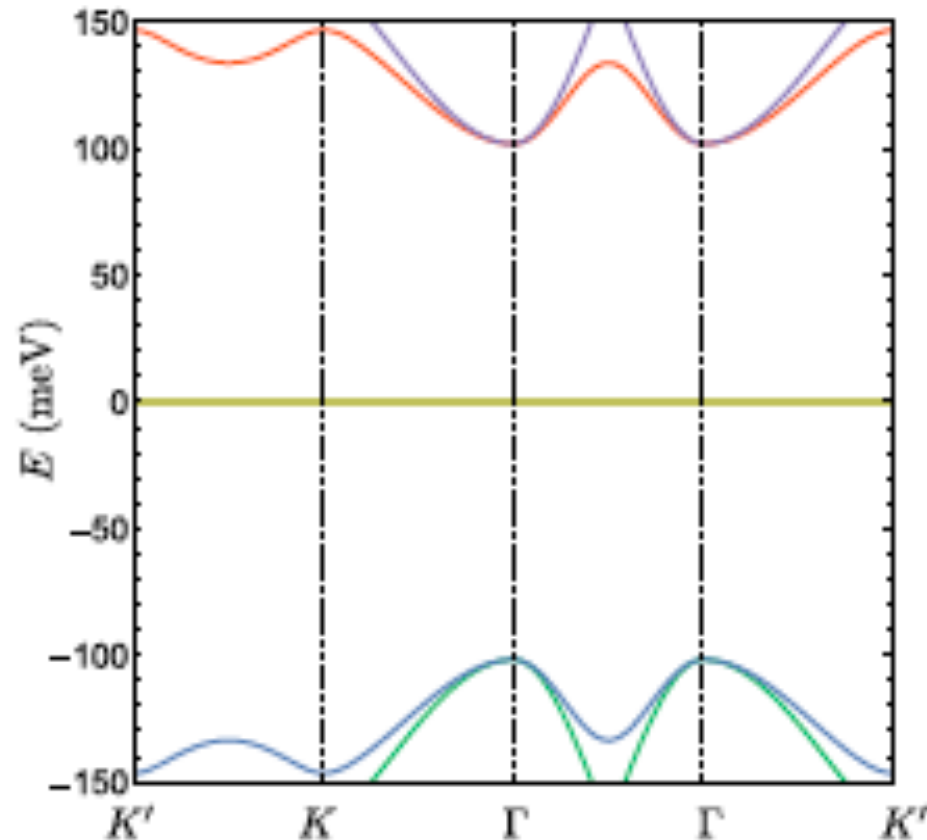
**Chiral Symmetry**  
sublattice  $\circ \rightarrow \{\sigma_z \otimes 1, \mathcal{H}\} = 0$

# From Flattish to Perfectly Flat Bands in the Chiral Limit

Tarnopolski, Kruchkov, AV  
P. San-Jose, Gonz'alez, and Guinea

Exactly flat bands

$$\alpha = 0.586$$



**Wavefunctions:**

$$\frac{\Psi_k(z)}{\Psi_\Gamma(z)} = e^{-\frac{i}{2}k\bar{z}} \frac{\sigma(z + i\ell^2 k)}{\sigma(z)}$$

$\sigma(z)$  Weierstrass-Haldane

**sigma** function.

Or, ratio of **Theta** fns.

(Symmetric vs. Landau gauge)

1. There is a zero  
at the origin for Gamma point wfn.

2. Has Chern number =1

Can be seen from  $u_{k+G} = e^{i\phi_k(G)} u_k$

$$\sigma(z) = -\sigma(-z) \quad ,$$

$$\sigma(z + a_{1,2}) = -\exp\left(\frac{1}{2\ell^2} a_{1,2}^* \left(z + \frac{a_{1,2}}{2}\right)\right) \sigma(z)$$

Tarnopolski, Kruchkov, AV;  
P. Ledwith, Tarnopolsky, Khalaf, AV;  
Jie Wang, Cano, Millis, Liu, Yang.  
Haldane.

# Exactly Flat Bands

Look for *exactly* zero energy states:

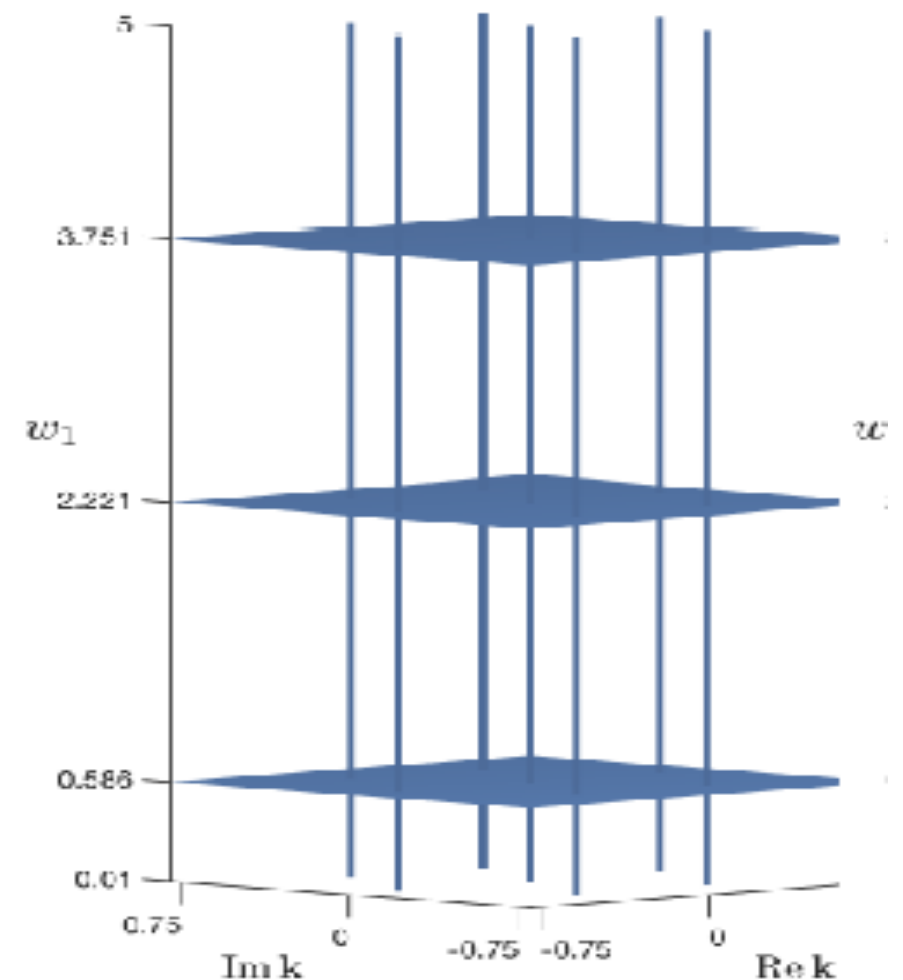
$$\mathcal{D}(r) \psi(r) = 0$$

Usually:  $H \psi_k = E(k) \psi_k$ . BUT HERE  $D \psi_k = 0$

- Simpler 'zero mode' equation -

$$D u_{\mathbf{k}} = (k_x + i k_y) u_{\mathbf{k}}$$

- Always has solution at Dirac point, but flat bands only at magic angles.

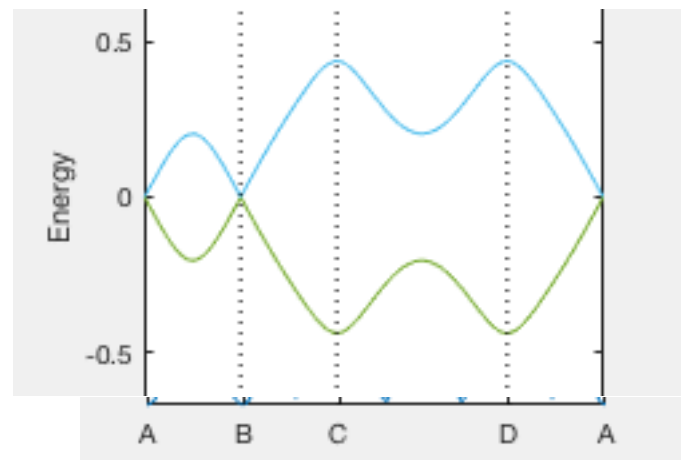


# Exactly Flat Bands

Look for *exactly* zero energy states:

$$\mathcal{D}(r) \psi(r) = 0$$

For all angles there exists a zero-mode solution at K point  $\mathcal{D}(\mathbf{r})\psi_K(\mathbf{r}) = 0$



$$\begin{pmatrix} -2i\bar{\partial} & \alpha U(\mathbf{r}) \\ \alpha U(-\mathbf{r}) & -2i\bar{\partial} \end{pmatrix} \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix} = 0$$

Generate new zero modes  
- flat band?

$$?? \begin{pmatrix} \psi_{k1} \\ \psi_{k2} \end{pmatrix} = f(z) \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix} ??$$

# Theory of Exactly Flat Band

$$\begin{pmatrix} \psi_{k1} \\ \psi_{k2} \end{pmatrix} = f(z) \begin{pmatrix} \psi_{K1} \\ \psi_{K2} \end{pmatrix}$$

another Zero mode? -  
but needs correct periodicity

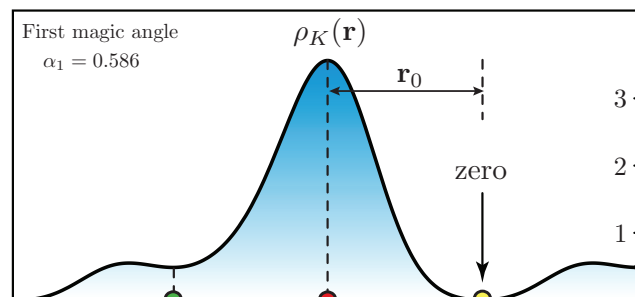
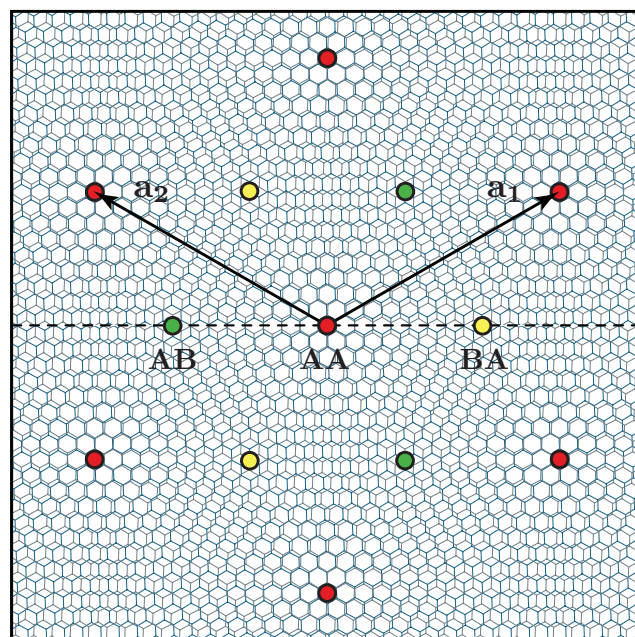
**Theta functions**

$$f(z) \sim \frac{\theta_{a',b'}(z|\tau)}{\theta_{a,b}(z|\tau)}$$

BUT requires wave-function zero  
to cancel pole in denominator

At special (magic) angles,  
the spinor wfn.

**vanishes** at **points** in the unit cell



$$\psi_{\mathbf{k}}(\mathbf{r}) = e^{2\pi i k_1 z'} \frac{\vartheta(z' - k_2 + \omega k_1 | \omega)}{\vartheta(z' | \omega)} \psi_K(\mathbf{r})$$



# Chiral Model

$$\mathcal{H} = \begin{pmatrix} \overset{\text{A}}{0} & \overset{\text{B}}{\mathcal{D}^*(-\mathbf{r})} \\ \mathcal{D}(\mathbf{r}) & 0 \end{pmatrix}, \quad \mathcal{D}(\mathbf{r}) = \begin{pmatrix} \overset{\text{U}}{-2i\bar{\partial}} & \overset{\text{D}}{\alpha U(\mathbf{r})} \\ \alpha U(-\mathbf{r}) & \overset{\text{D}}{-2i\bar{\partial}} \end{pmatrix},$$

$$\bar{\partial} = \frac{1}{2}(\partial_x + i\partial_y) \quad U(\mathbf{r}) = e^{-i\mathbf{q}_1 \mathbf{r}} + e^{i2\pi/3} e^{-i\mathbf{q}_2 \mathbf{r}} + e^{-i2\pi/3} e^{-i\mathbf{q}_3 \mathbf{r}}$$

$$\begin{pmatrix} 0 & \mathcal{D}^*(-r) \\ \mathcal{D}(r) & 0 \end{pmatrix} \begin{pmatrix} 0 \\ \psi_B(r) \end{pmatrix} = 0$$

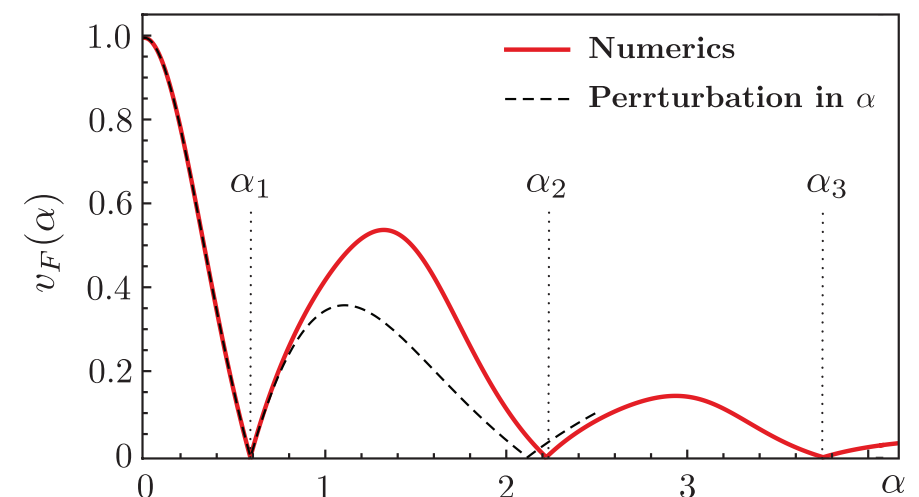
**Gives:**

perfectly flat bands at a series of magic angles.

Perturbation Theory:

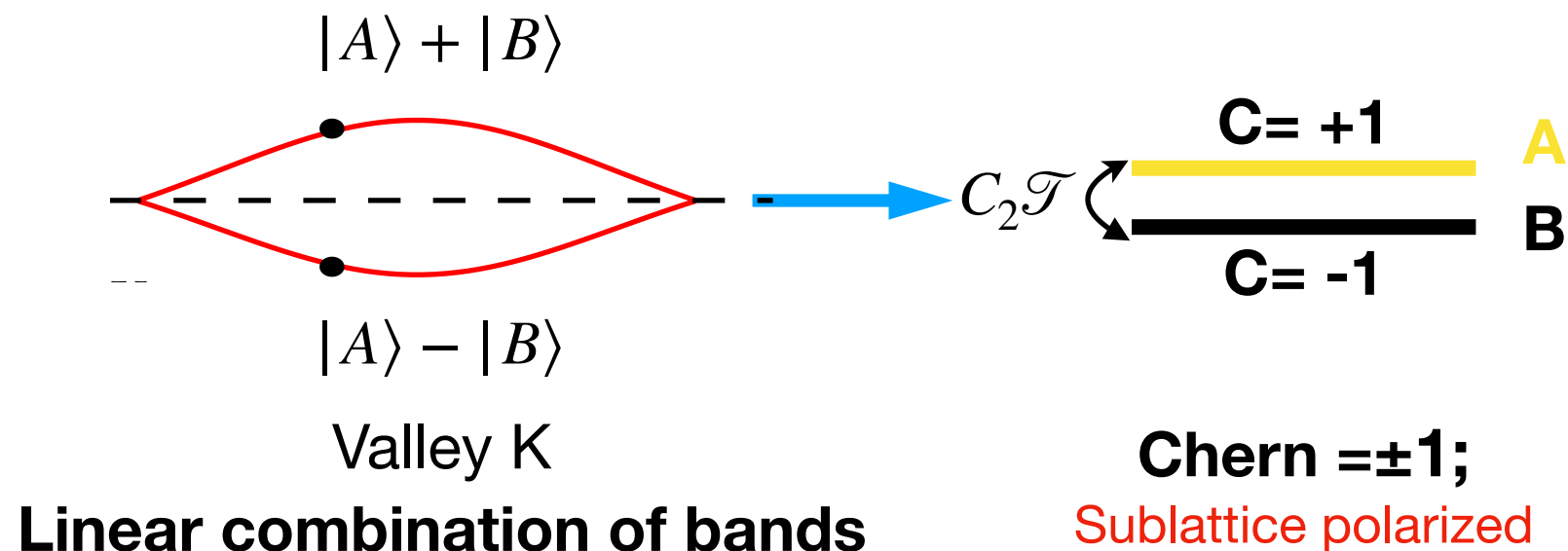
$$\psi_K(\mathbf{r}) = \begin{pmatrix} \psi_{K,1} \\ \psi_{K,2} \end{pmatrix} = \begin{pmatrix} 1 + \alpha^2 u_2 + \alpha^4 u_4 + \dots \\ \alpha u_1 + \alpha^3 u_3 + \dots \end{pmatrix} \quad \begin{aligned} u_1(r_0) &= 0; \\ u_2(r_0) &= |u_1(r_0)|^2 - 3 \end{aligned}$$

$$v_F(\alpha) = \frac{1 - 3\alpha^2 + \alpha^4 - \frac{111\alpha^6}{49} + \frac{143\alpha^8}{294} + \dots}{1 + 3\alpha^2 + 2\alpha^4 + \frac{6\alpha^6}{7} + \frac{107\alpha^8}{98} + \dots}$$



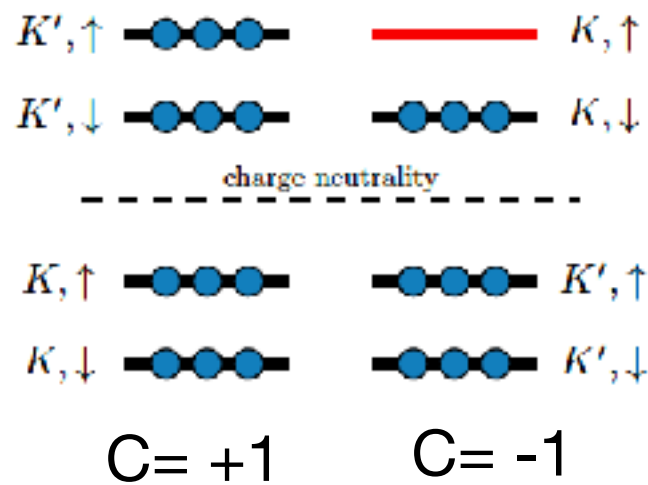
# Chiral Model and Quantum Hall

- Exactly flat bands are eigenstates of chirality (live on a. Single sub lattice A or B)
- They have opposite Chern number
- Staggered Potential + SPONTANEOUS spin & valley polarization=> Chern Insulator



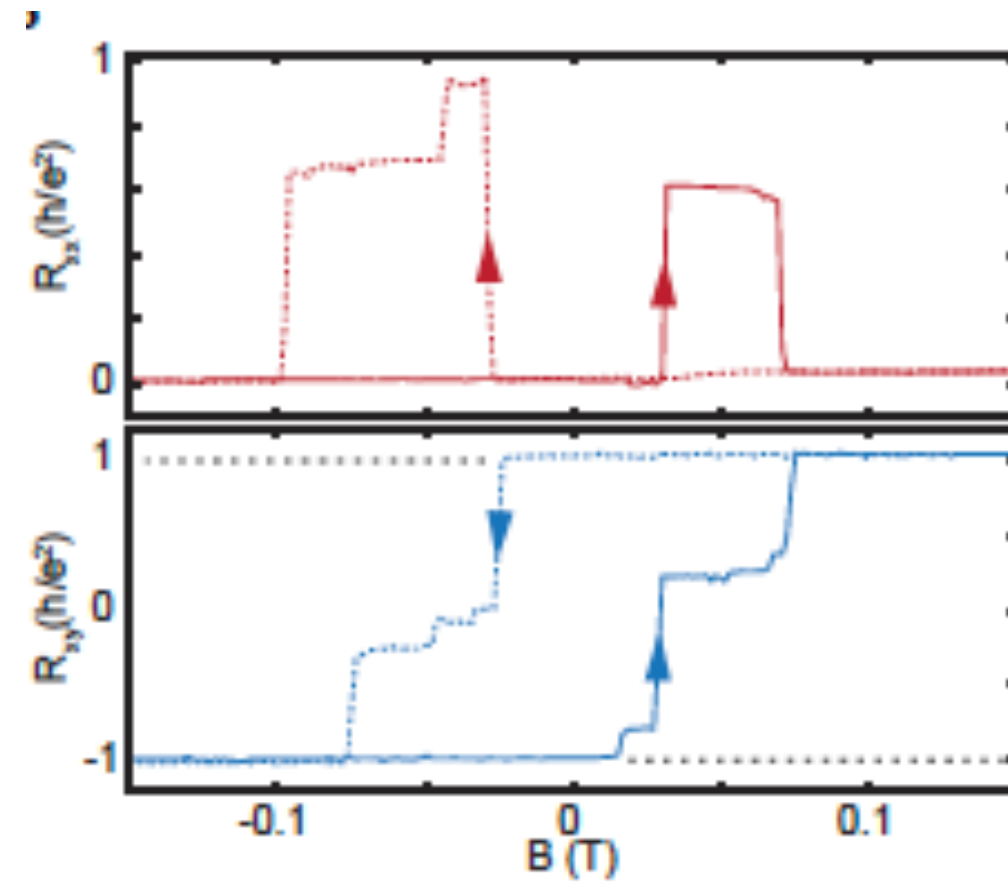
# Intrinsic quantized anomalous Hall effect in a moiré heterostructure

M. Serlin,<sup>1,\*</sup> C. L. Tschirhart,<sup>1,\*</sup> H. Polshyn,<sup>1,\*</sup> Y. Zhang,<sup>1</sup> J. Zhu,<sup>1</sup> K. Watanabe,<sup>2</sup> T. Taniguchi,<sup>2</sup> L. Balents,<sup>3</sup> and A. F. Young<sup>1,†</sup>



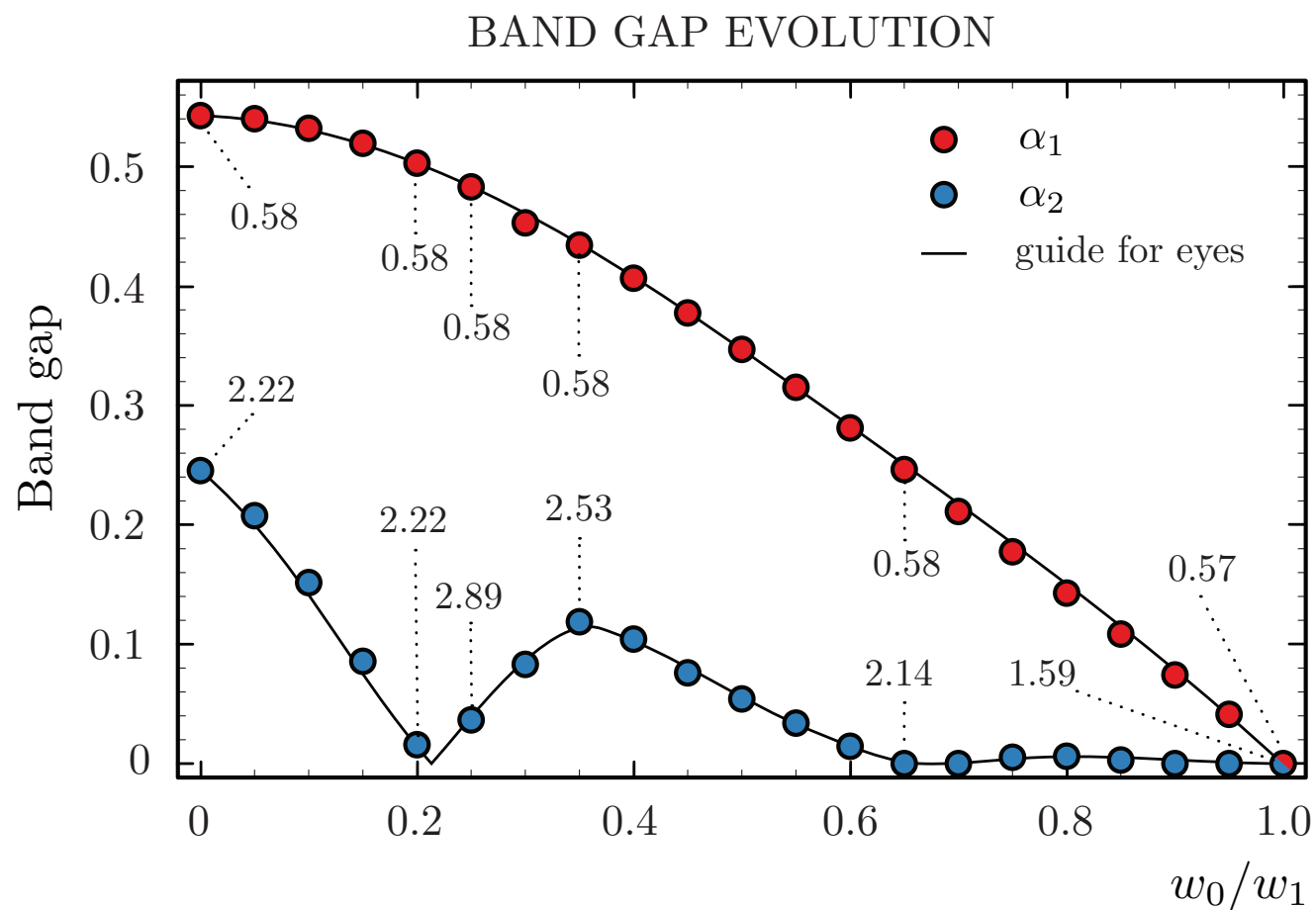
$$\nu = 3$$

Integer Chern Insulator



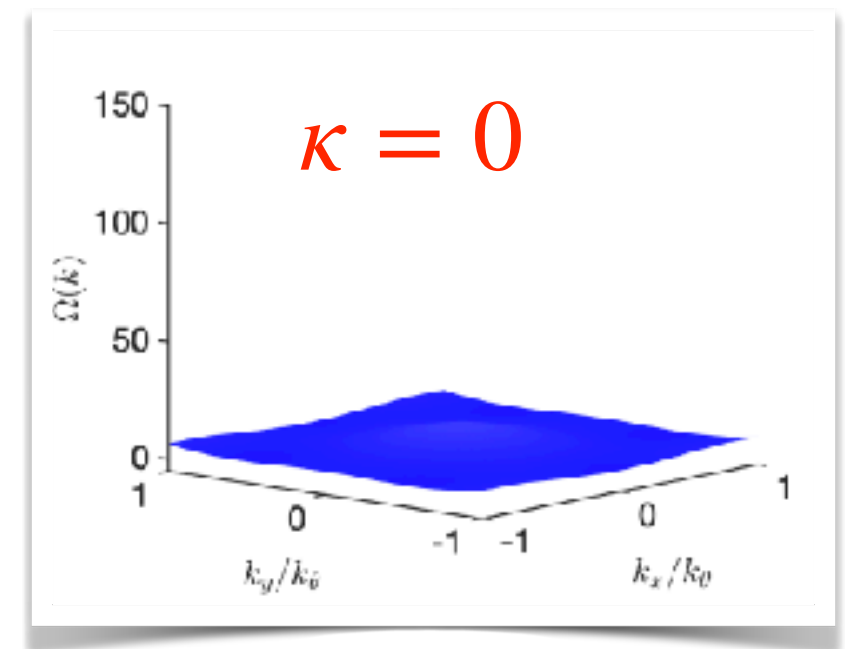
# Real Magic Angle Graphene

**CHIRAL:  $0 < w_0/w_1 < 1$  BM**

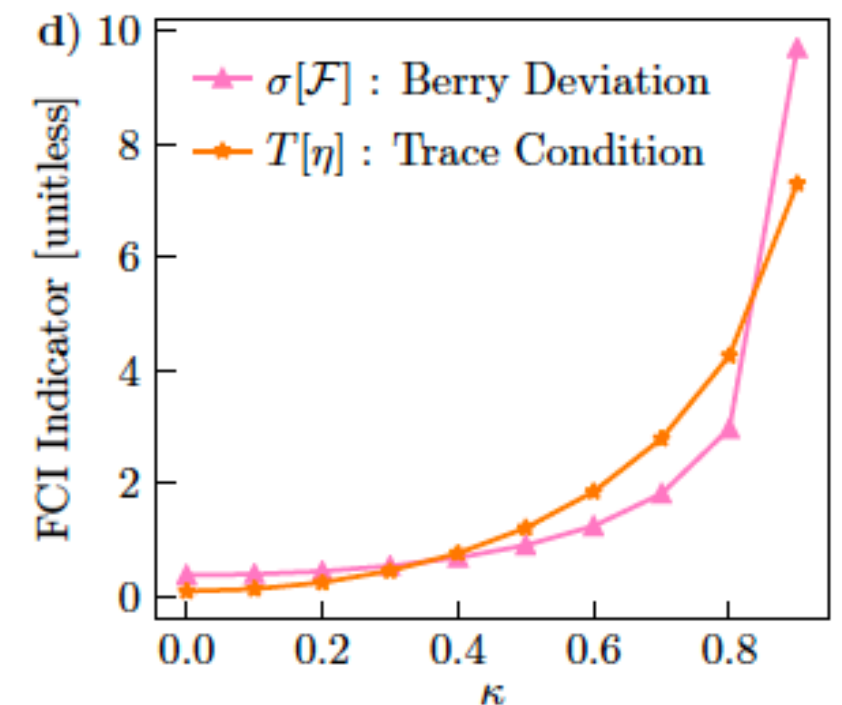


First magic angle  
Connected to chiral limit.

**Nearly uniform Berry curvature**



**Geometry**



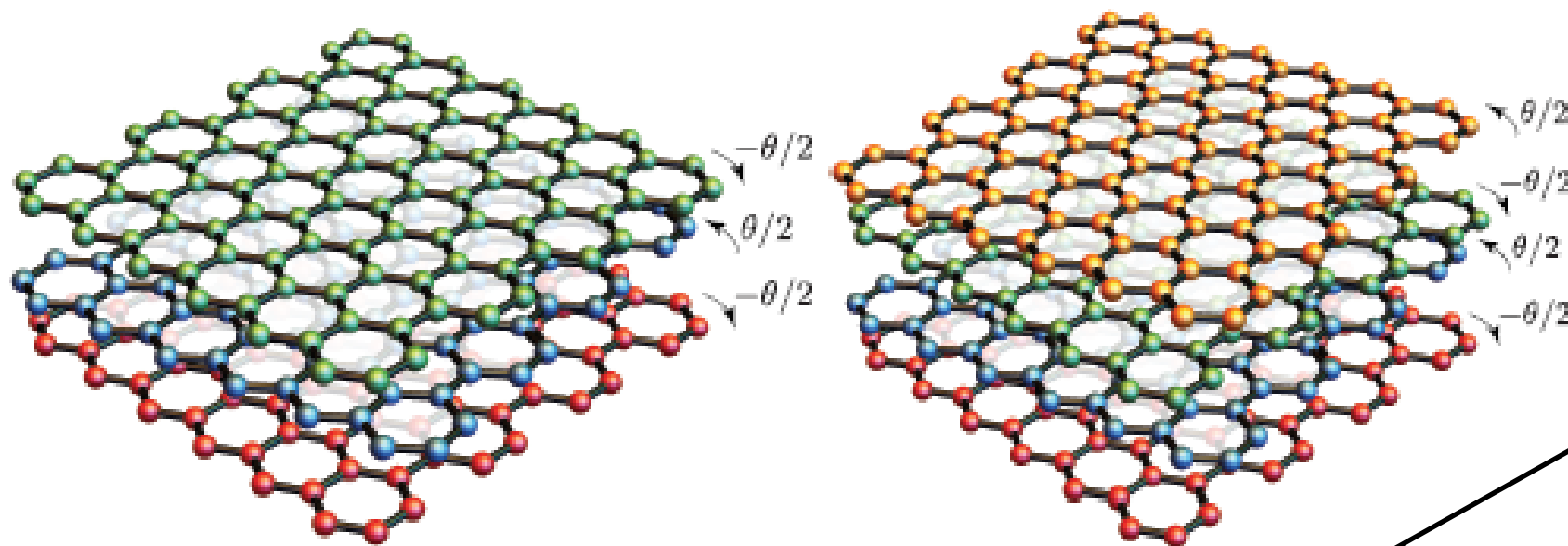
# Alternating Twist Multilayers

- Other systems with C2 symmetry

## Alternating twist sandwich



Eslam Khalaf

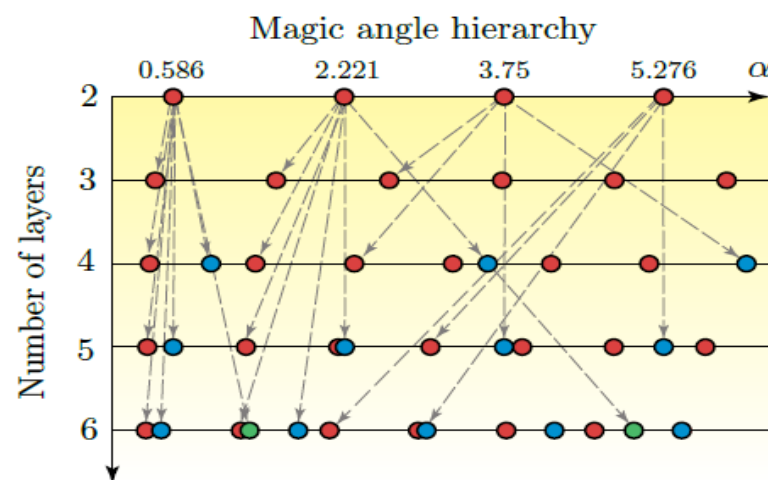


Magic angle =  $\sqrt{2} \ 1.1^\circ \sim 1.55^\circ$

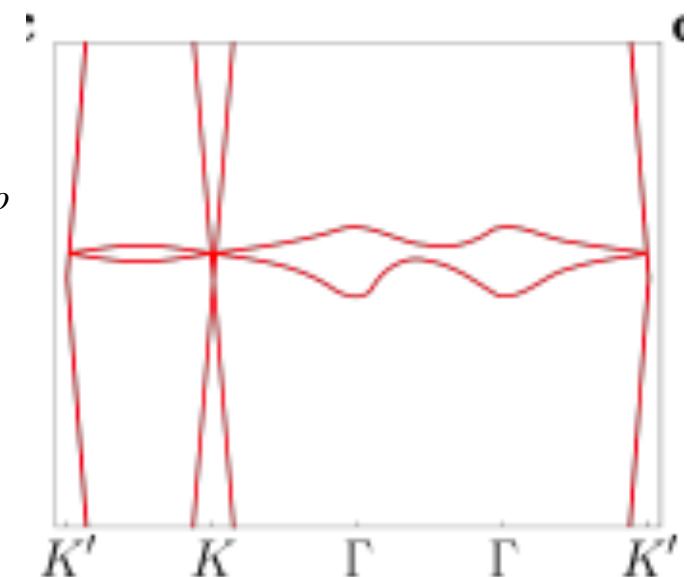


**Flat band+Dirac**

Carr et al. stability `19



$$\varphi = \frac{1 + \sqrt{5}}{2} \quad \text{Magic angle} = \frac{1 + \sqrt{5}}{2} \ 1.1^\circ \sim 1.78^\circ$$

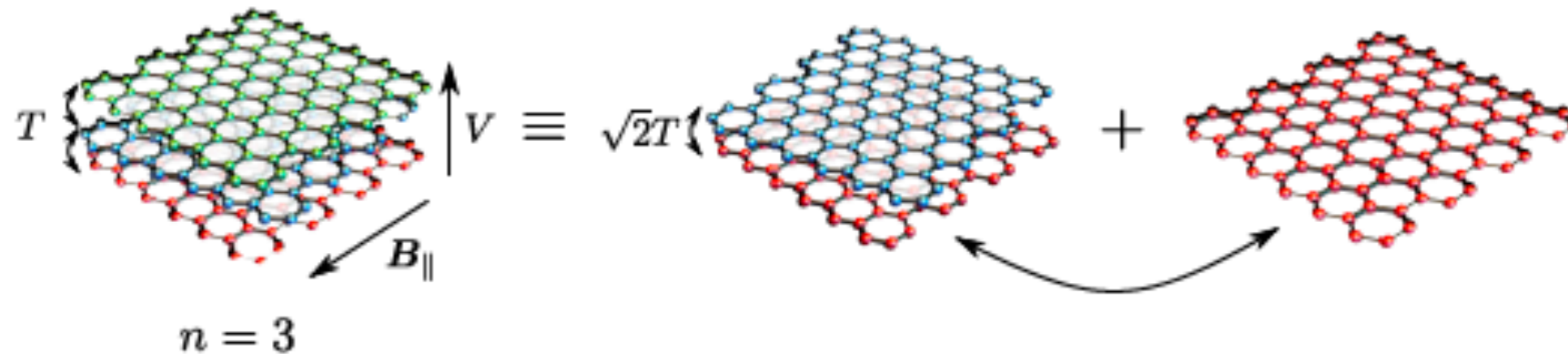


# Deconstructing $n=3, 4, 5$

5

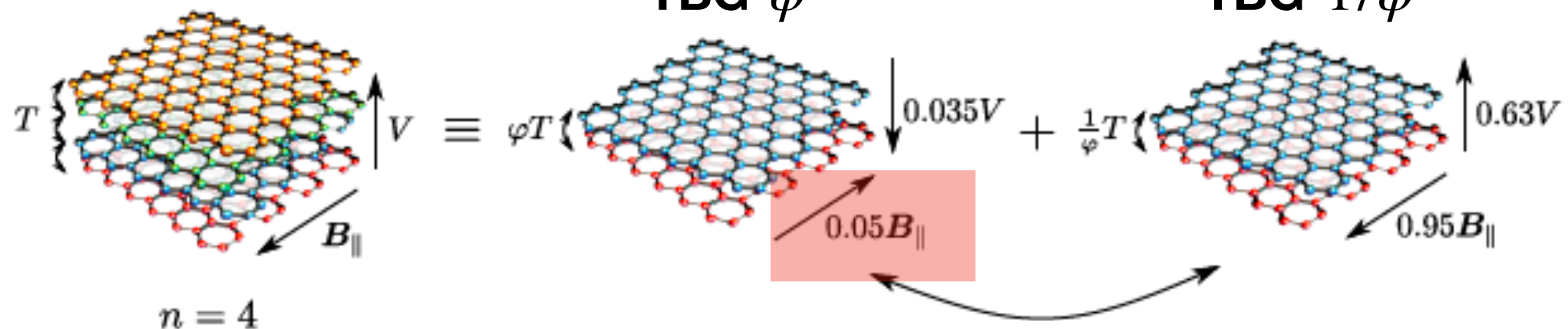
**TBG  $-\sqrt{2}$**

**Dirac**



**TBG- $\varphi$**

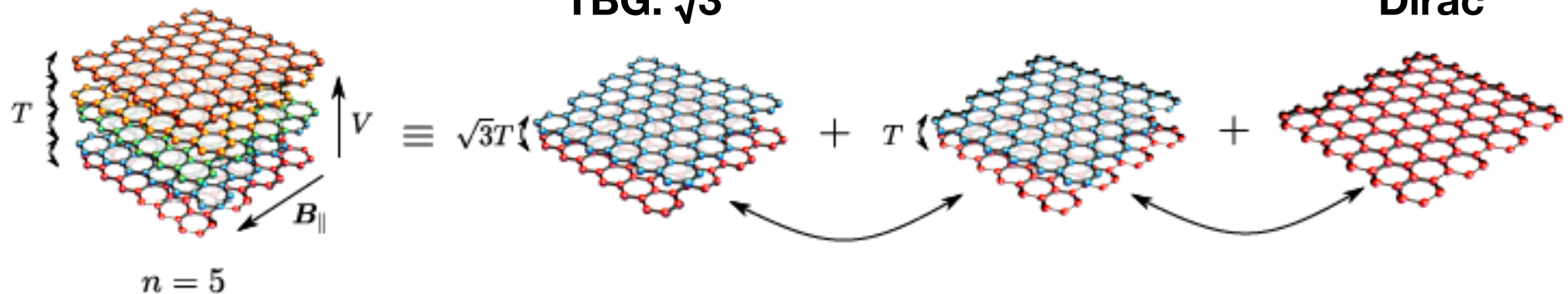
**TBG- $1/\varphi$**



**TBG:  $\sqrt{3}$**

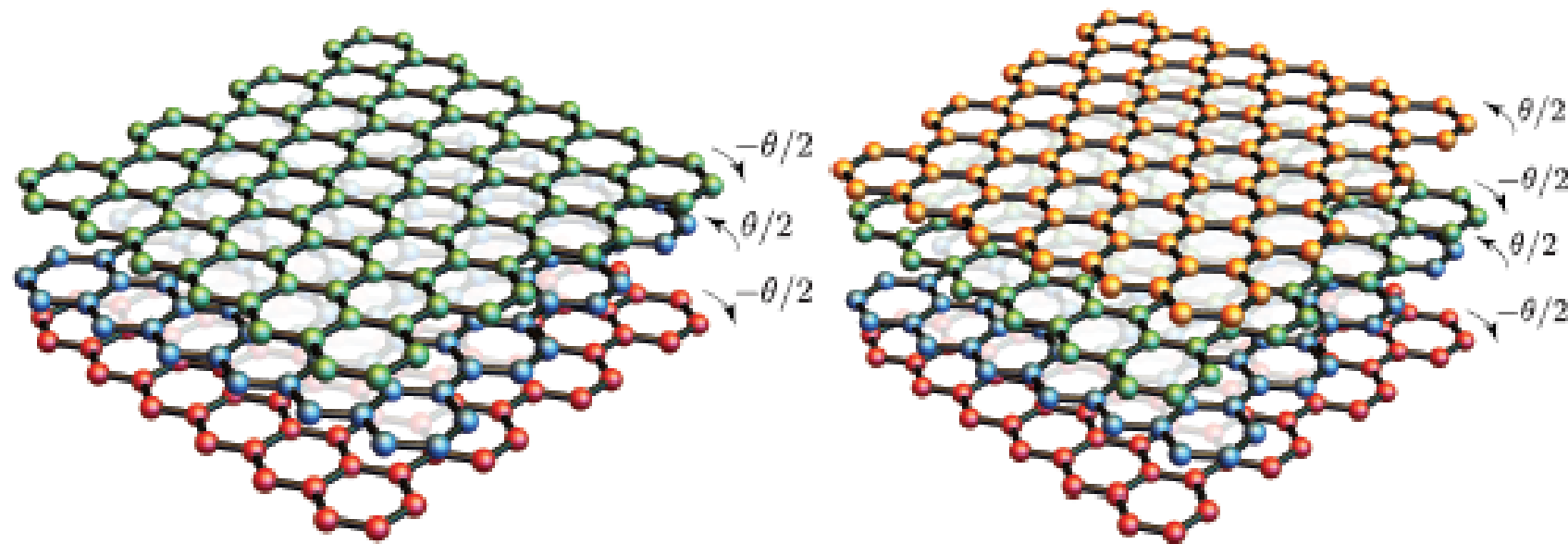
**TBG**

**Dirac**



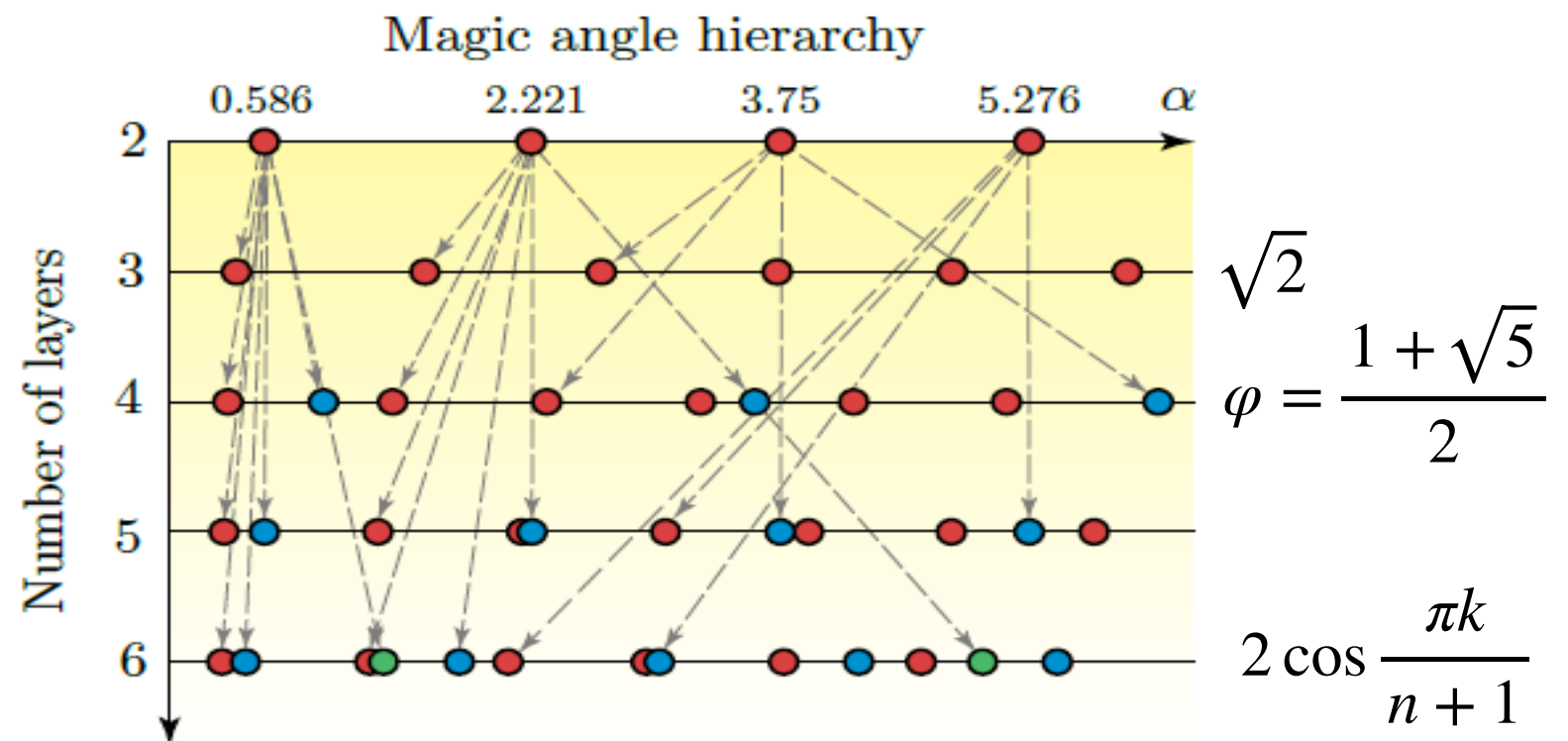


# ASIDE: Alternating-twist multilayer graphene



Eslam Khalaf

- Alternating twist:
- Magic angles *simply* related to the bilayer case.



# Displacement Field Effect

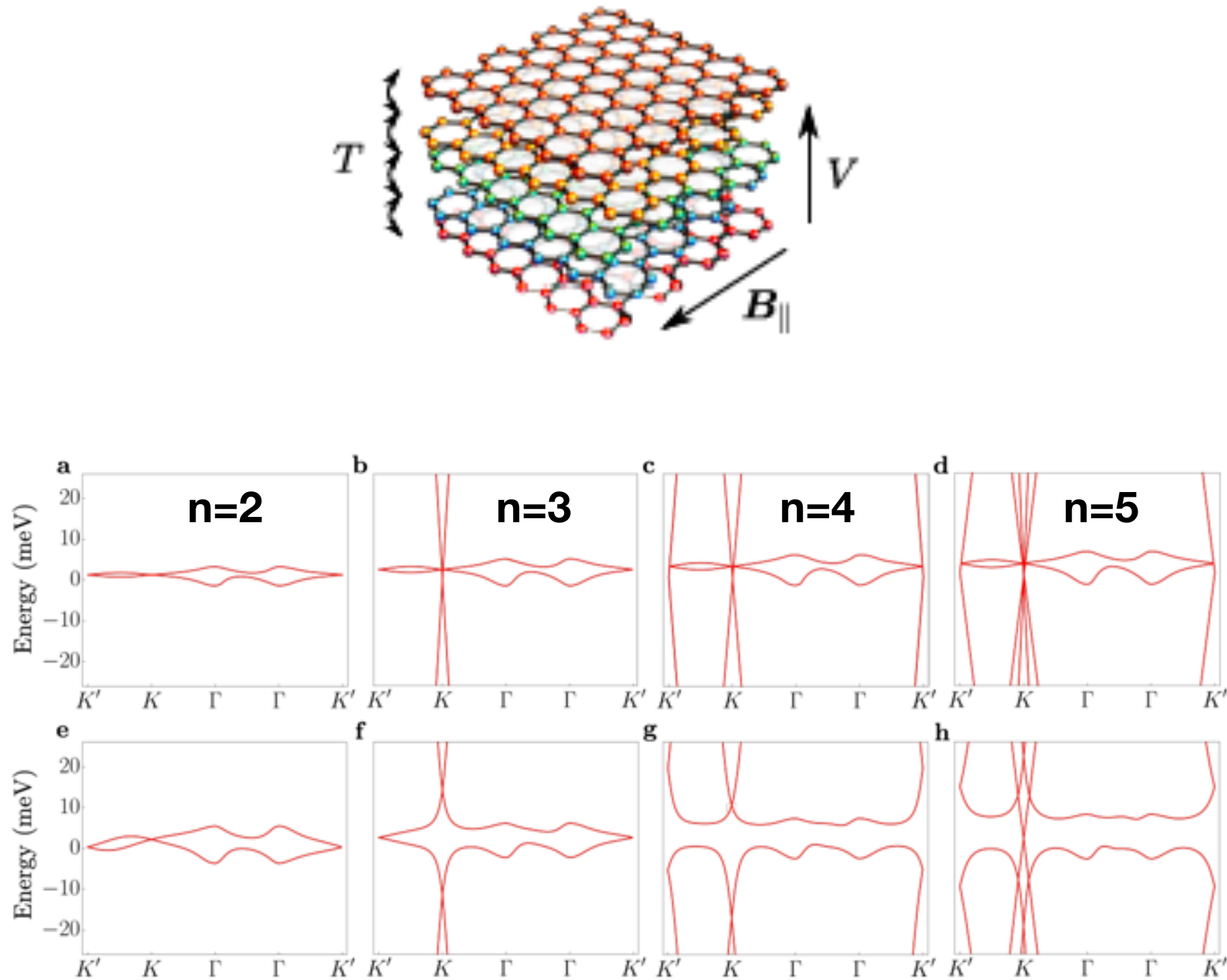


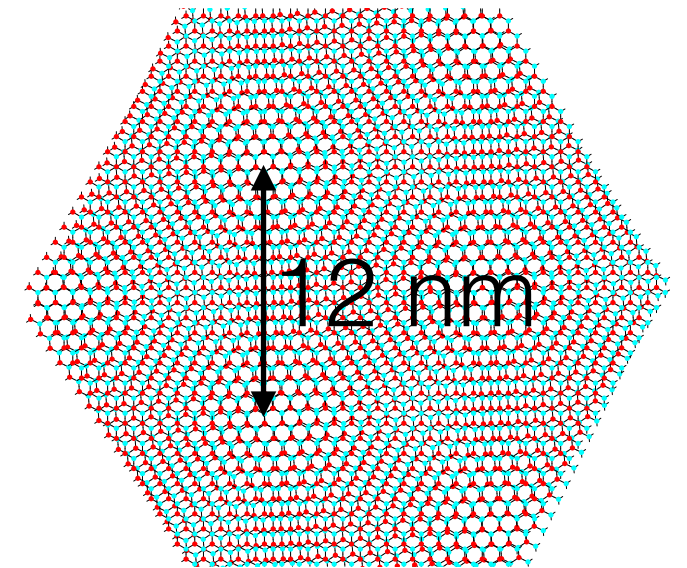
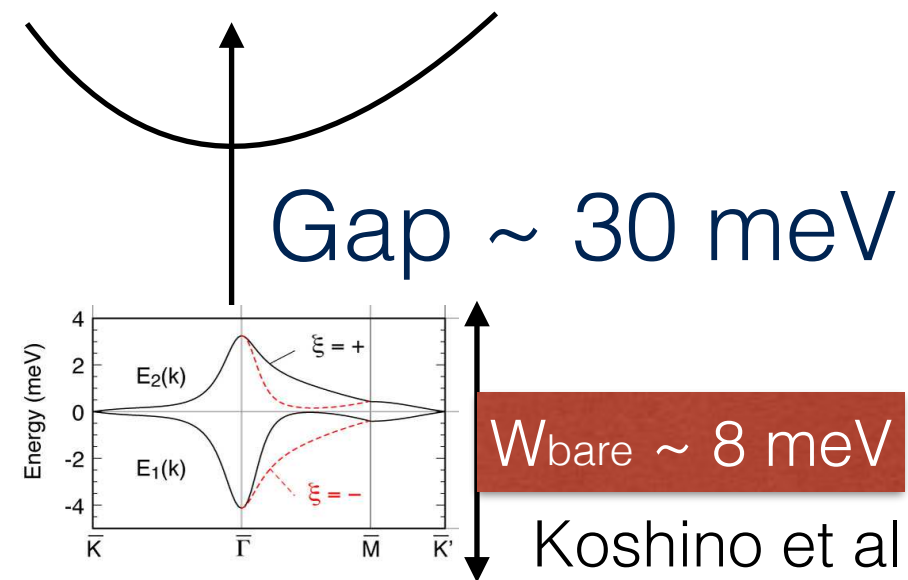
FIG. 2: ATMG band structures with and without displacement field: Panels **a-d** have no displacement field and correspond to  $n = 2, 3, 4, 5$  respectively. Panels **e-h** have  $V = 50$  meV and also correspond to  $n = 2, 3, 4, 5$  respectively. We used the parameters  $\kappa = 0.58$  and  $\theta = \lambda_1 1.05^\circ$  for  $\lambda_1 = 1, \sqrt{2}, \varphi, \sqrt{3}$  for  $n = 2, 3, 4, 5$  respectively. Note,  $n = 2$  which corresponds to ordinary MATBG shows little change with displacement field (**a** vs. **e**). In contrast, a gap opens at  $n = 3, 4, 5$  on applying moderate  $V$  (**b, c, d** vs. **f, g, h**).

# OUTLINE

- Lecture 1 - **Preliminaries**, the chiral model, *wave functions*, from bilayer to  $n=3,4,5..$
- Lecture 2 - **Correlated Insulators** - exact solutions, Hartree Fock, topology and  $\sigma$  model.
- Lecture 3 - **Superconductivity** - disordered  $\sigma$  model.
- Lecture 4 - **Fractional Chern insulators** in magic angle graphene



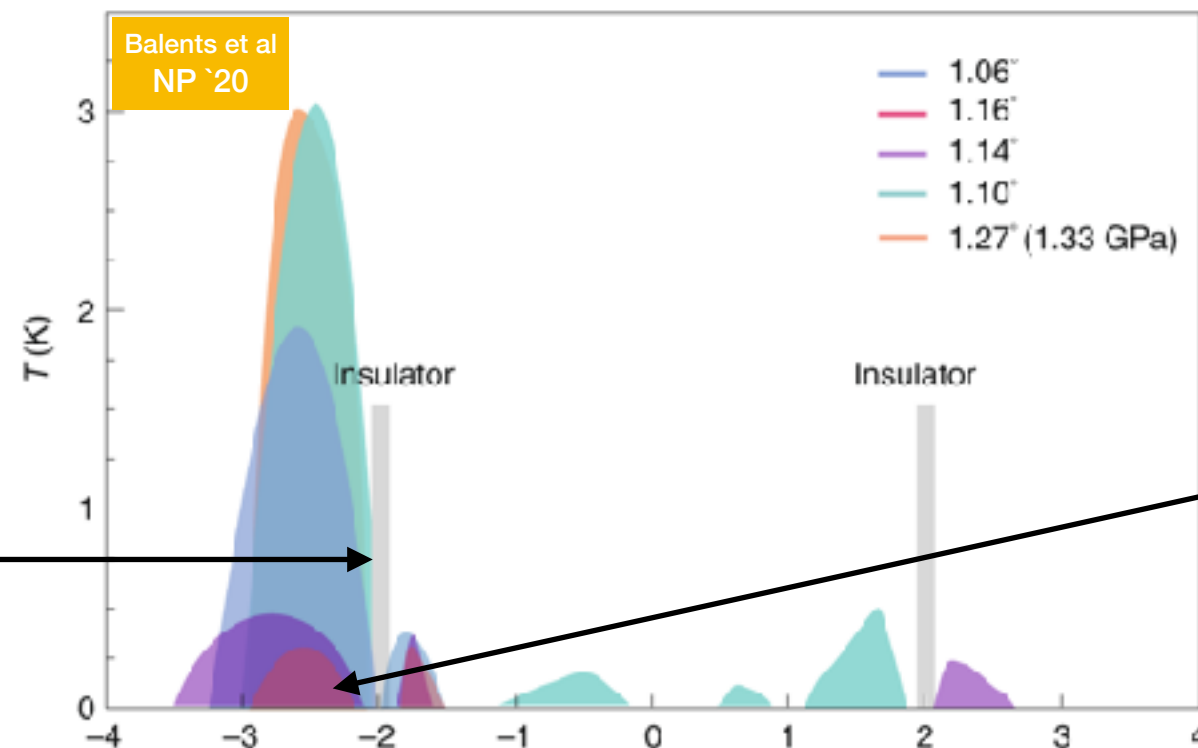
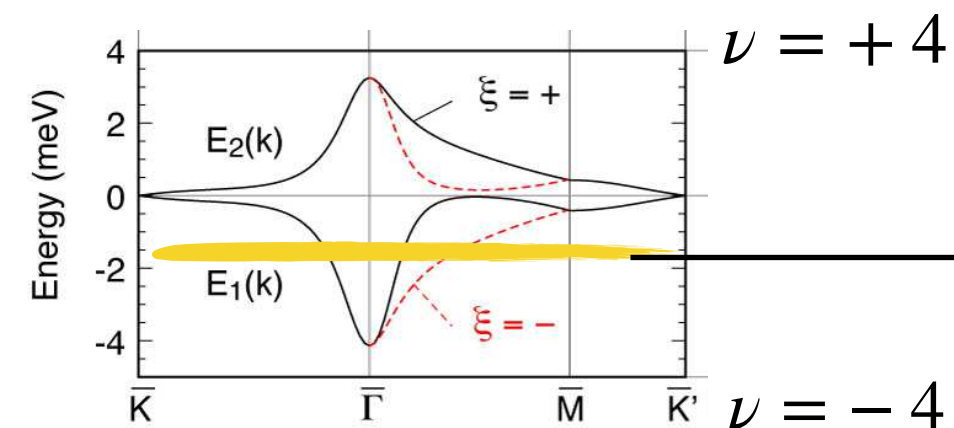
# Correlation Effects in Twisted Bilayer Graphene



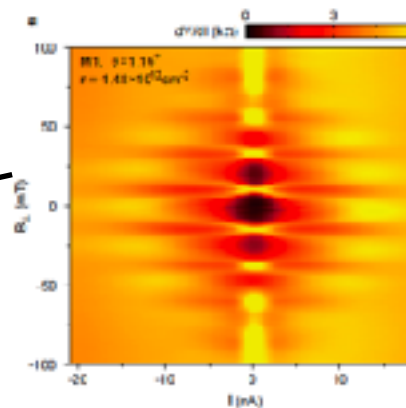
$V \sim 30$  meV

$\theta \sim 1/60$  radians

## Electron Count



## Superconductivity



# Chiral Limit Wavefunctions

**Many special properties:**

(i) Chern number+ sub-lattice polarized

$$\psi_A(x, y) = \frac{\psi_K(x, y)}{\theta_1\left(\frac{z - z_0}{a_1} \middle| \omega\right)} f(x + iy)$$

**Apply  $C_2T$  symmetry** - *same valley opposite* Chern number, *opposite* sublattice.

**C= +1** **A**

$$H = H_0 + \sum V(x - x') \rho(x) \rho(x')$$

↖  
Prefers band basis

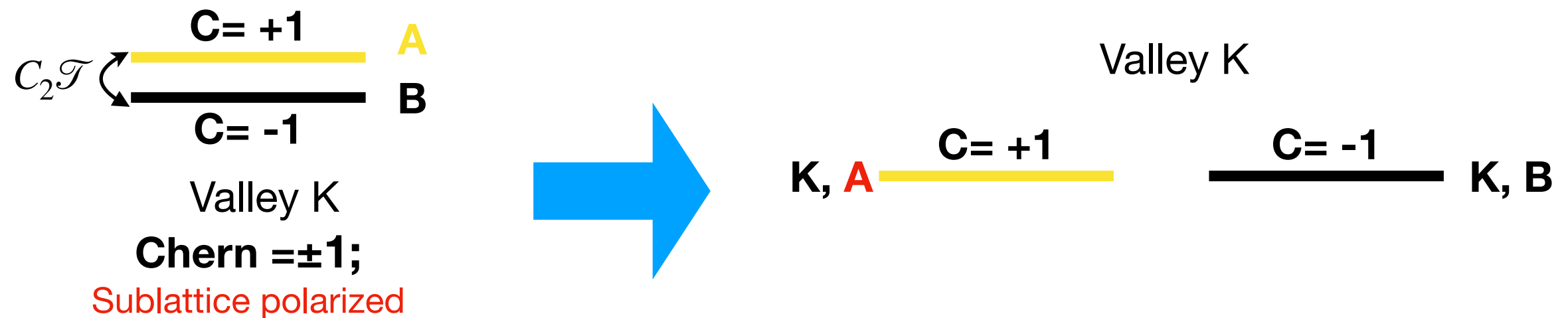
↖  
Prefers polarizing electrons,  
*sublattice basis*

# Chiral Limit Wavefunctions

**Many special properties:**

- (i) Chern number+ sub-lattice polarized
- $$\psi_A(x, y) = \frac{\psi_K(x, y)}{\theta_1(\frac{z - z_0}{a_1} | \omega)} f(x + iy)$$

**Apply  $C_2T$  symmetry** - *same valley opposite* Chern number, *opposite* sublattice.



$$\rho(x) = \sum_{a=A,B} c_a^\dagger(x) c_a(x) \quad \text{Wfns.}$$

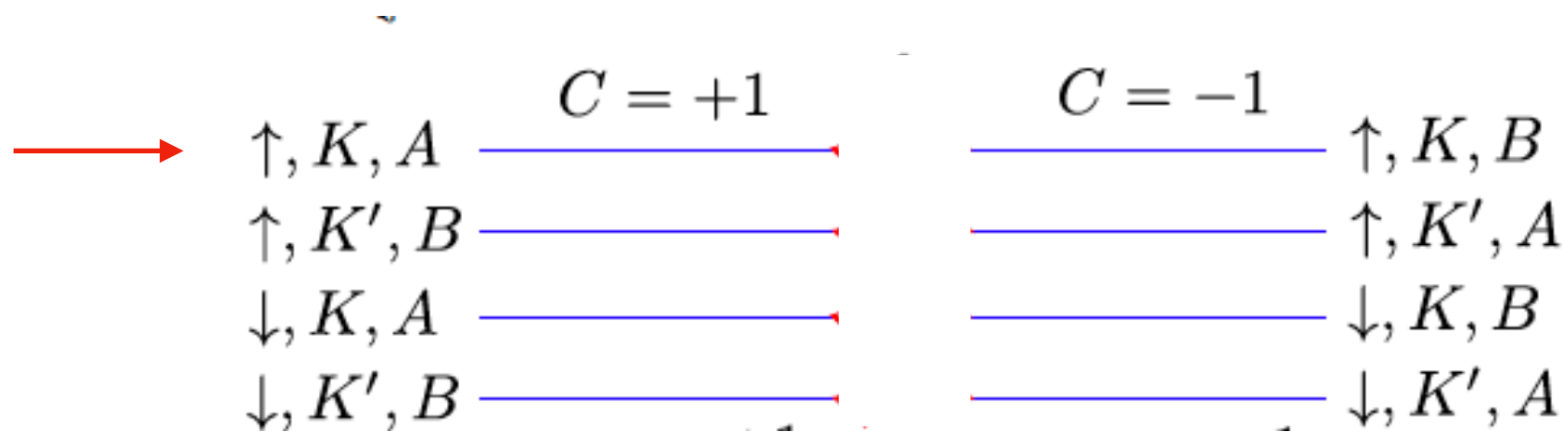
$$c_a^\dagger(x) = u_a^{(+)}(x) c_+^\dagger + u_a^{(-)}(x) c_-^\dagger$$

Sublattice polarized:

$$\rho = \rho_{C=+1} + \rho_{C=-1}$$



# Interactions



$$\mathcal{H} = \sum_{\mathbf{k}} c_{\mathbf{k}}^{\dagger} h_{\mathbf{k}} c_{\mathbf{k}} + \frac{1}{2A} \sum_{\mathbf{q}} V_{\mathbf{q}} \delta \rho_{\mathbf{q}} \delta \rho_{-\mathbf{q}}, \quad \delta \rho_{\mathbf{q}} = \rho_{\mathbf{q}} - \bar{\rho}_{\mathbf{q}},$$

$$\rho(\mathbf{q}) = \sum_{\mathbf{k} \in \text{BZ}} c_{\mathbf{k}}^{\dagger} \Lambda_{\mathbf{q}}(\mathbf{k}) c_{\mathbf{k}+\mathbf{q}}$$

Screened  
Coulomb

Form factor - wave functions of flat bands - plays a key role

PT

# Flavor Ordered Insulator

**Ground State and Hidden Symmetry of Magic Angle Graphene at Even Integer Filling**

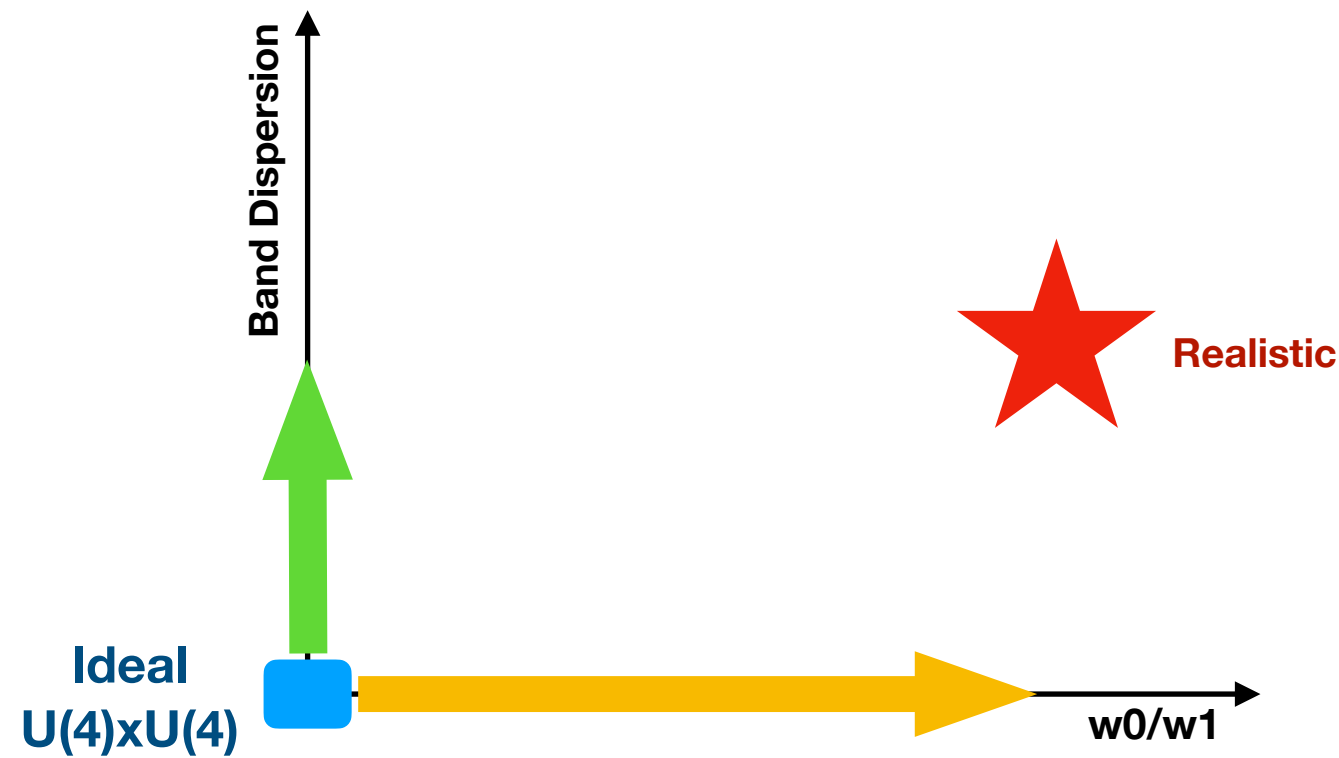
Nick Bultinck,<sup>1,\*</sup> Eslam Khalaf,<sup>2,\*</sup> Shang Liu,<sup>2</sup> Shubhayu Chatterjee,<sup>1</sup> Ashvin Vishwanath,<sup>2</sup> and Michael P. Zaletel<sup>1,†</sup>

<sup>1</sup>*Department of Physics, University of California, Berkeley, CA 94720, USA*

<sup>2</sup>*Department of Physics, Harvard University, Cambridge, Massachusetts 02138, USA*

[arXiv:1911.02045](https://arxiv.org/abs/1911.02045) (PRX 2020)

# Correlated Insulators - Ideal Limit



Term	Sublattice-diagonal Int.	Dispersion	Sublattice-off-diagonal Int.
Energy	$E_{\text{Coulomb}}$	$0.2 - 0.3 E_{\text{Coulomb}}$	$0.2 - 0.3 E_{\text{Coulomb}}$
Symmetry	$U(4) \times U(4)$	$U_R(4)$	$U(4)_R$

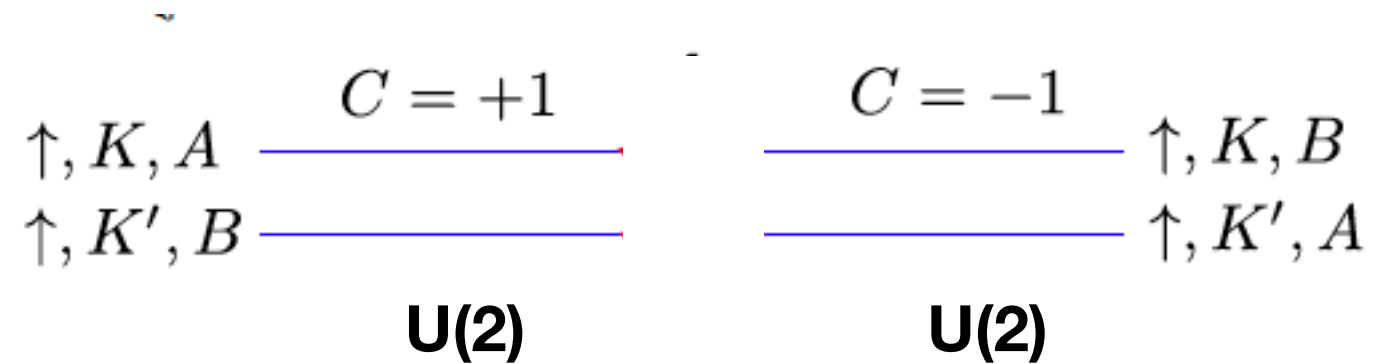
**Density:**

$$\rho \approx \rho_{C=+1} + \rho_{C=-1}$$



# Simplified Model - Spinless TBG

$$\mathcal{H}_{\text{int}} = \frac{1}{2A} \sum_q V_q \delta\rho_q \delta\rho_{-q},$$



**No Dispersion & Chiral limit:**

Density:  $\rho \approx \rho_{C=+1} + \rho_{C=-1}$

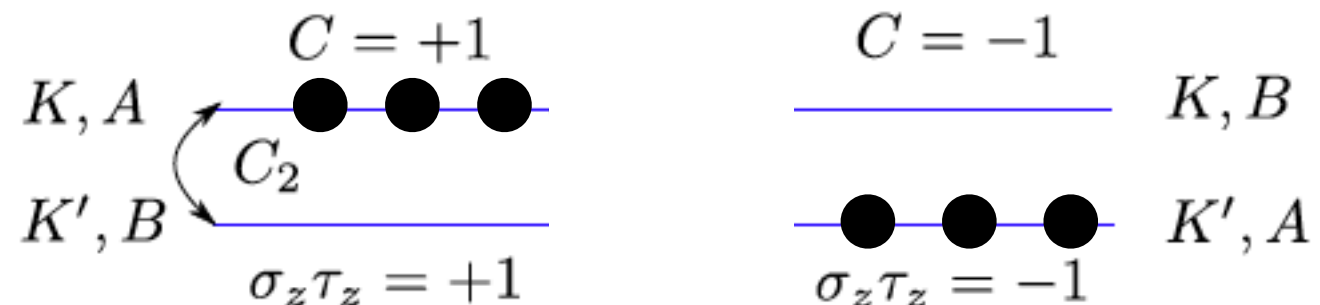
(Spinless Model) **Or**  $\nu = \pm 2$

- Family of exact ground states - generalized ferromagnets. Fill Chern Bands.

**Argument:**

$V_q \geq 0$  and

$\delta\rho_q |\Psi\rangle = 0$



# Ground States of Ideal Model

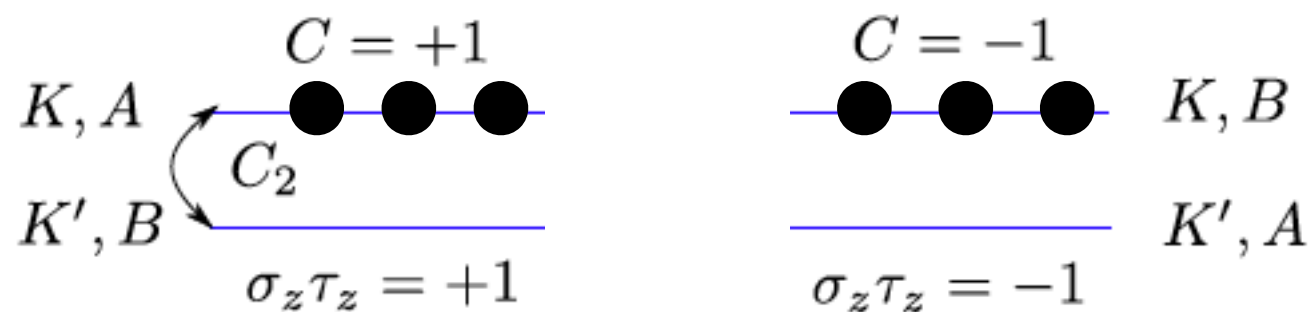
$$Q_+ = 1 - |z_+\rangle\langle z_+| = \sigma \cdot \hat{n}_+$$

$$Q_- = 1 - |z_-\rangle\langle z_-| = \sigma \cdot \hat{n}_-$$

Pseudo-Spin

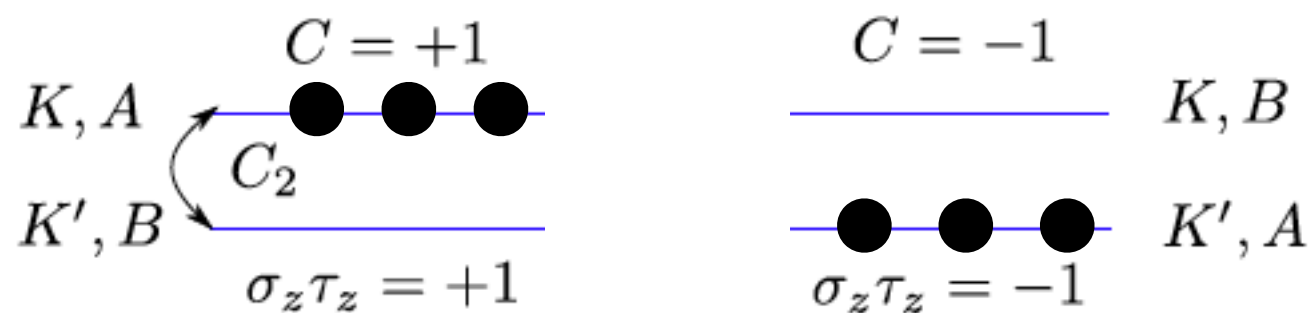
Pseudo-Spin

Valley polarized



$$\hat{n}_+ = \hat{n}_- = -\hat{z}$$

Valley Hall



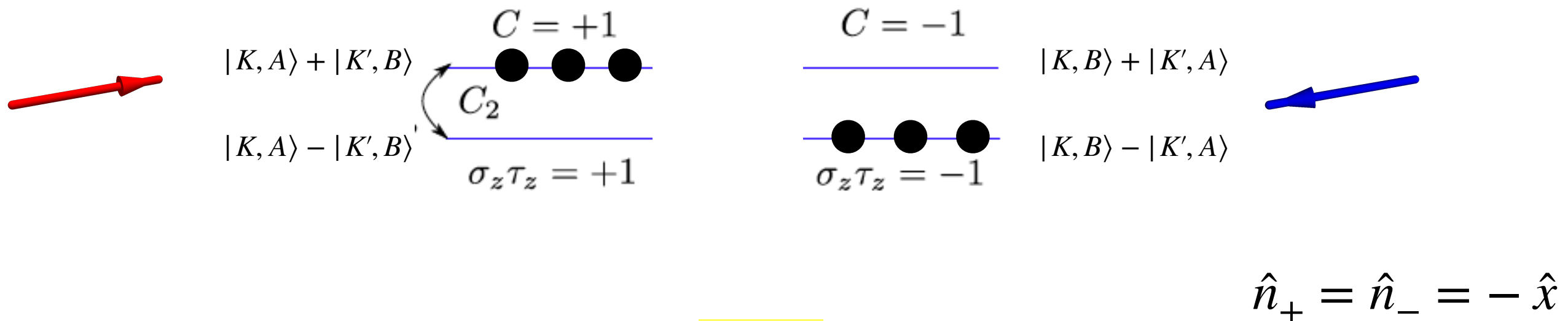
$$\hat{n}_+ = -\hat{n}_- = -\hat{z}$$

# Ground States of Ideal Model

- Intervalley coherent (IVC) states break valley  $U(1)$ : translation symmetry at graphene scale.

Pseudo-Spin

Pseudo-Spin



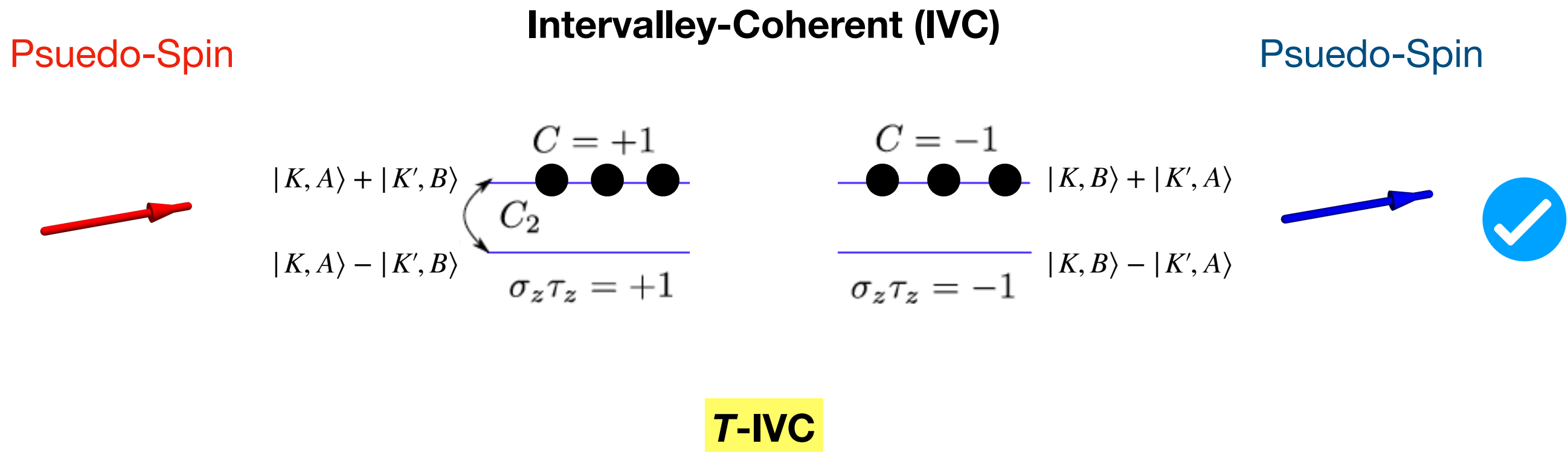
**K-IVC**

$$\hat{n}_+ = \hat{n}_- = -\hat{x}$$



# Ground State of Chiral Model- Generalized Ferromagnet

- Family of exact ground states - Intervalley coherent states break valley  $U(1)$ : translation symmetry at graphene scale.



# Ground State of Chiral Model- Generalized Ferromagnet

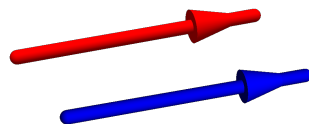
Sublattice (A/B)  $\sigma^z$

Valley (K/K')  $\tau^z$

Some IVCs allowed and others ruled out

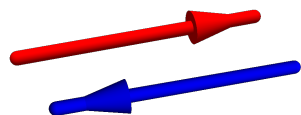
**CDW**

$$Q = \Delta_R \tau_x + \Delta_I \tau_y$$



**T-IVC**

$$Q = \sigma_x \left( \Delta_R \tau_x + \Delta_I \tau_y \right)$$

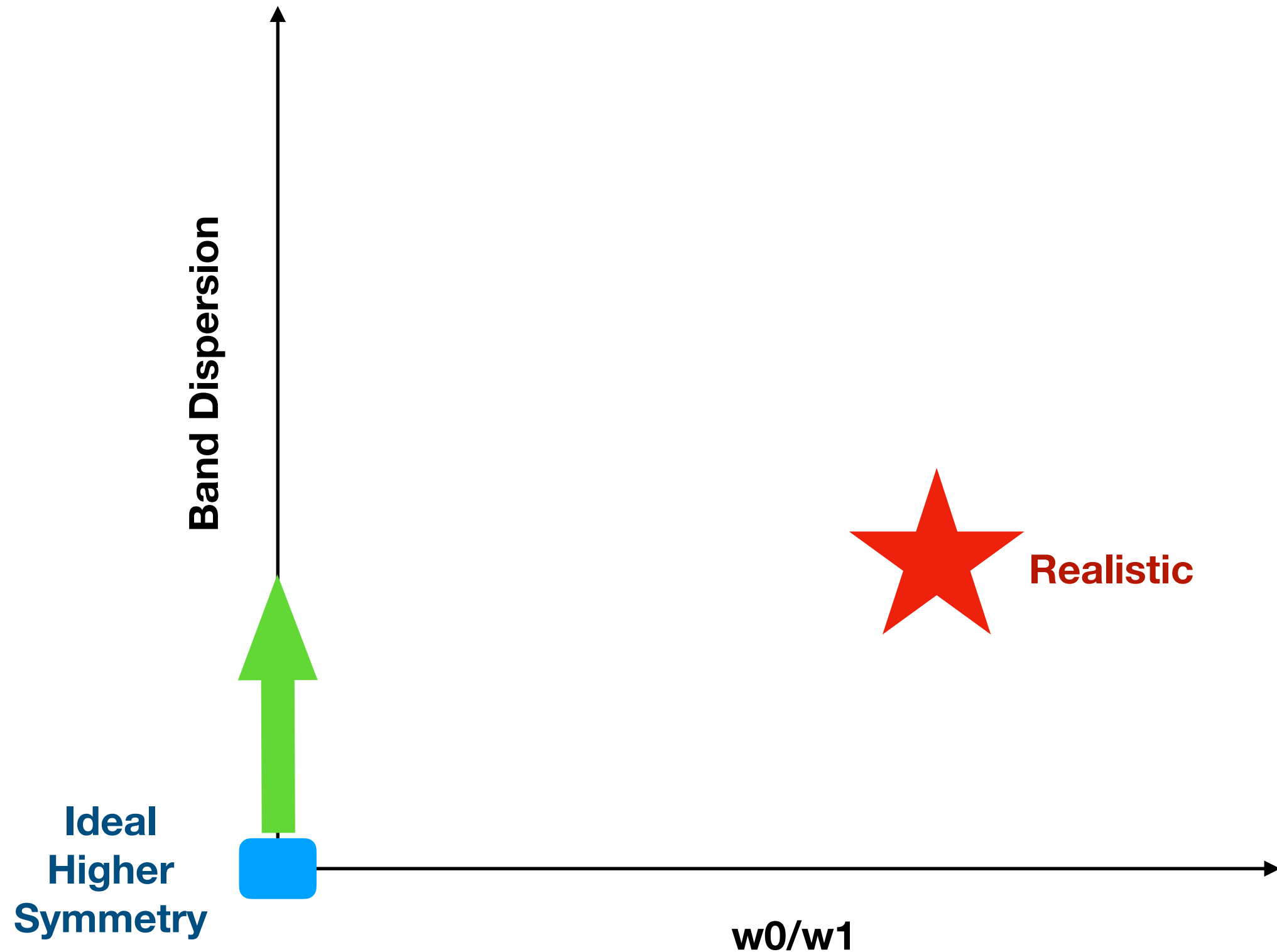


**K-IVC**

$$Q = \sigma_y \left( \Delta_R \tau_x + \Delta_I \tau_y \right)$$



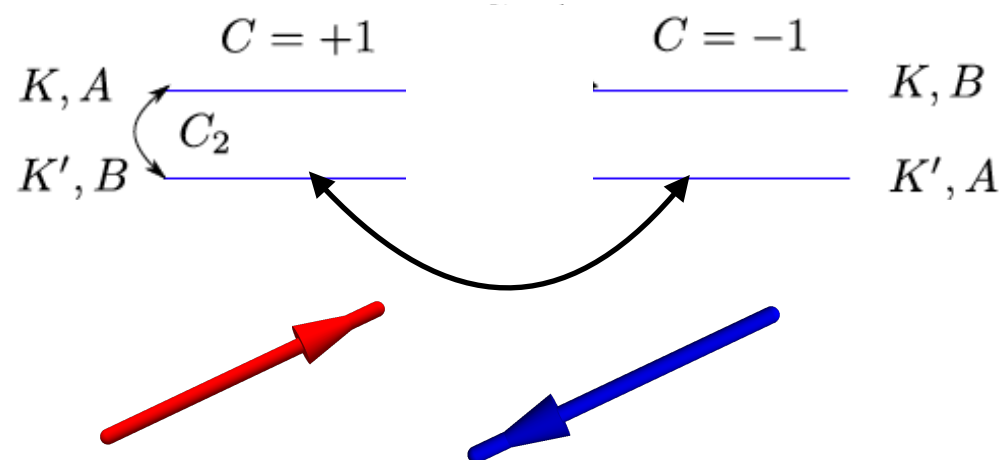
# Including Interactions



# Breaking the Degeneracy

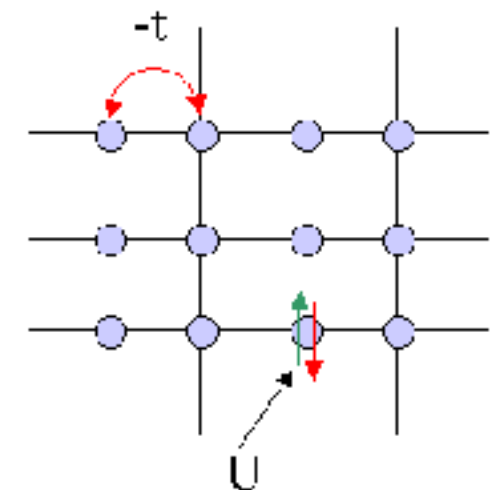
**Dispersion:**

Favors states that can fluctuate.



**Antiferro-pseudospin coupling**

$$\mathbf{J} \mathbf{n}_+ \cdot \mathbf{n}_- \quad J \sim t^2/V \sim 1-2 \text{ meV}$$



$$J \sim 4t^2/U$$

**Superexchange**

**Valley Hall**

$$Q = \sigma_z$$



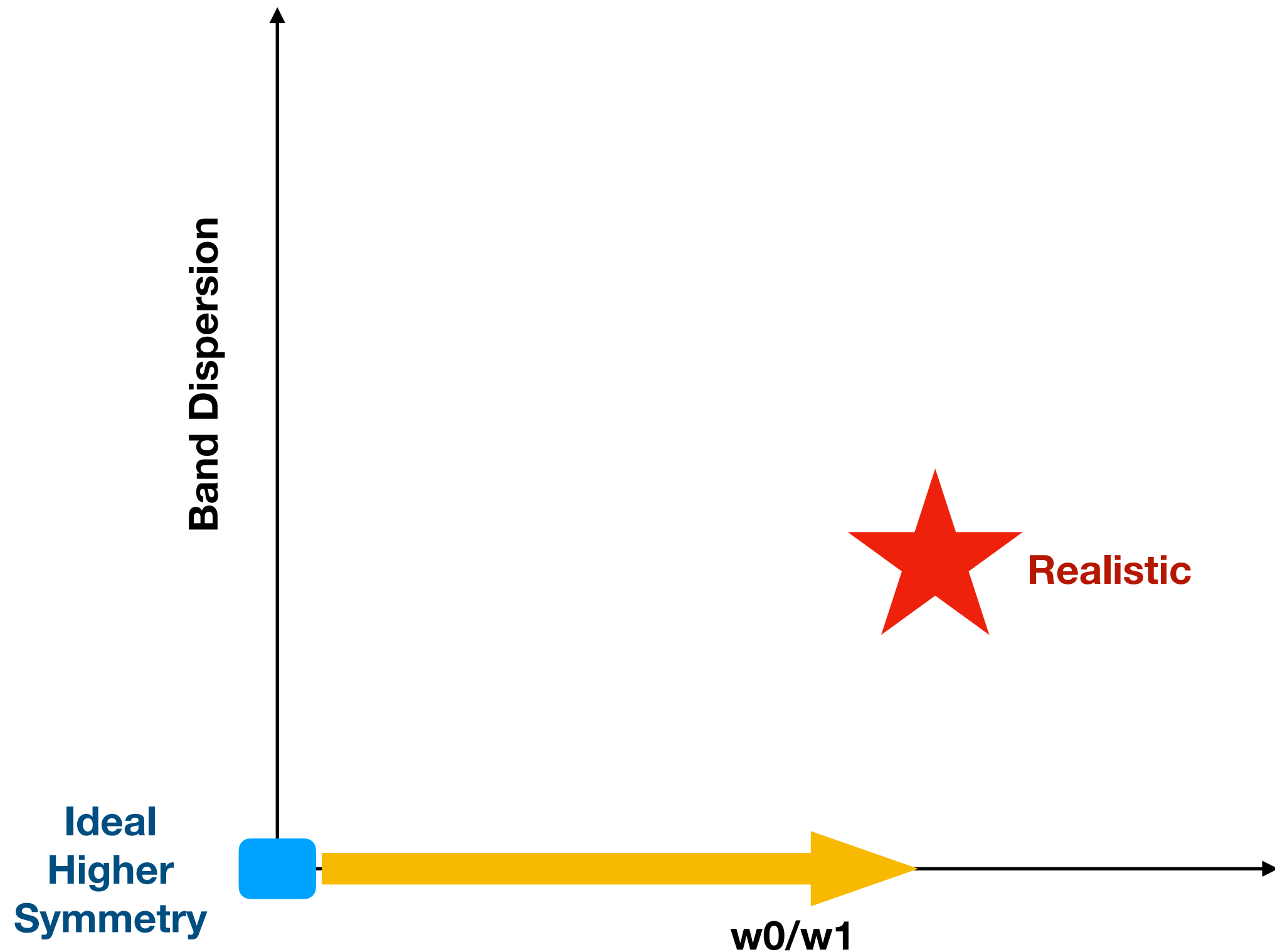
**K-IVC**

$$Q = \sigma_y (\Delta_R \tau_x + \Delta_I \tau_y)$$





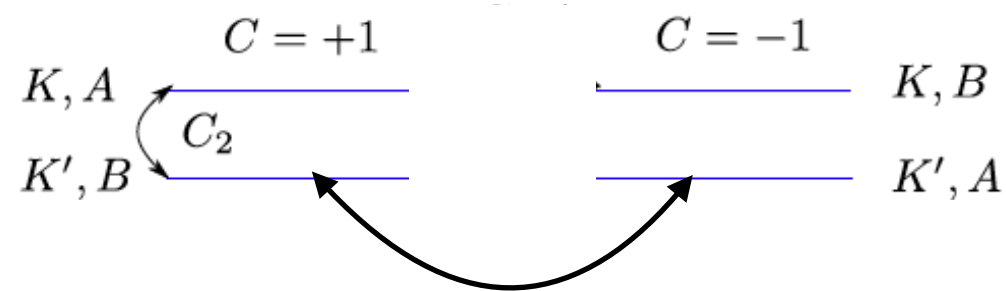
# Breaking the Degeneracy



# Breaking the Degeneracy

**Dispersion:**

Favors states that can fluctuate.



**Away from Chiral Limit:**  
(Retains a different U(2) symmetry)

**Valley Hall**

$$Q = \sigma_z$$



**Valley polarized**

$$Q = \tau_z$$

**K-IVC**

$$Q = \sigma_y \left( \Delta_R \tau_x + \Delta_I \tau_y \right)$$



**K-IVC**

$$Q = \sigma_y \left( \Delta_R \tau_x + \Delta_I \tau_y \right)$$

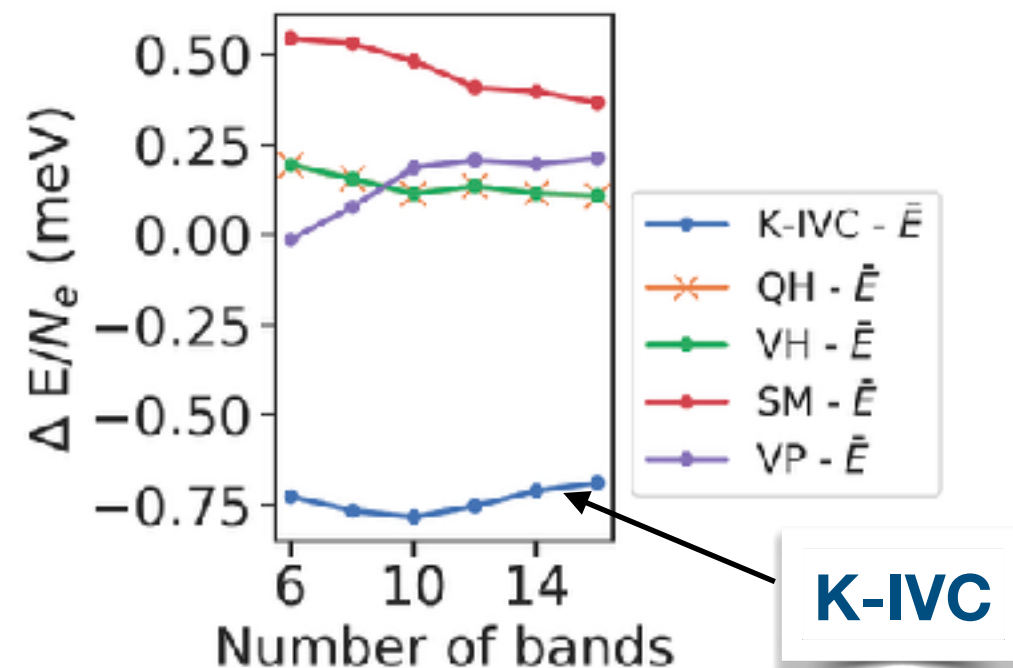
# Ground State - Kramers IVC

Both perturbations pick the same state  
Unfrustrated -

**K-IVC**

$$Q = \sigma_y \left( \Delta_R \tau_x + \Delta_I \tau_y \right)$$

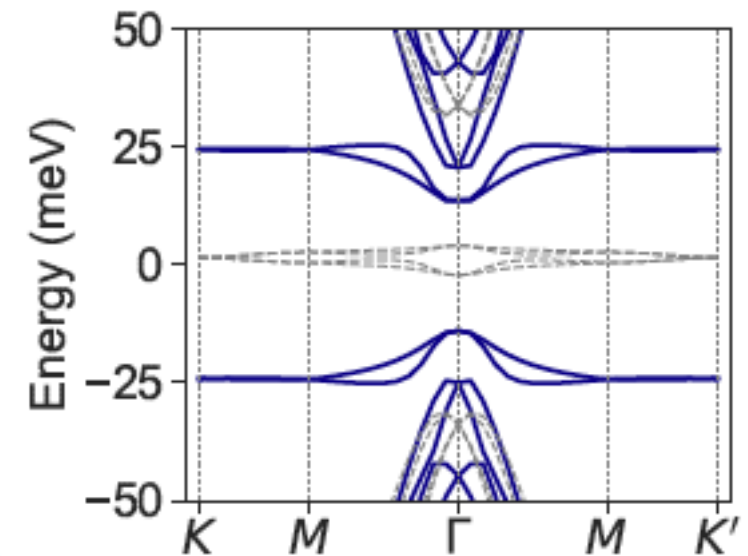
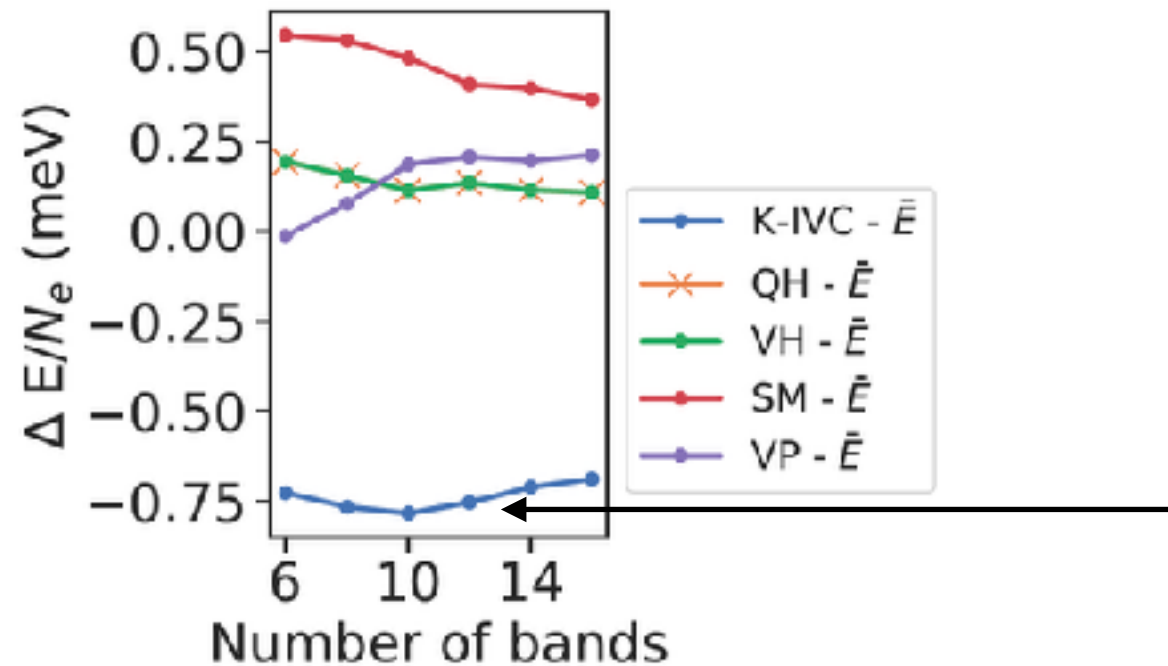
Hartree Fock Numerics-Confirms This Picture



$$\theta = 1.05^\circ w_0 = 80\text{meV}; w_1 = 110\text{meV}; \epsilon = 7$$

# Hartree Fock & DMRG Numerics

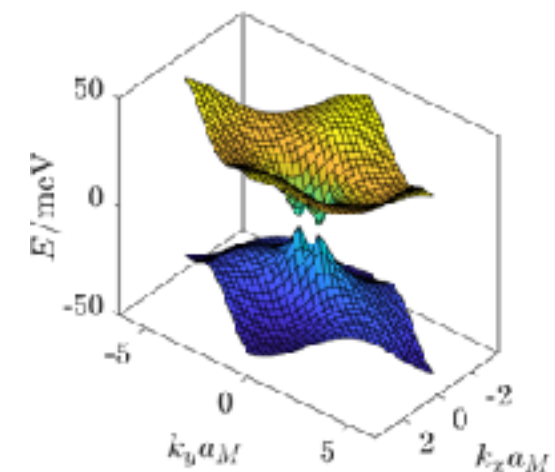
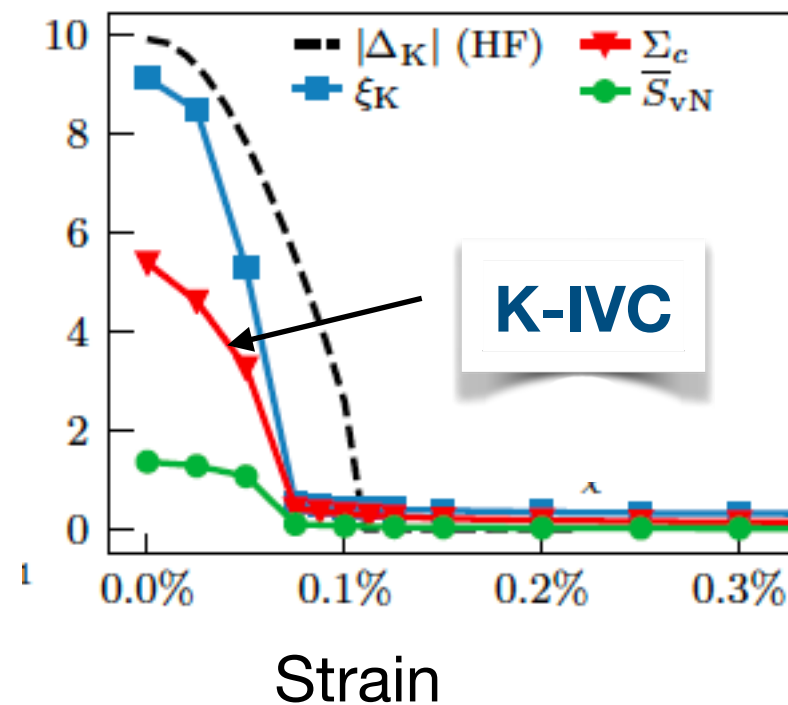
## -Confirms This Picture



$$\theta = 1.05^\circ w_0 = 80\text{meV}; w_1 = 110\text{meV}; \epsilon = 7$$

$$Q = \sigma_y \left( \Delta_R \tau_x + \Delta_I \tau_y \right)$$

Bultnick et al. [arXiv:1911.02045](https://arxiv.org/abs/1911.02045)  
Kang and Vafeek '19



Competing state - *nematic* semimetal.

Shang Liu et al. [arXiv:1905.07409](https://arxiv.org/abs/1905.07409)  
Kang & Vafeek '19  
Parker et al. [arXiv:2012.09885](https://arxiv.org/abs/2012.09885)



# Kramers IVC - Properties

$U(1)_{\text{valley}}$  spontaneously broken -  
Goldstone modes (lattice translation)

- Spontaneously breaks  $\mathcal{T}$ , but preserves a combination of  $\mathcal{T}$  and  $\pi$  valley rotation  $\mathcal{T}' = \tau_z \mathcal{T} = \tau_y \mathcal{K}$ .

$$\mathcal{T}'^2 = -1$$

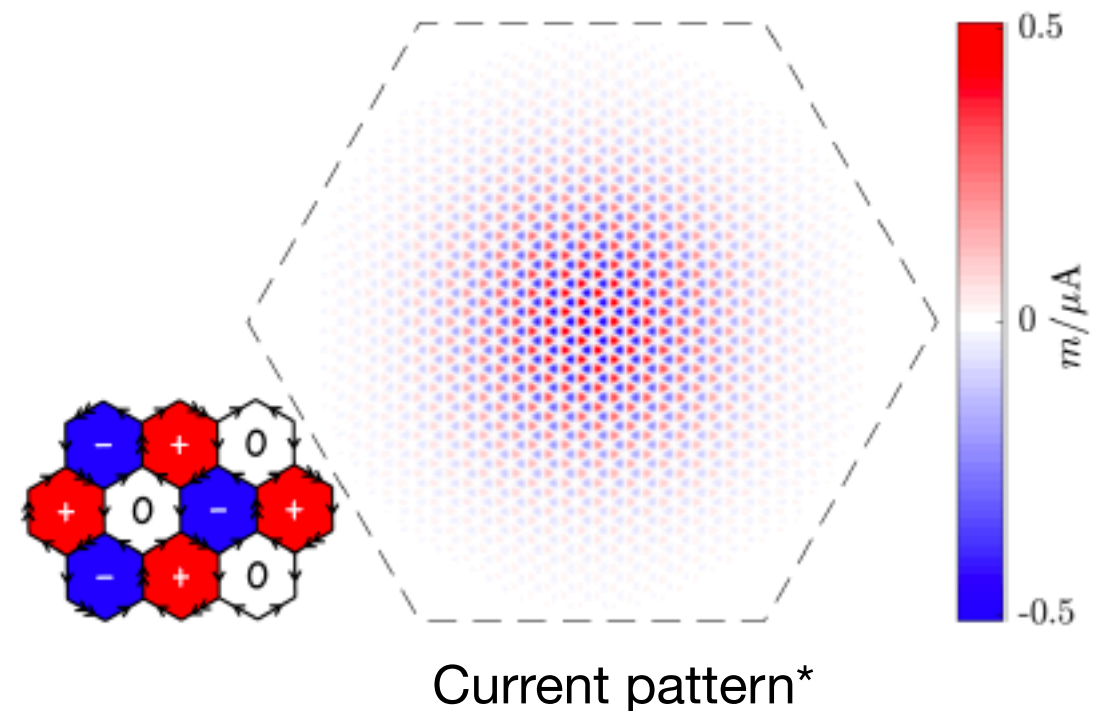
**Same symmetries as topological insulator**

Involves opposite Chern number band

**Nontrivial  $Z_2$  topology!**

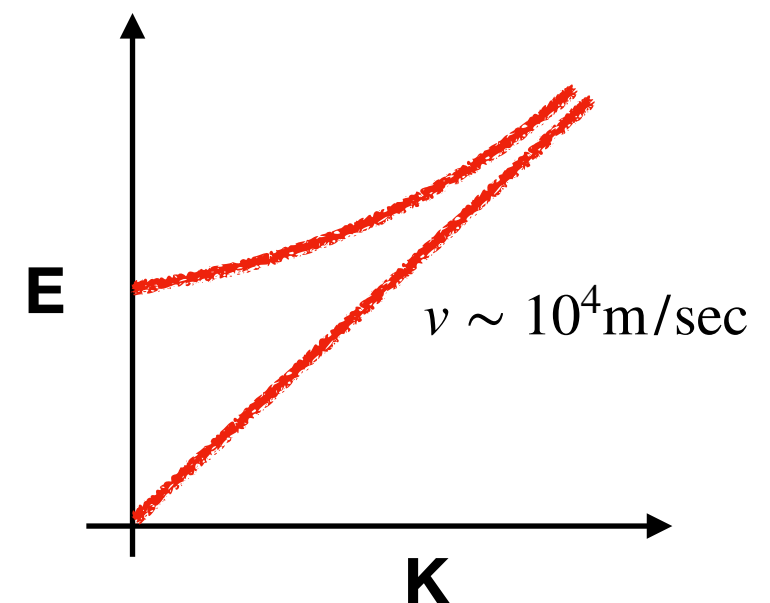
**K-IVC**

$$Q = \sigma_y (\Delta_R \tau_x + \Delta_I \tau_y)$$



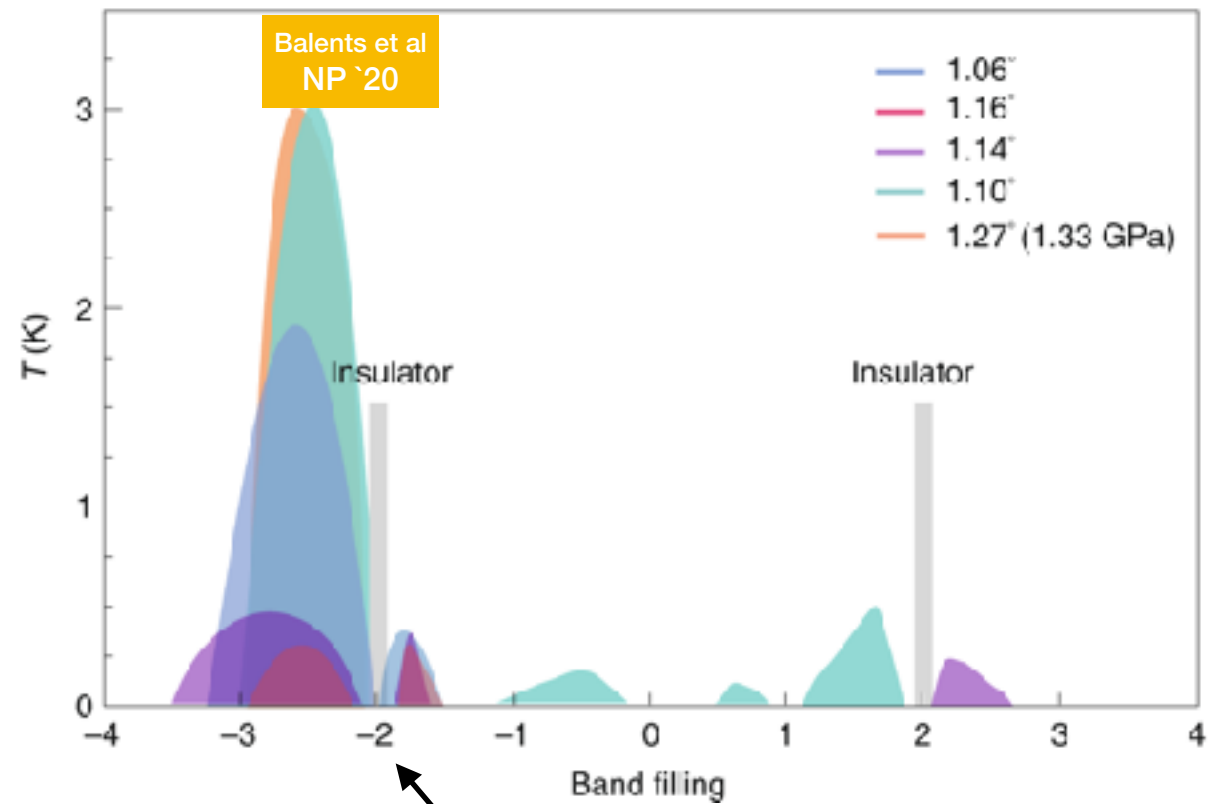
“Spontaneous” topological insulator  
(Topological “Mott” Insulator - Raghu, Qi, Honerkamp, Zhang)

Edge states? Requires ‘smooth’ edge or spin-valley locking

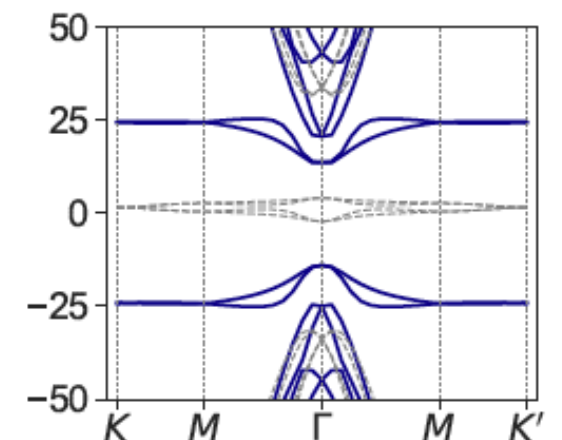
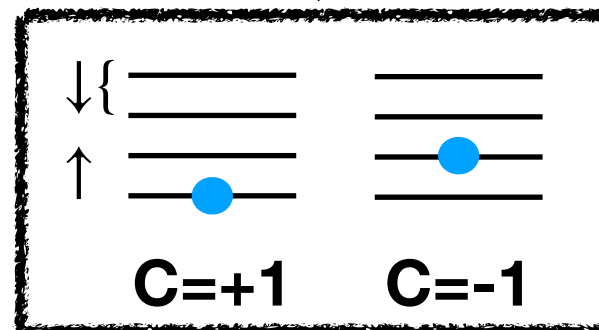
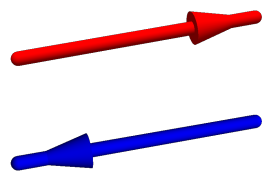


\*Chakravarty, Laughlin, Morr, Nayak. Zhu, Aji, Varma.

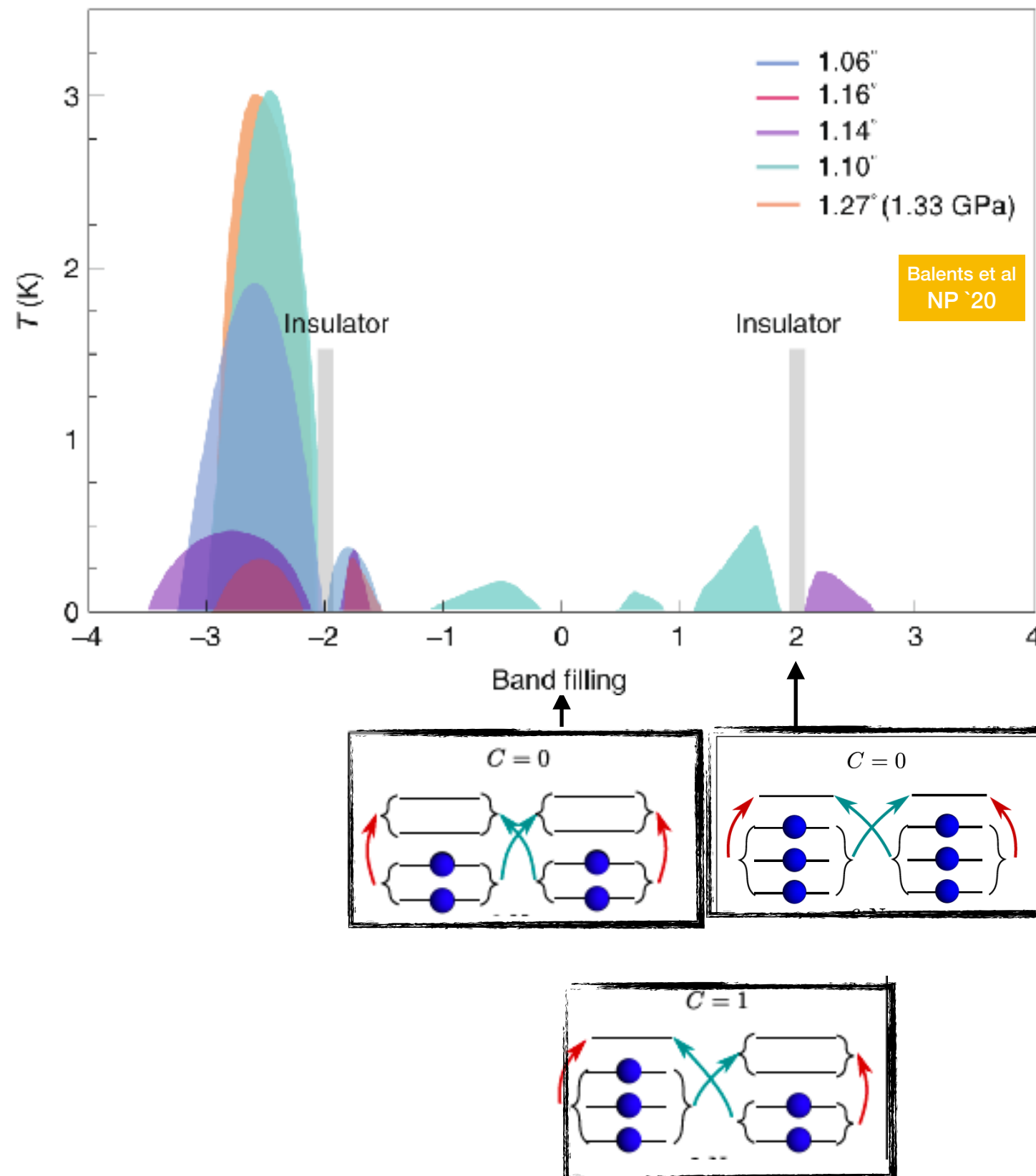
# Flat Band Topology and Flavor Magnetism



$$\hat{n}_+ = -\hat{n}_-$$



# Generalized Flavor Ferromagnets



Generalized sigma model

$$Q_+ = 4 - \sum |z_{filled}^+\rangle \langle z_{filled}^+|$$

$$Q_- = 4 - \sum |z_{filled}^-\rangle \langle z_{filled}^-|$$

Eslam Khalaf, Bultinck, AV, Zaletel arxiv:2009.14827

Kang and Vafeek arXiv:2009.09413

Kumar, Xie, MacDonald arXiv:2010.05946

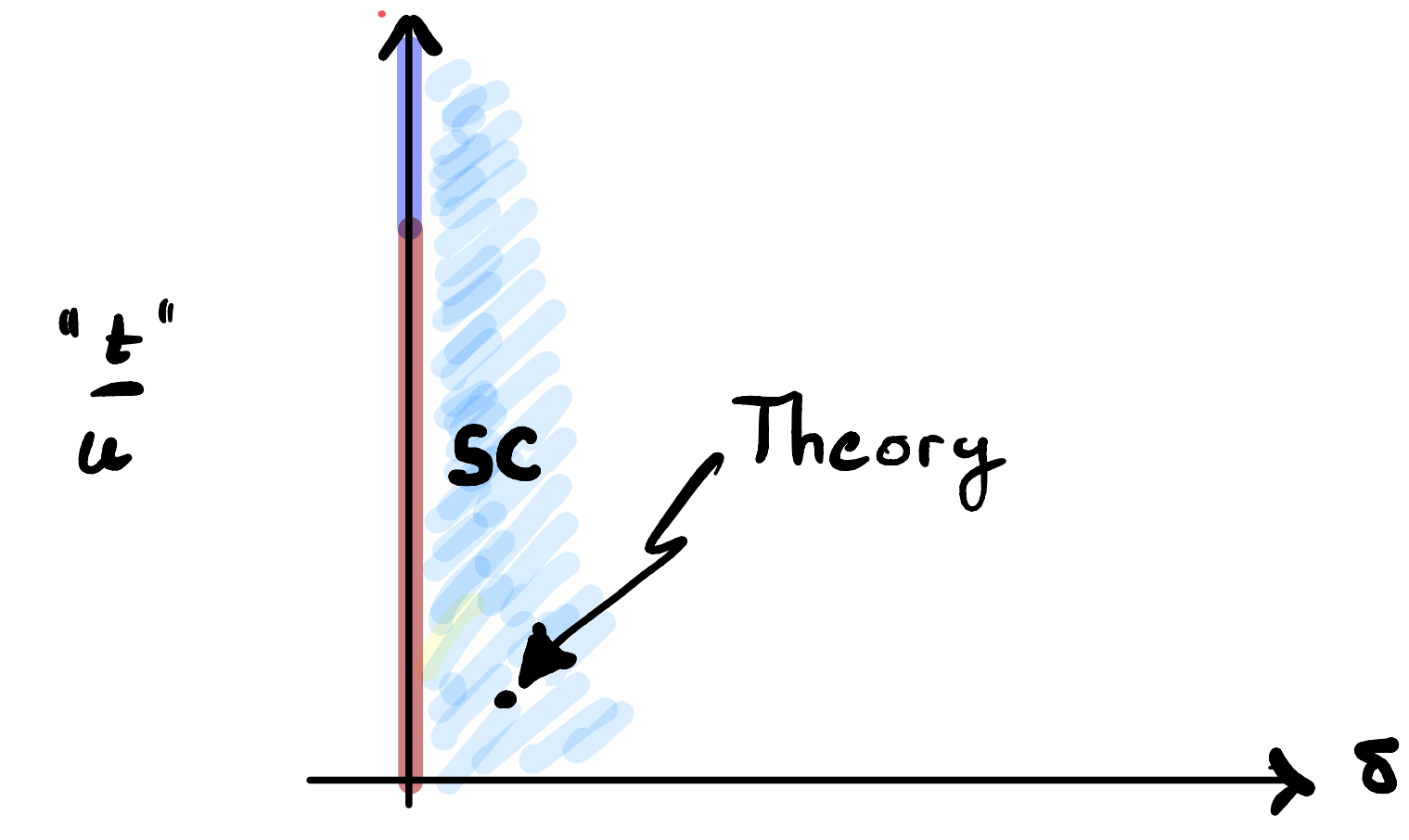
Bernevig, Lian, Cowsik; Xie, Regnault, Song  
arXiv:2009.14200

# OUTLINE

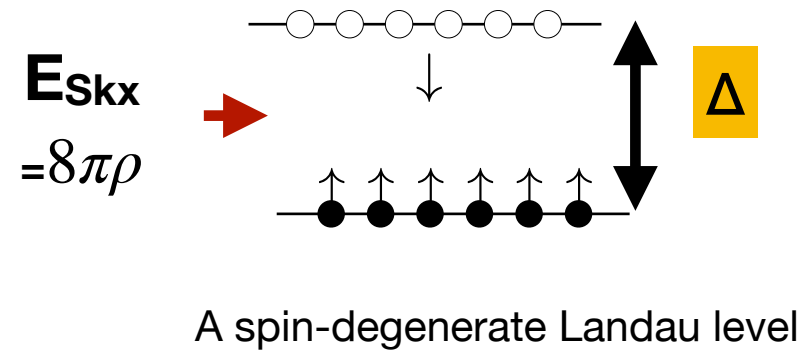
- Lecture 1 - **Preliminaries**, the chiral model, *wave functions*, from bilayer to  $n=3,4,5..$
- Lecture 2 - **Correlated Insulators** - exact solutions, Hartree Fock, topology and  $\sigma$  model.
- Lecture 3 - **Superconductivity** - disordered  $\sigma$  model.
- Lecture 4 - **Fractional Chern insulators** in magic angle graphene



# Strong Coupling Approach to Superconductivity in TBG



# Quantum Hall Ferromagnet and Skyrmions



- Quantum Hall Ferromagnet

Skyrmions are **Charged**

**Cheapest** charge excitation is the *Skyrmion*

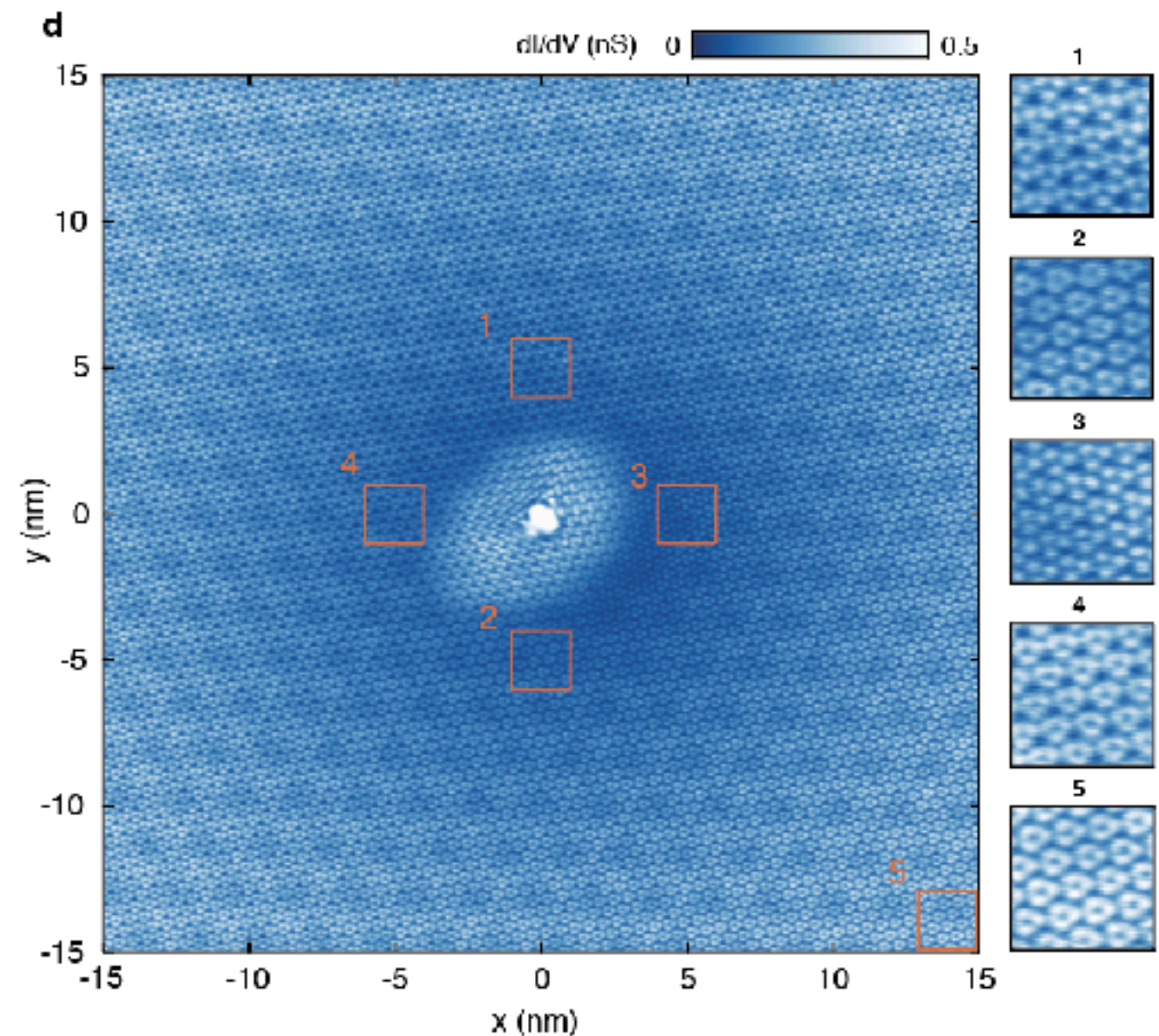
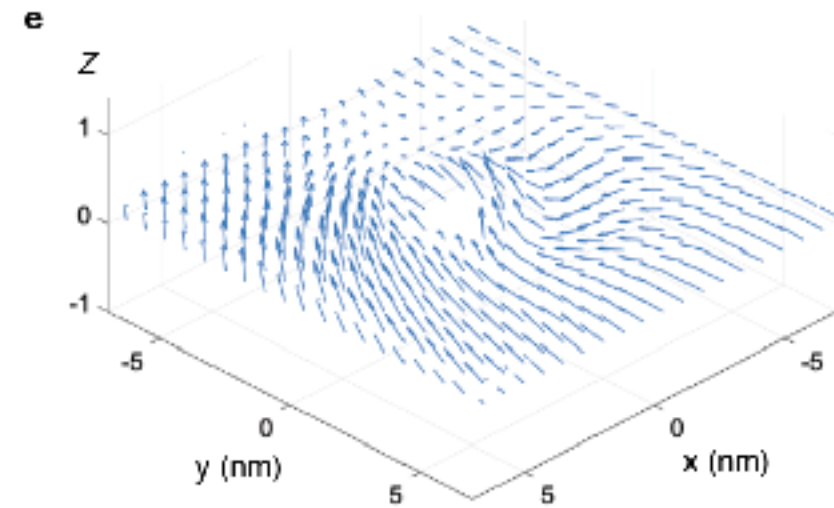
$$\mathbf{E}_{skx} = 8\pi\rho_s = \frac{\Delta}{2}$$

Theory: Sondhi, Karlhede, Kivelson, Rezayi; Lee & Kane

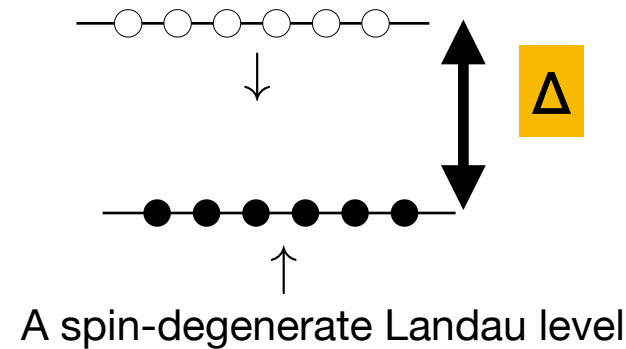
Experiments: NMR - Barrett et al.

**STM** - Liu...Zaletel, Yazdani.

arXiv:2109.11555



# What is Fundamental?



**Electron -> ferromagnet**

**Ferromagnet -> electron**

# Tony Skyrme



## A UNIFIED FIELD THEORY OF MESONS AND BARYONS

T. H. R. SKYRME †

*A.E.R.E., Harwell, England*

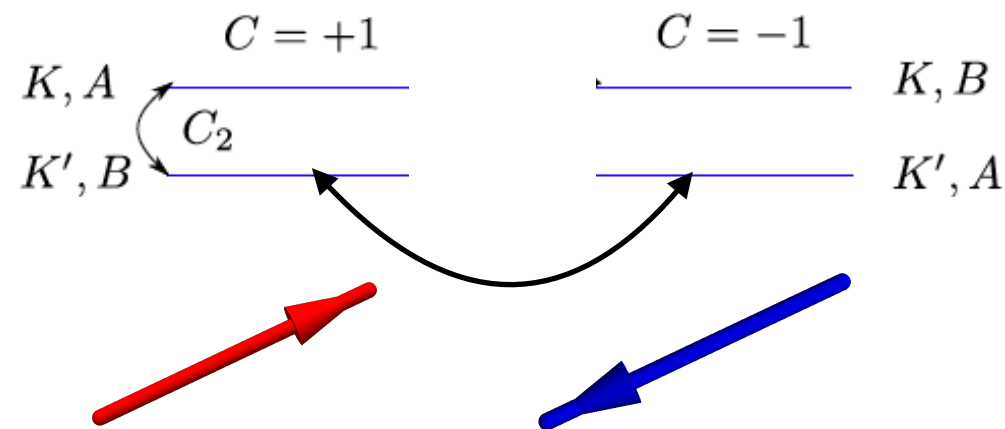
*Nuclear Physics* **31** (1962) 556—569;

† Now at Department of Mathematics, University of Malaya, Pantai Valley, Kuala Lumpur, Malaya.

We are indebted to Mr. A. J. Leggatt for carrying out the calculations reported in sect. 3, while a vacation student at A.E.R.E.



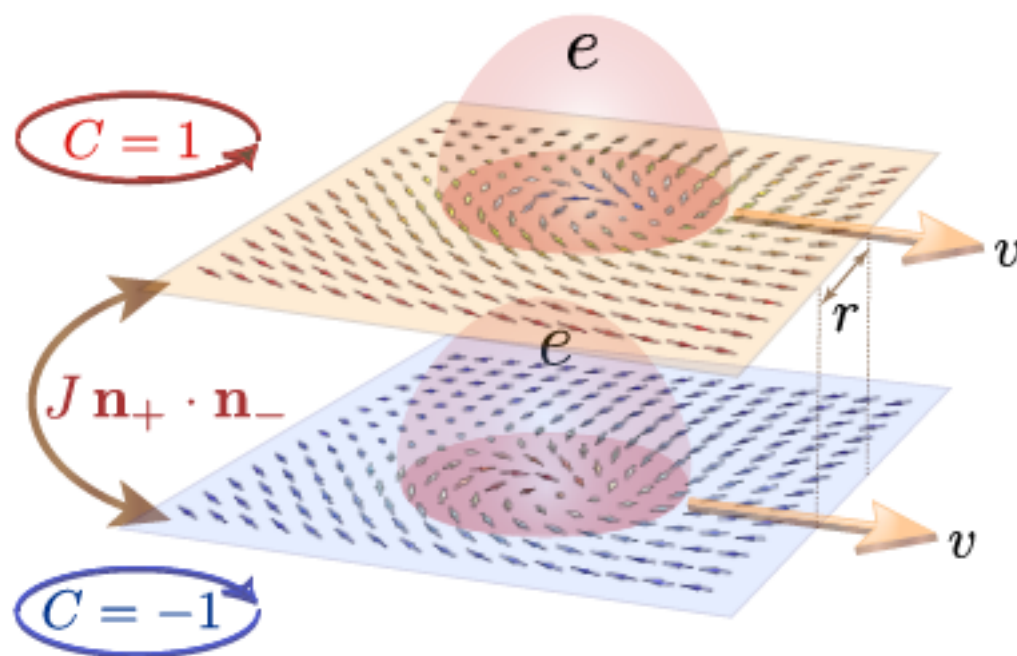
# Skyrmions in Magic Angle Graphene?



Antiferro-psuedospin coupling

$$J \mathbf{n}_+ \cdot \mathbf{n}_-$$

$$J \sim \hbar^2/V \sim 1-2 \text{ meV}$$



Charge  $2e$  Skyrmion

Boson

Pairing due to ' $J$ '

Repelled by Coulomb

But attracted by  $J$

An all electron mechanism?

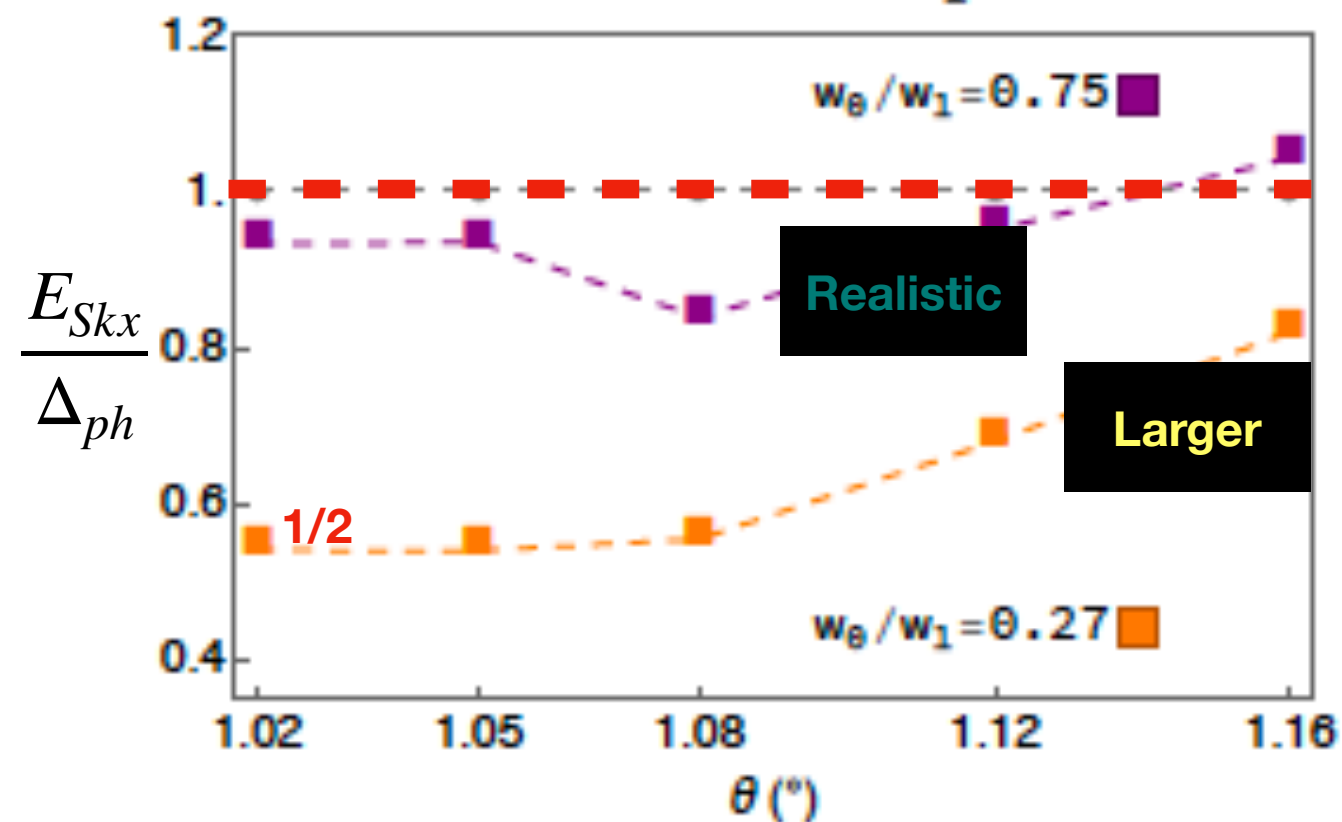
# Doping the Insulator

- Use microscopically obtained values of parameters to:
  - Compare energy of skyrmions to 1 particle band gap
  - ‘Inertia’ of skyrmions- sets condensation temperature.

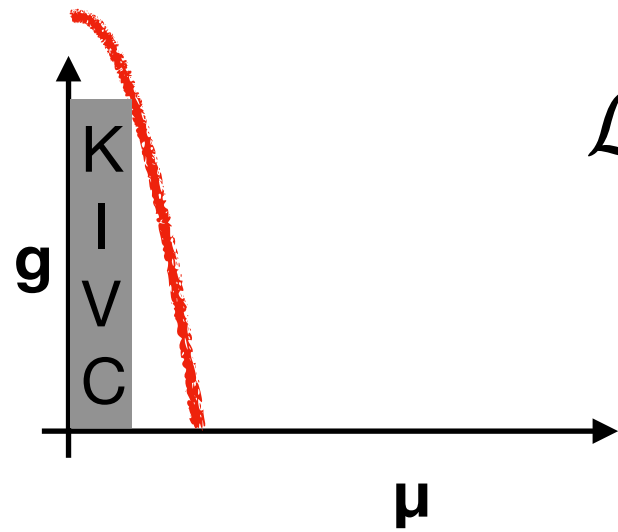
- Elastic energy  $E_{sk,pair} = 8\pi\rho_{ps}$  VS  $\Delta_{PH}$

$$E = \frac{\rho}{2} [(\nabla n_+)^2 + (\nabla n_-)^2] + Jn_+ \cdot n_-$$

\*Neglects anisotropies, fermion dispersion

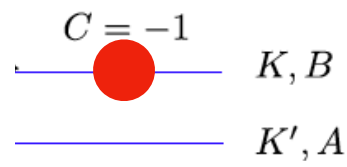
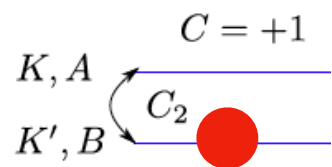


# Phase Diagram & Stiffness



$$\mathcal{L}[\mathbf{n}] = \rho_n (\nabla \mathbf{n})^2 + \chi_n (\partial_t \mathbf{n})^2 - \mu \frac{2e}{4\pi} \mathbf{n} \cdot \partial_x \mathbf{n} \times \partial_y \mathbf{n} + V_{Coulomb}[\mathbf{n}]$$

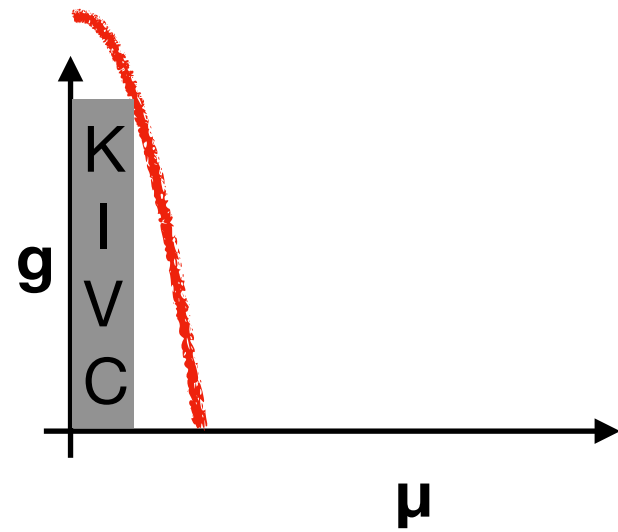
**A Dual Representation:**



$$|\psi\rangle = z_1 |K, A\rangle + z_2 |K', B\rangle$$

$$z = (z_1, z_2), \quad \mathbf{n} = z^\dagger \boldsymbol{\sigma} z,$$

# Phase Diagram & Stiffness



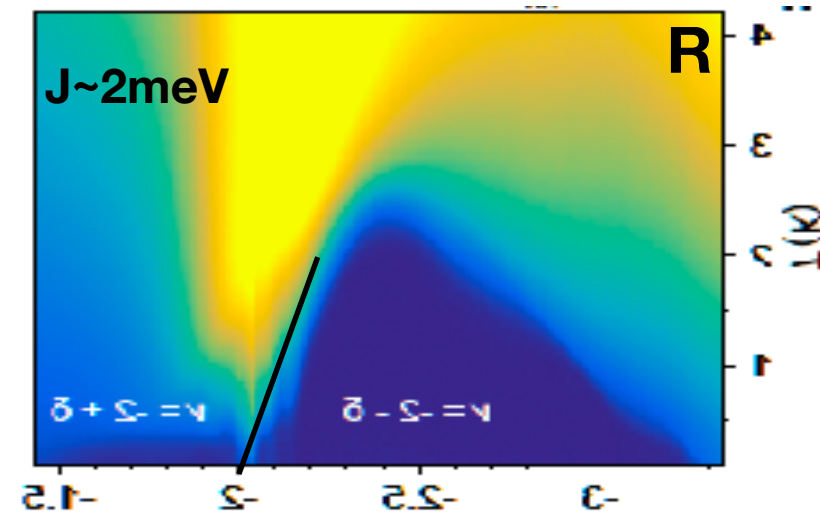
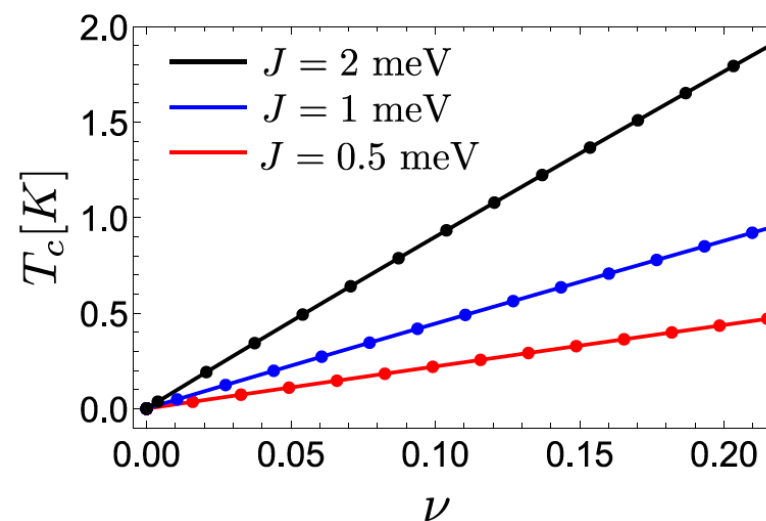
Solve using large-N  $CP_N$

$$\mathcal{L} = \frac{\Lambda}{g} |(\partial_\mu - ia_\mu)z|^2 + \mu \frac{b}{\pi}$$

$$\mathcal{L} = |(\partial_\mu - ia_\mu)w|^2 + iA_\nu \wedge d\alpha$$

$$\Delta = w_1^* w_2$$

$$T_c = \frac{3\nu A_M J}{4Nk_B} + O(\nu^2)$$



J. Park, Y. Cao ... Pablo '20

Numerical DMRG evidence - Shubhayu's talk  
Chatterjee *et al.* arxiv:2010.01144

EK, Chatterjee, Bultinck, Zaletel, Vishwanath arXiv:2004.00638



# Skyrmion Properties

- Effective Mass

## Skyrmion -antiskyrmion pair.

Individually - experience opposite Magnus dynamics.

Together - inertial dynamics.

What is effective mass?

$$\mathcal{L} = \frac{1}{2}(r_1 \wedge \dot{r}_1 - r_2 \wedge \dot{r}_2) - V(r_1 - r_2)$$

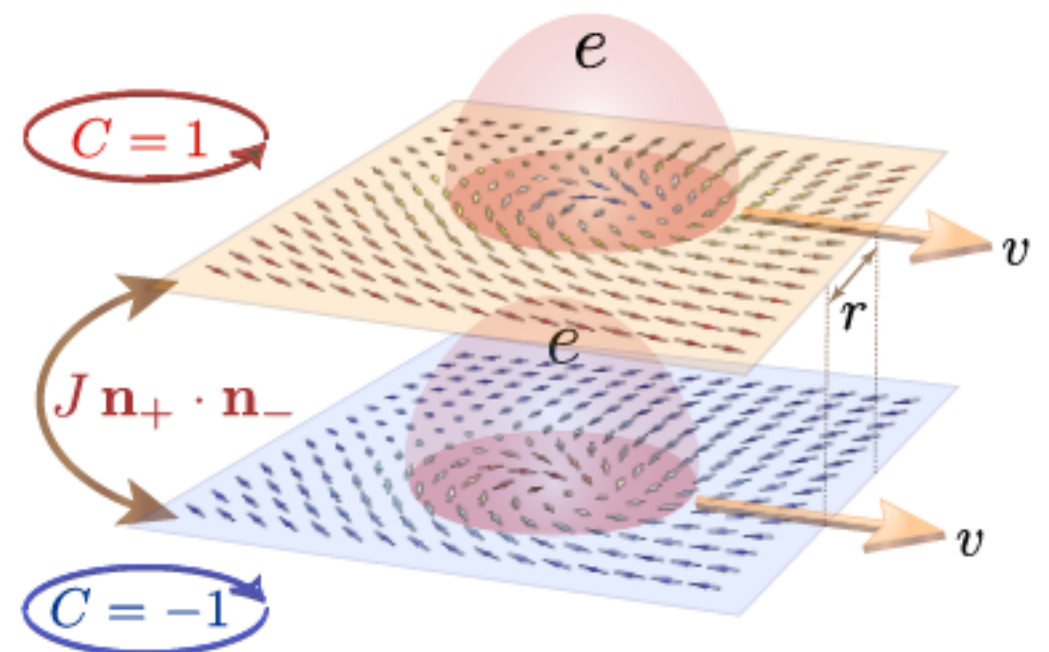
$$\mathcal{L}[R = \frac{r_1 + r_2}{2}, r = r_1 - r_2] = r \wedge \dot{R} - V(r)$$

$$V(r) = \frac{J}{2}r^2$$

$$\frac{1}{m} = \frac{\partial^2 E}{\partial P^2} \propto \frac{\partial^2 V}{\partial r^2} \sim J$$

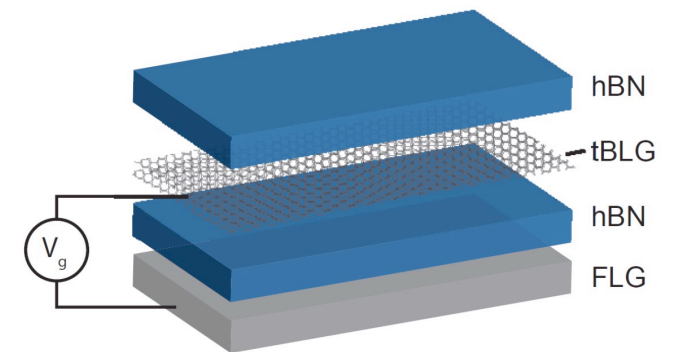
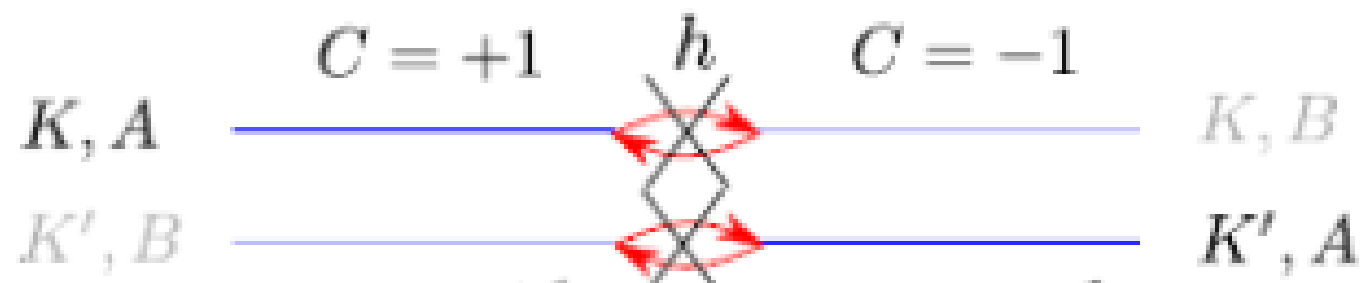
Sets superconductor  $T_c$  on doping

$$T_c \sim \nu J$$



# Essential Ingredients for Superconductivity?

- $C_2\mathcal{T}$  symmetry crucial for superconductivity
- Sublattice potential *suppresses* the coupling  $J$



- Twisted bilayer graphene aligned on hBN  
→ no superconductivity  
(Sharpe et al. Science 19, Serlin et al. Science 20)

- Relatively *few* Moire materials apart from magic angle graphene with this symmetry.

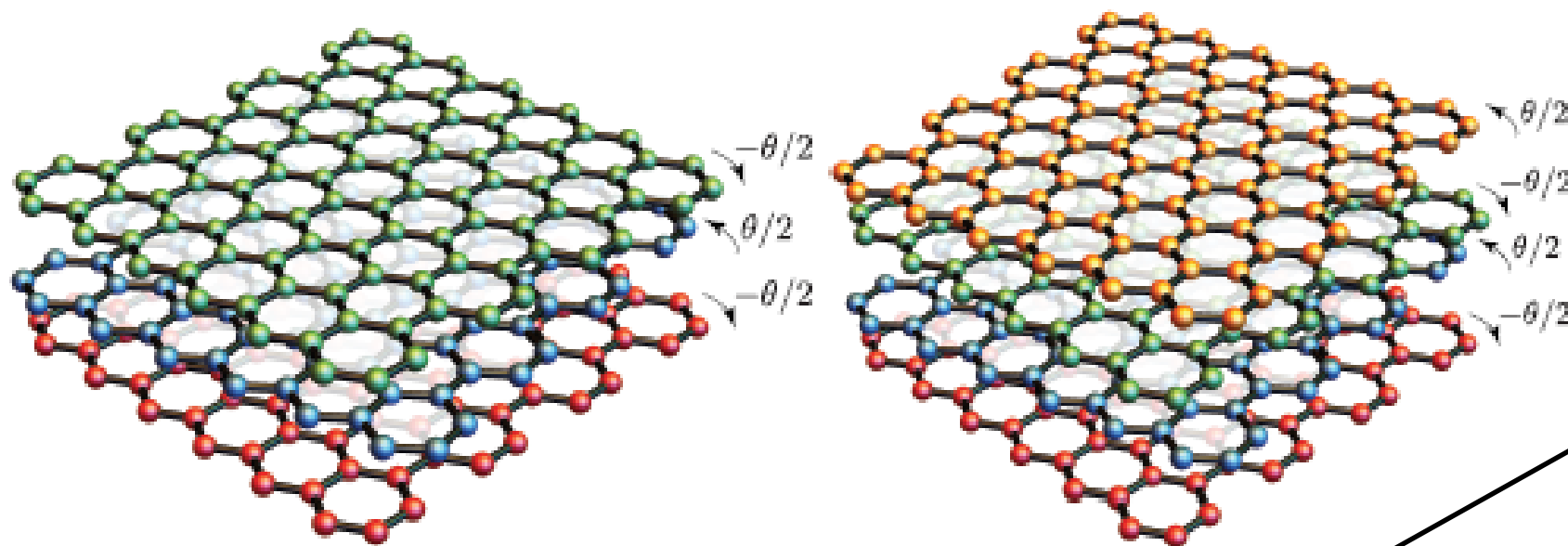
# Alternating Twist Multilayers

- Other systems with C2 symmetry

## Alternating twist sandwich



Eslam Khalaf

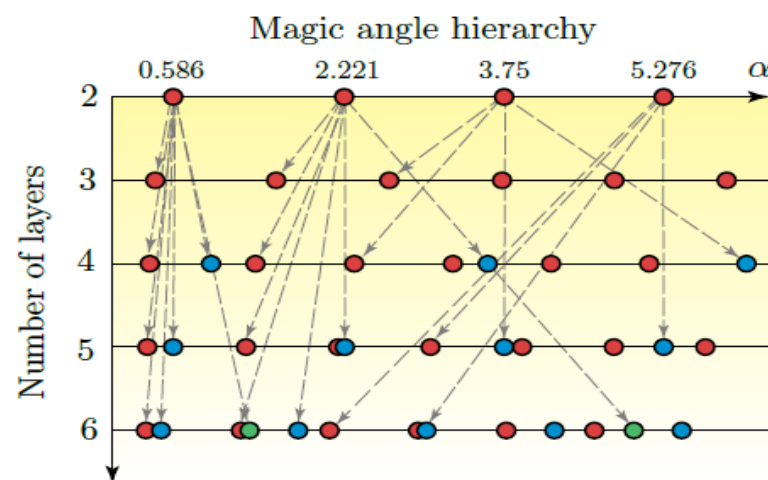


Magic angle =  $\sqrt{2} \ 1.1^\circ \sim 1.55^\circ$

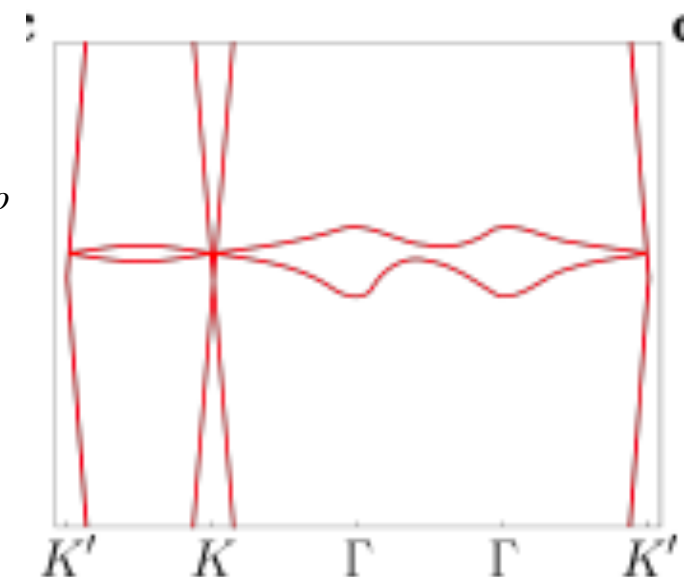


**Flat band+Dirac**

Carr et al. stability '19



$$\varphi = \frac{1 + \sqrt{5}}{2} \quad \text{Magic angle} = \frac{1 + \sqrt{5}}{2} \ 1.1^\circ \sim 1.78^\circ$$

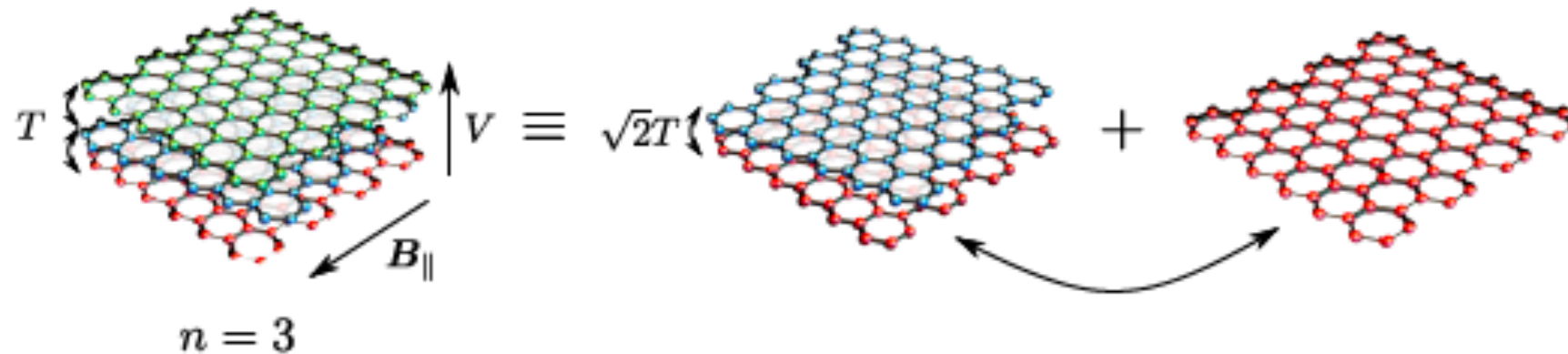


# Deconstructing $n=3, 4, 5$

5

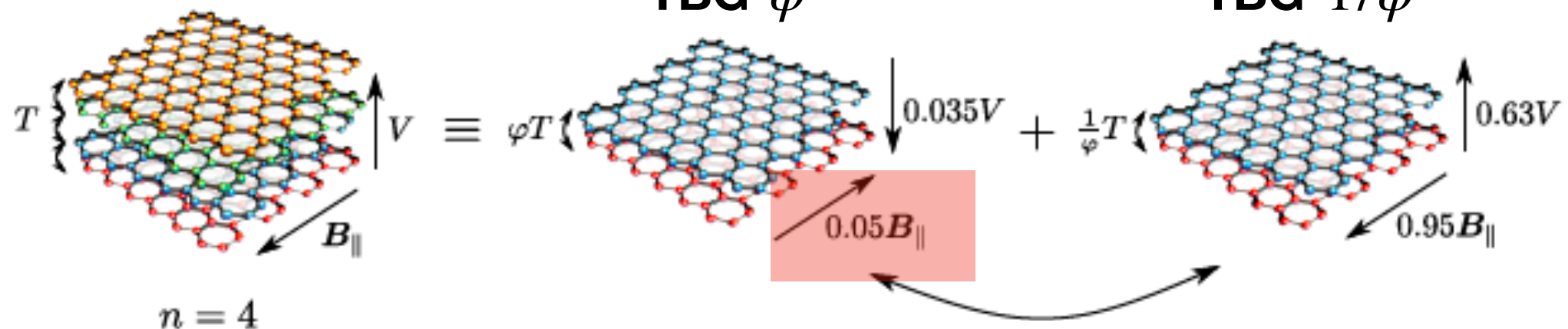
**TBG  $-\sqrt{2}$**

**Dirac**



**TBG- $\varphi$**

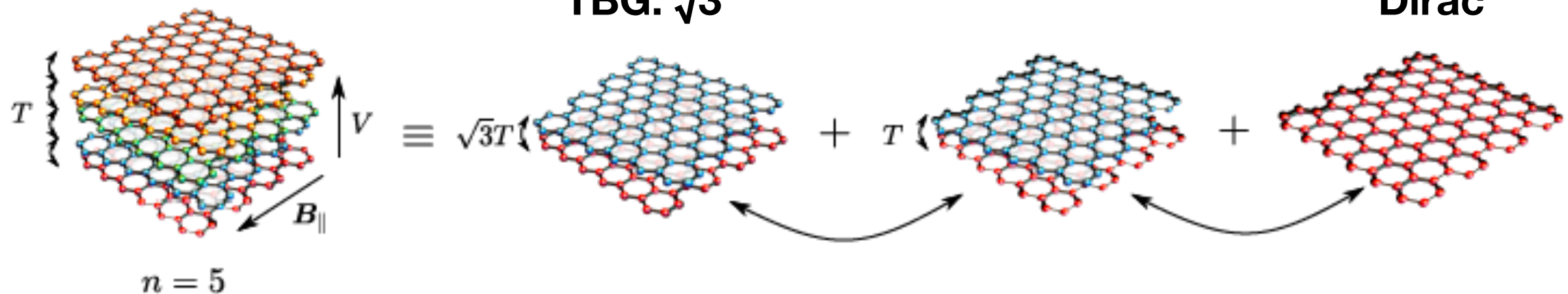
**TBG- $1/\varphi$**



**TBG:  $\sqrt{3}$**

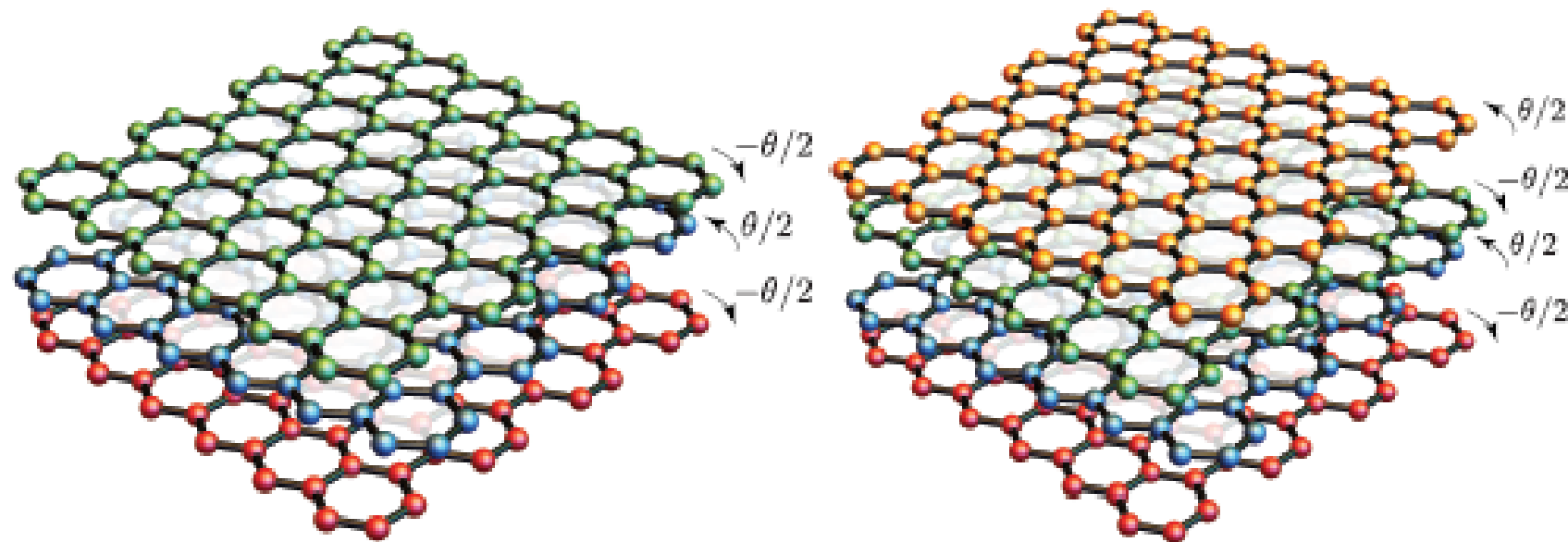
**TBG**

**Dirac**



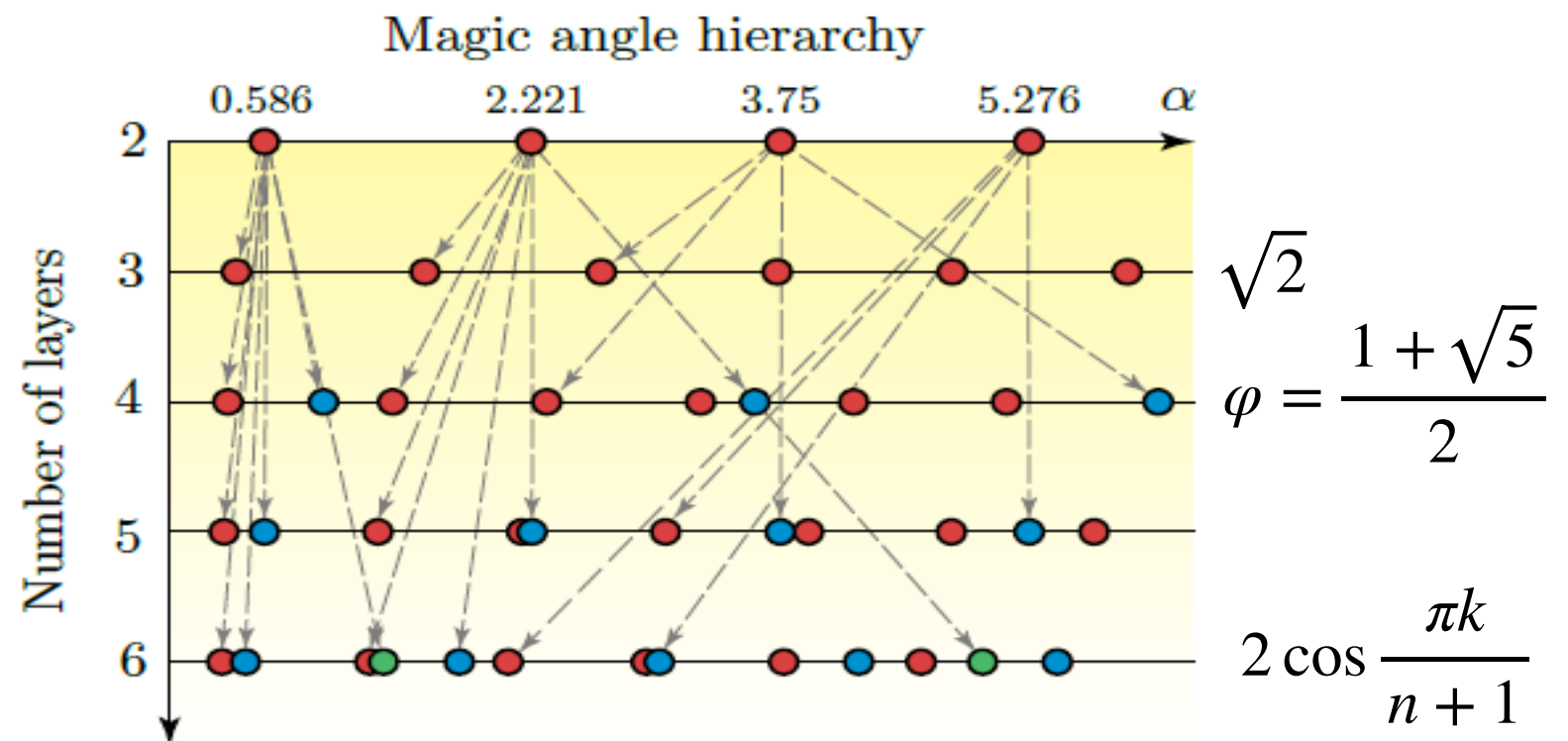


# ASIDE: Alternating-twist multilayer graphene



Eslam Khalaf

- Alternating twist:
- Magic angles *simply* related to the bilayer case.



# Matthias' Rules for Superconductivity

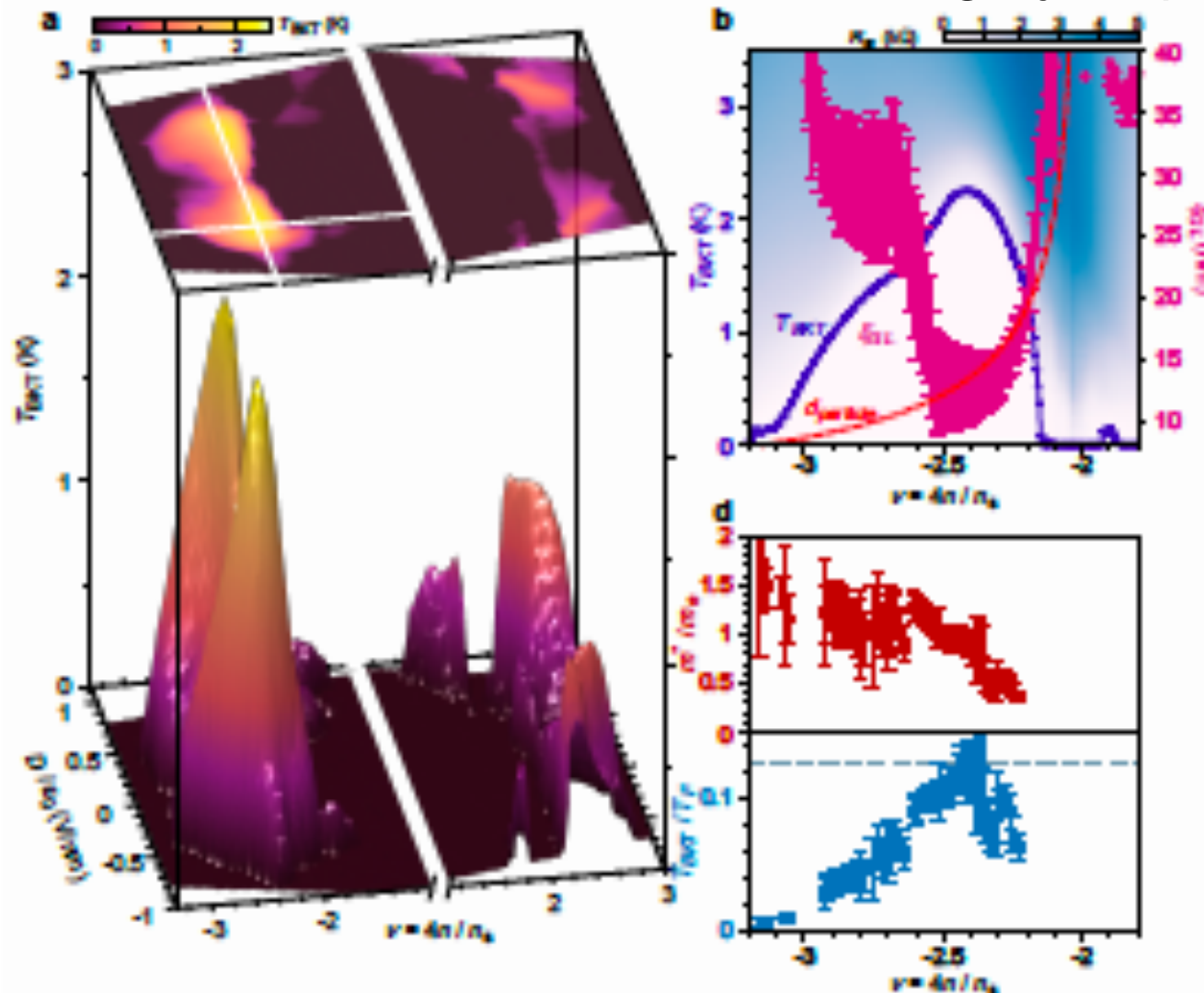
Bernd Matthias (1918-1980)

1. high symmetry is good, cubic symmetry is the best
2. high density of electronic states is good
3. stay away from oxygen
4. stay away from magnetism
5. stay away from insulators
6. stay away from theorists.

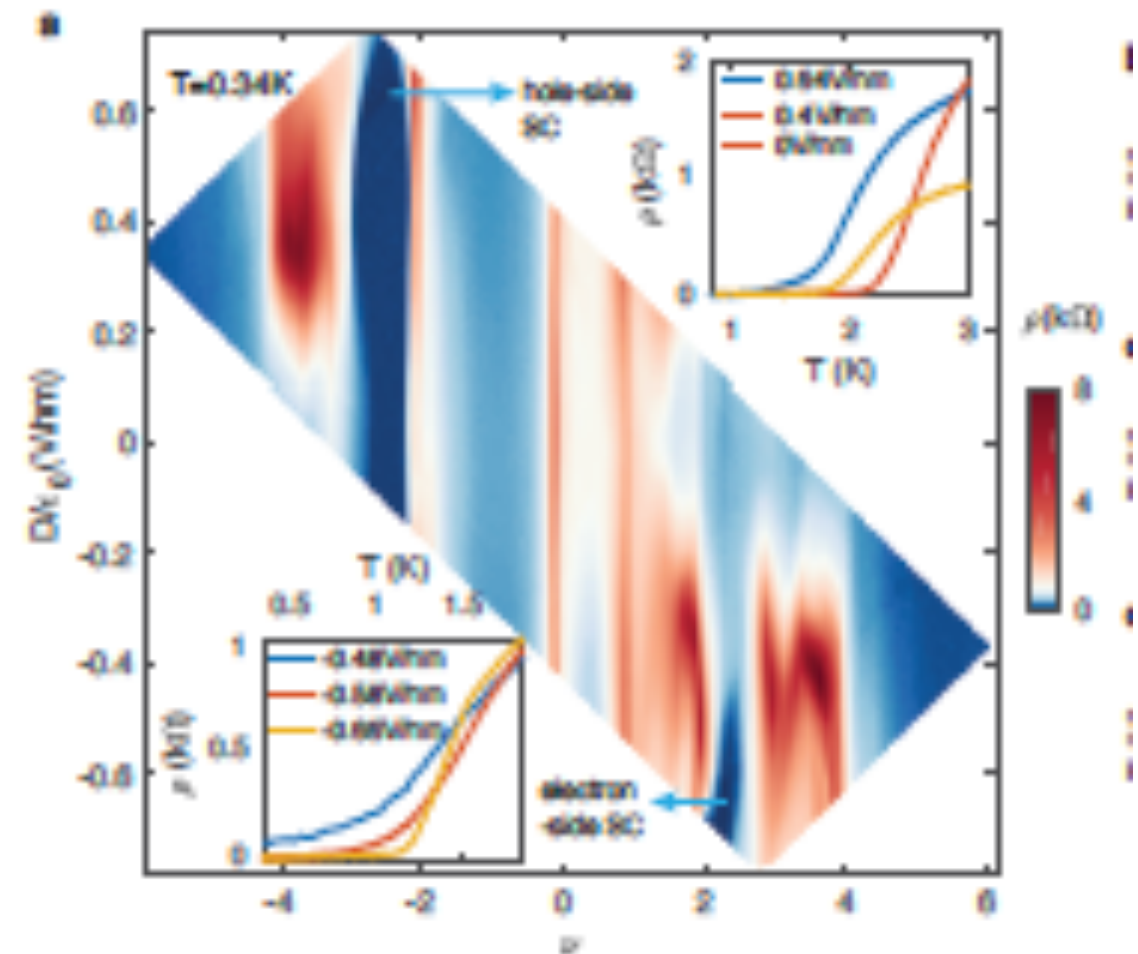


# Alternating twist trilayer -EXPT

Strong coupling superconductivity  
associated with  $|\nu|=2$   
Tuning by displacement field.



Park et al.



Hao et al.

Tunable Phase Boundaries and Ultra-Strong Coupling Superconductivity in Mirror Symmetric Magic-Angle Trilayer Graphene

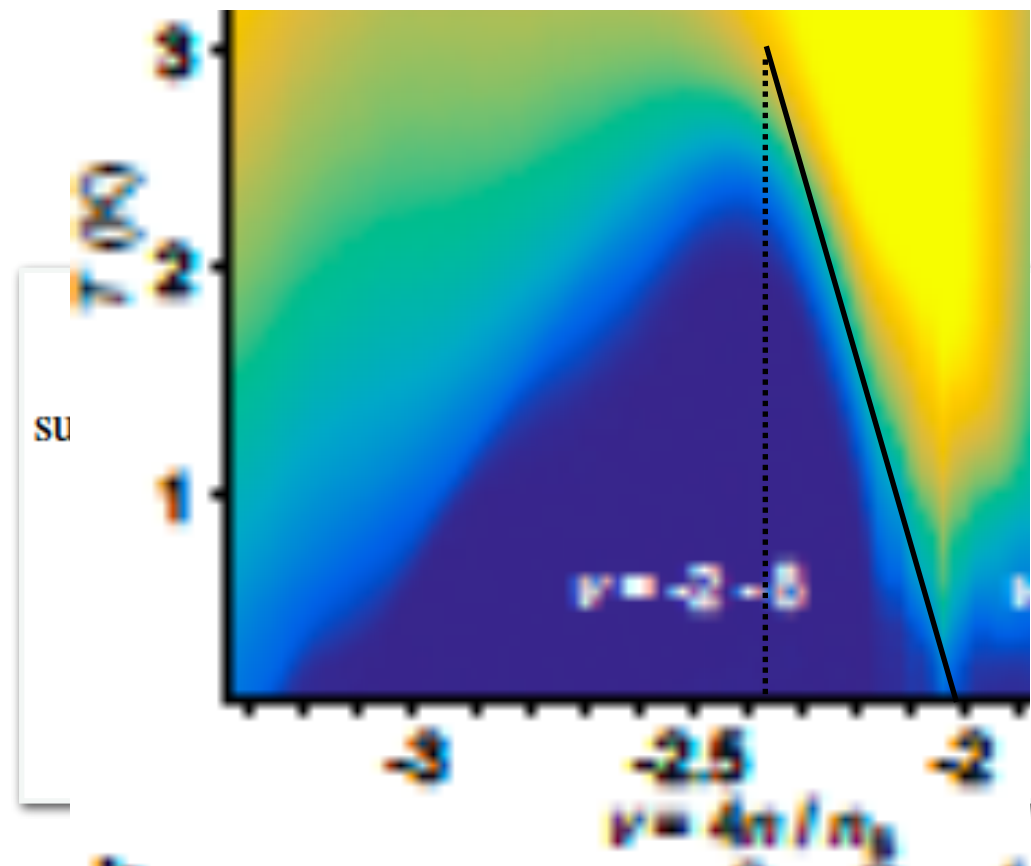
Jeong Min Park,<sup>1,\*</sup> Yuan Cao,<sup>1,\*†</sup> Kenji Watanabe,<sup>2</sup>  
Takashi Taniguchi,<sup>2</sup> and Pablo Jarillo-Herrero<sup>1,†</sup>

Electric field tunable unconventional superconductivity in alternating twist magic-angle trilayer graphene

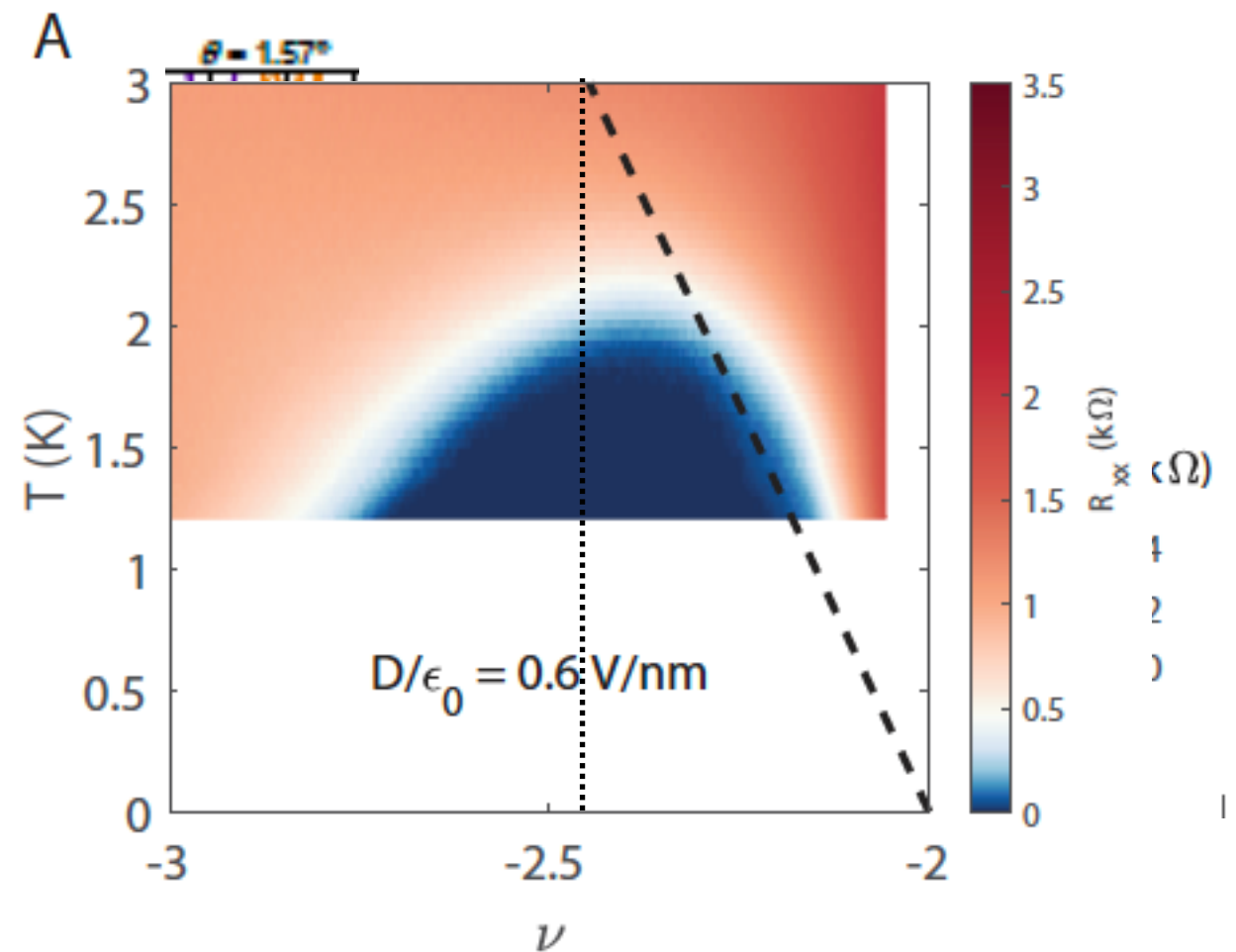
Zeyu Hao<sup>1†</sup>, A. M. Zimmerman<sup>1†</sup>, Patrick Ledwith<sup>1</sup>, Eslam Khalaf<sup>1</sup>,  
Danial Haie Najafabadi<sup>1</sup>, Kenji Watanabe<sup>2</sup>, Takashi Taniguchi<sup>3</sup>,

Ashvin Vishwanath<sup>1</sup> & Philip Kim<sup>1\*</sup>

# Experiments on Alternating Twist Trilayer



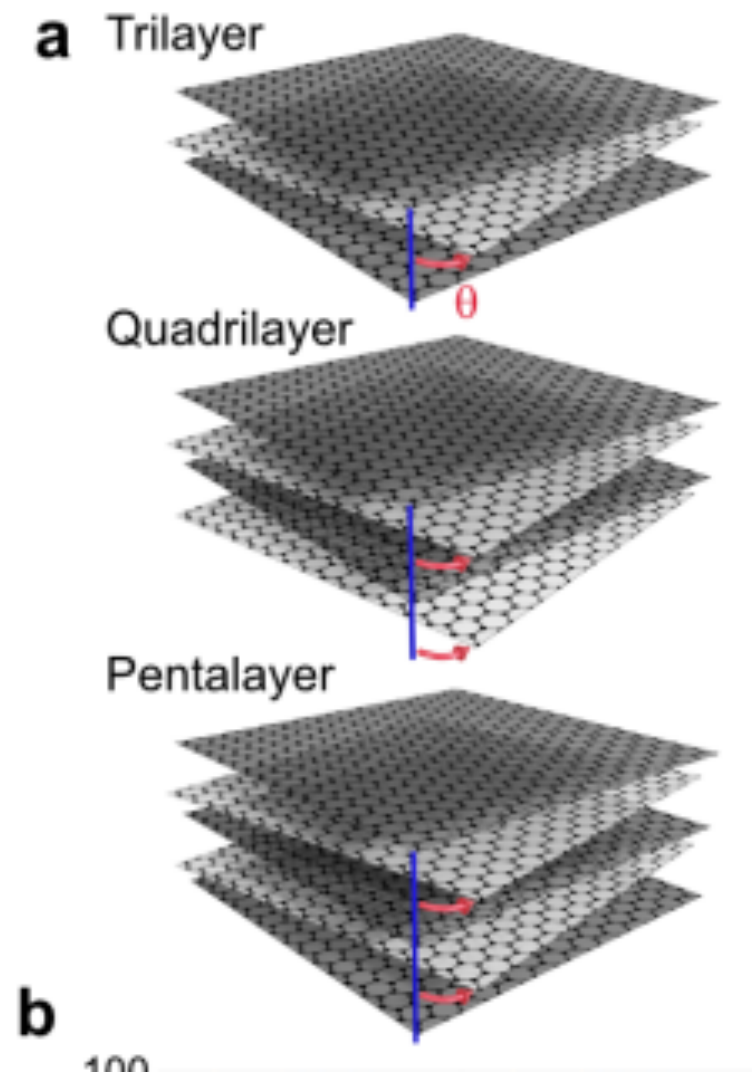
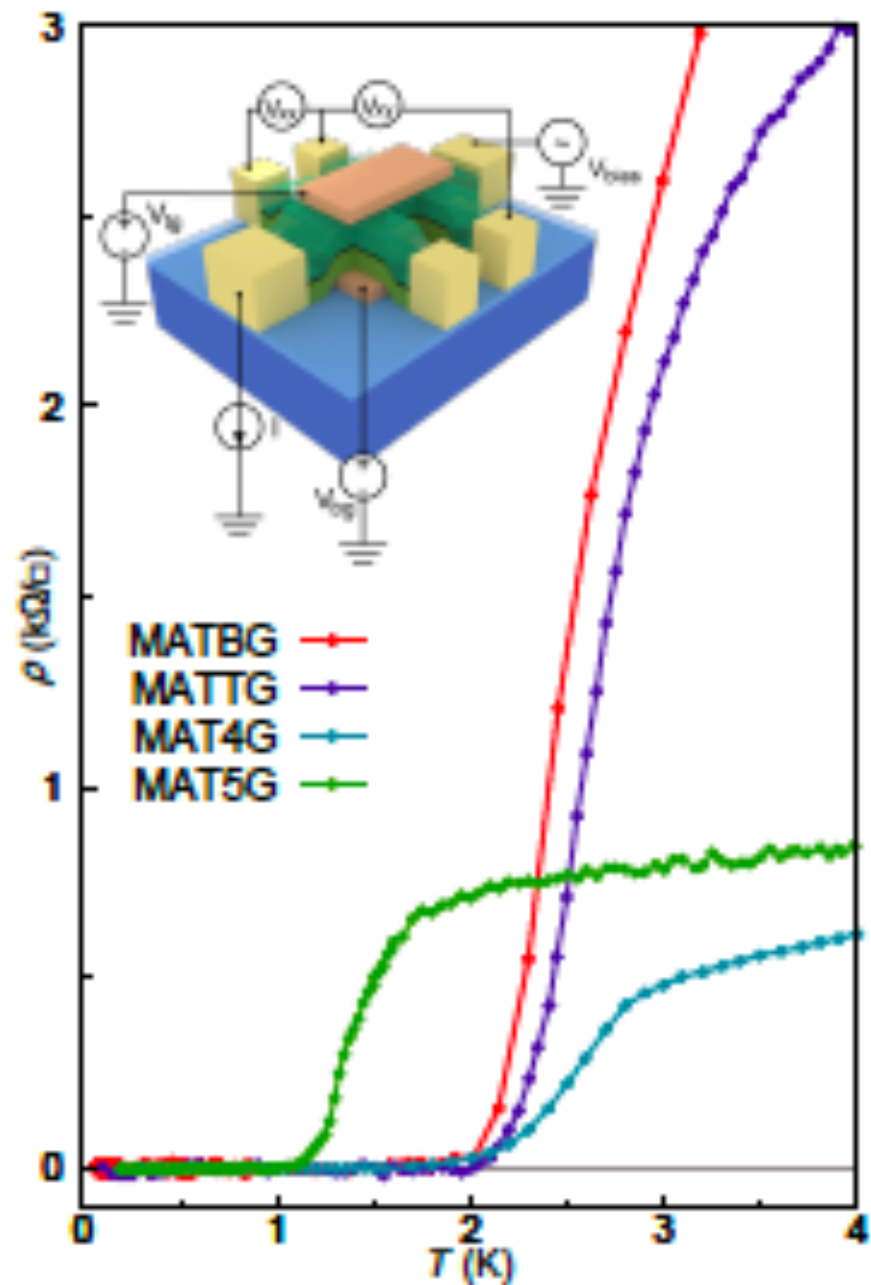
Pablo's talk - Moire 3.0



Hao et al.



# n=4, 5 Alt. Twist Multilayers



Magic-Angle Multilayer Graphene: A Robust Family of Moiré Superconductors

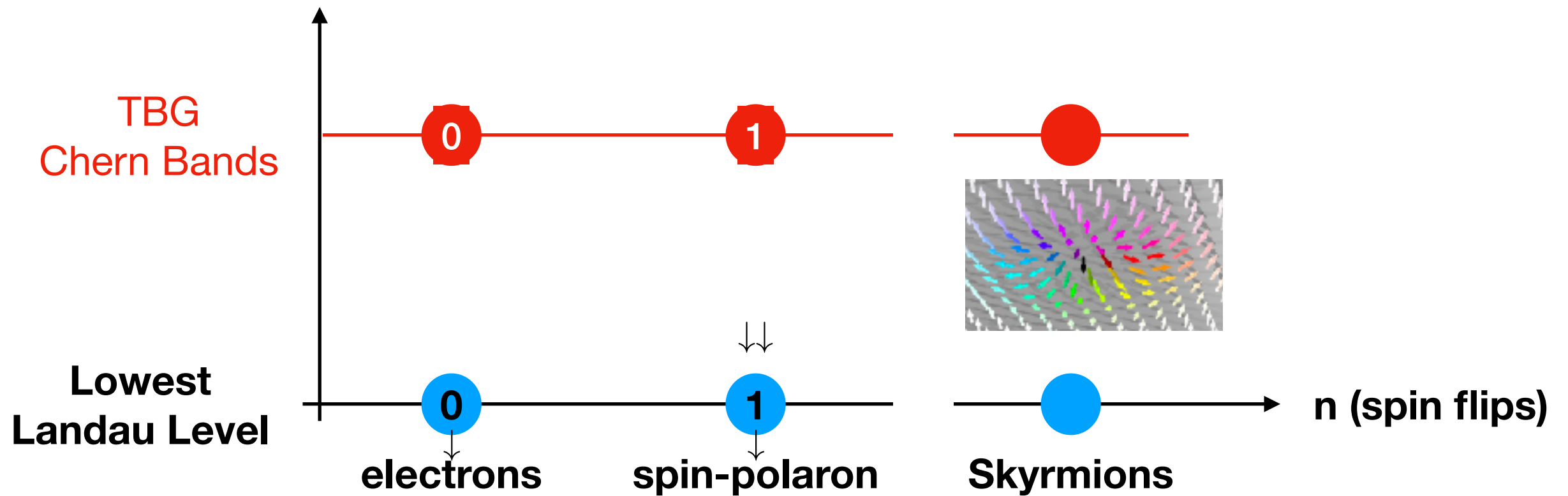
Jeong Min Park,<sup>1,\*†</sup> Yuan Cao,<sup>1,2,\*</sup> Liqiao Xia,<sup>1</sup> Shuwen Sun,<sup>1</sup>

Kenji Watanabe,<sup>3</sup> Takashi Taniguchi,<sup>3</sup> and Pablo Jarillo-Herrero<sup>1,†</sup>

Also Stevan Nadj-Perge group



# From Skyrmions to Spin-Polarons & From LLL to TBG

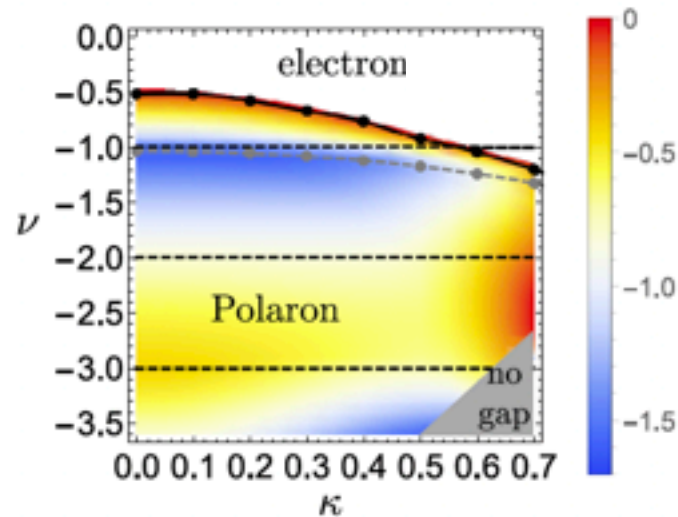


- Skyrmions = electrons+ many spin flips
- Landau Levels versus TBG bands:

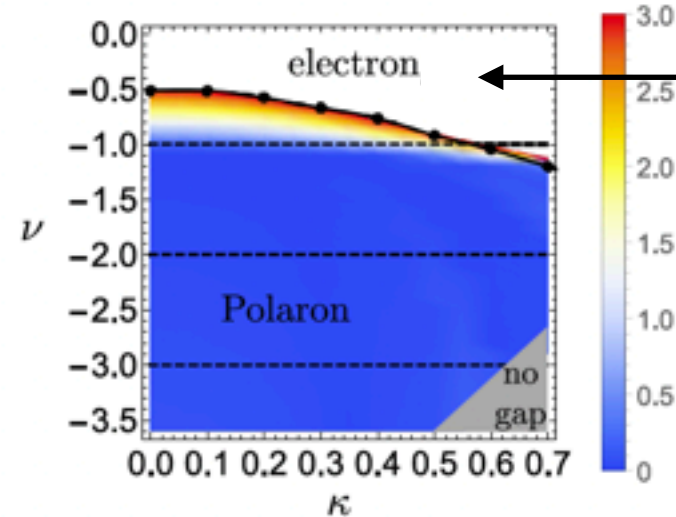
# Bound States with Dispersion

- Spin-Polaron bound states appear on the 'low dispersion' side [away from charge neutrality].

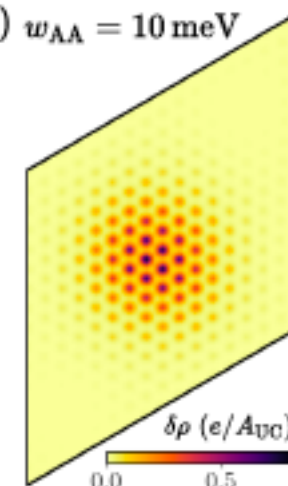
(a) Binding energy



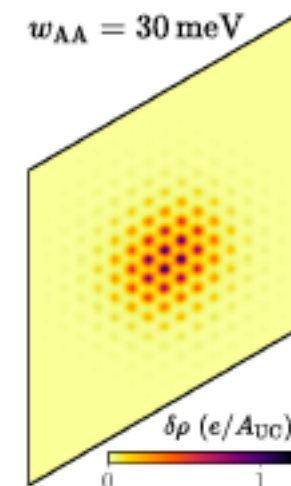
(b) Inverse effective mass  $m_e/m_{\text{eff}}$



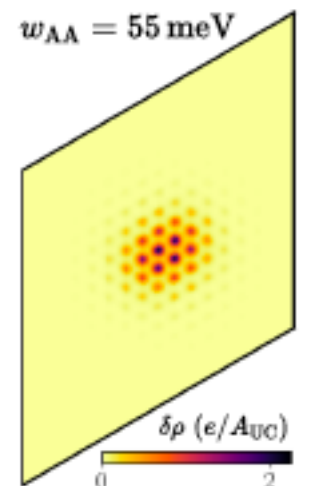
a)  $w_{AA} = 10 \text{ meV}$



$w_{AA} = 30 \text{ meV}$



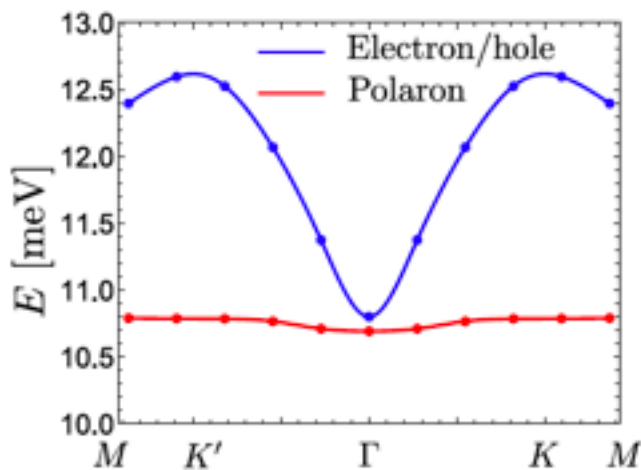
$w_{AA} = 55 \text{ meV}$



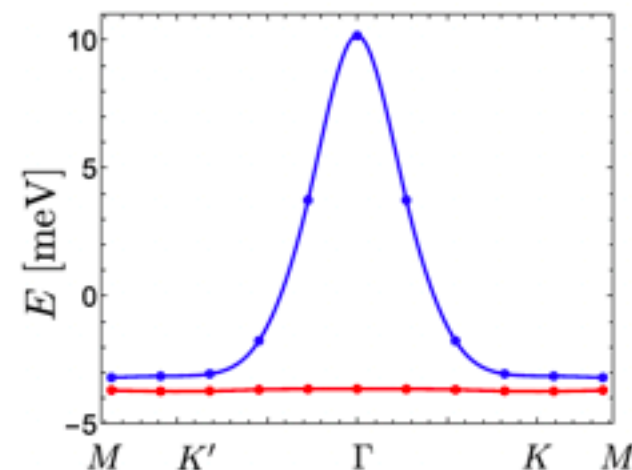
Could be unstable to further spin flip bound states.

(Kwan...Parameswaran  
arXiv:2112.06936)

(c)  $\nu = -1$



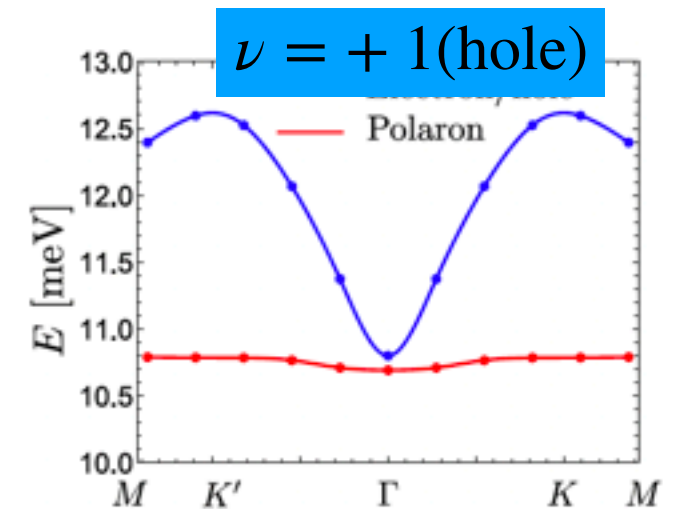
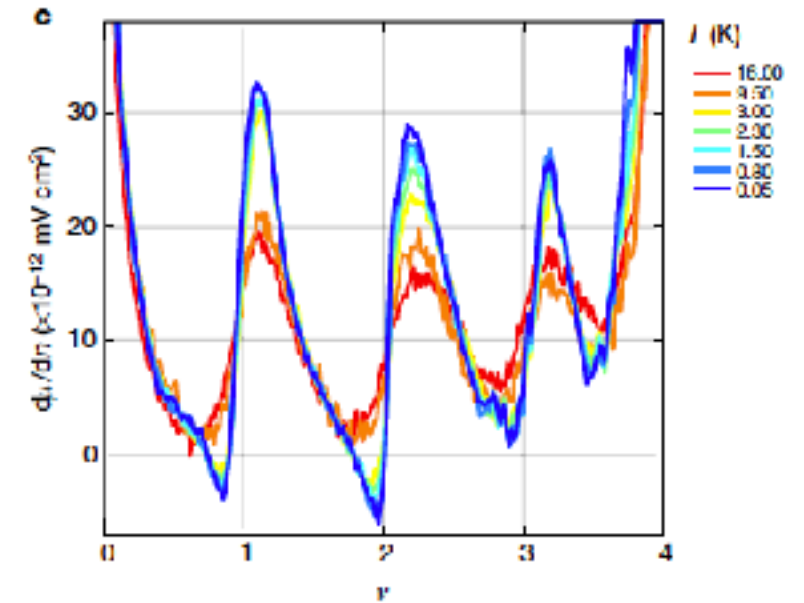
(d)  $\nu = -3$



- Very small dispersion.

# Phenomenology

- Consequences for Experiment? STM, Cascade features?
- Superconductivity: “Spin-Bipolarons” paired via magnetic superexchange.
  - Role of strain?



Also Vafeek-Bernevig

# OUTLINE

- Lecture 1 - **Preliminaries**, the chiral model, *wave functions*, from bilayer to  $n=3,4,5..$
- Lecture 2 - **Correlated Insulators** - exact solutions, Hartree Fock, topology and  $\sigma$  model.
- Lecture 3 - **Superconductivity** - disordered  $\sigma$  model.
- Lecture 4 - **Fractional Chern insulators** in magic angle graphene

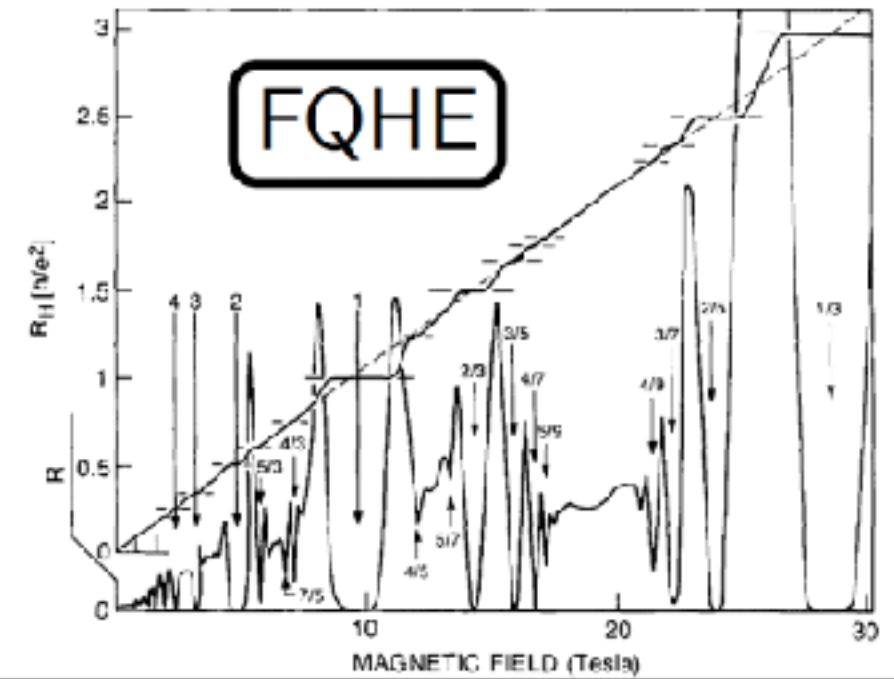
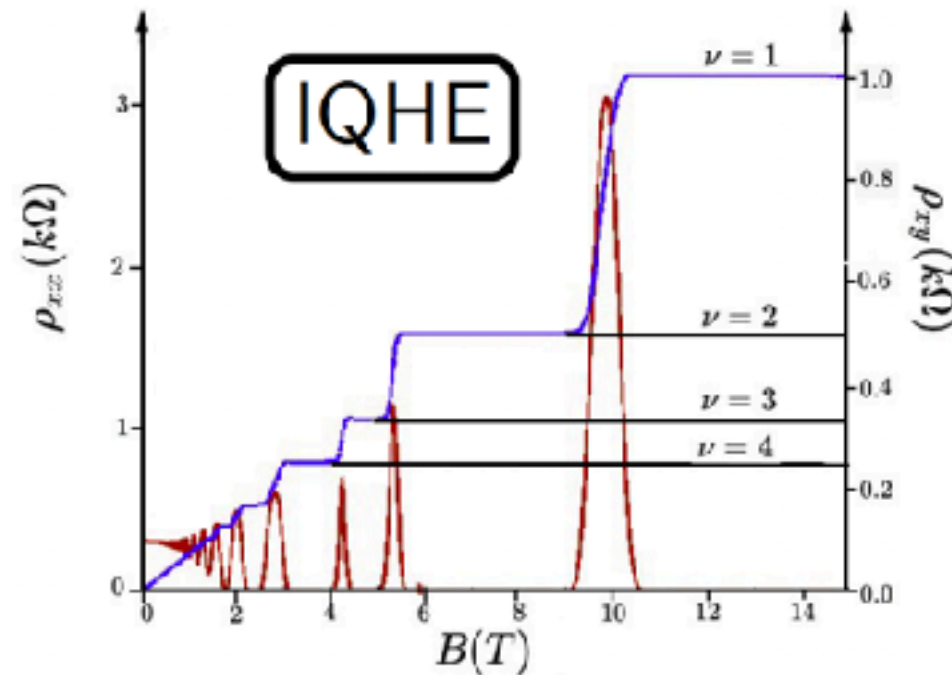


# Overview

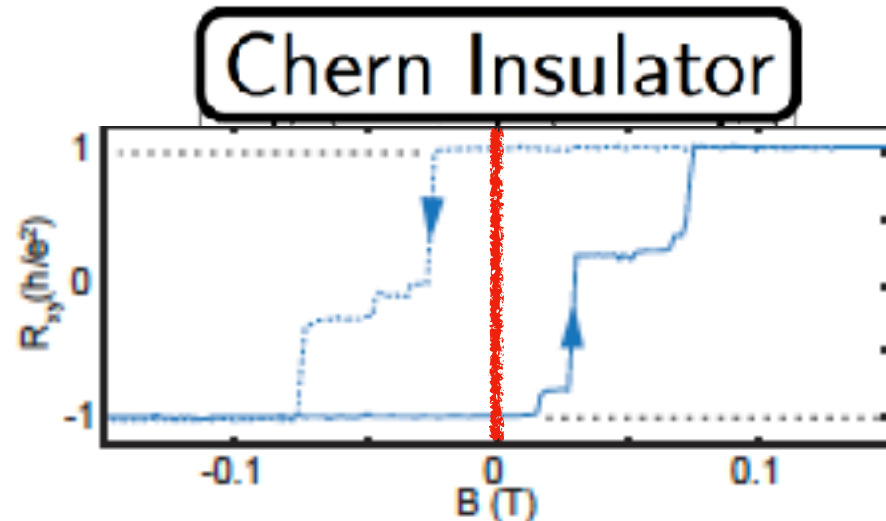
## Integer Charge

## Fractional Charge

( $B$  Field)



(Quantum Geometry)



## Fractional Chern Insulator

FCIs

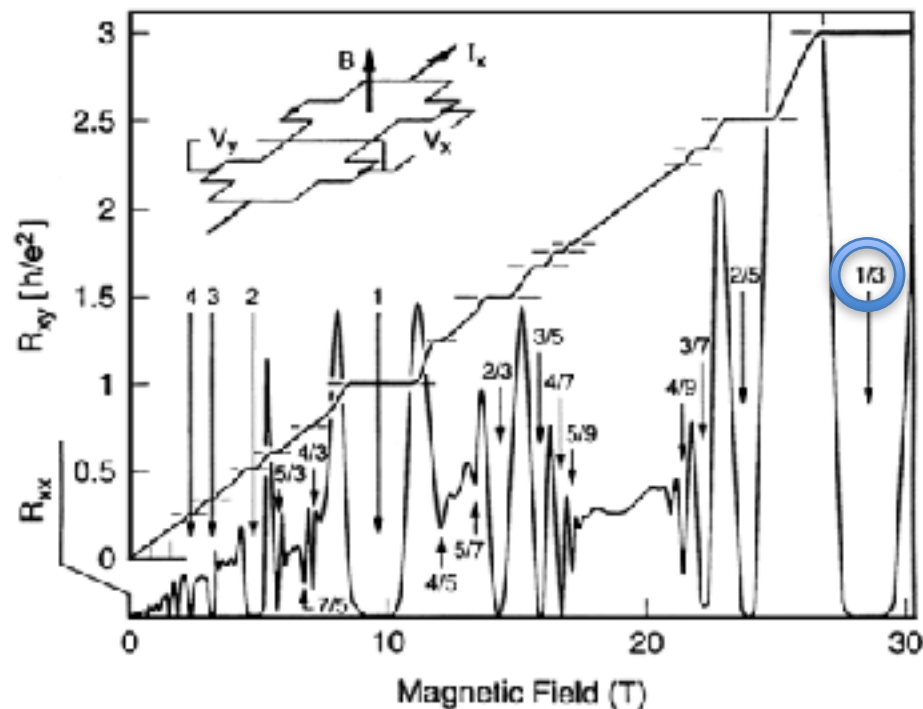
by fractional filling of Chern band?

Magic angle graphene (+ aligned hBN)

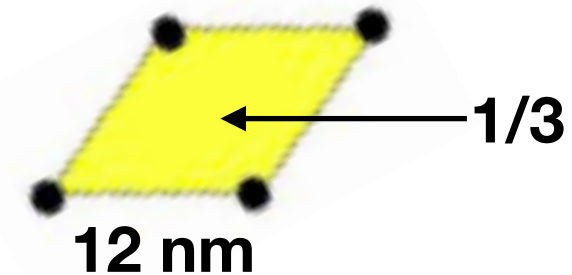
Serlin et al. Sharpe et al. Science '19. Stepanov et al. PRL '21

*Intrinsic Topological Order*

# Intrinsic Topological Order - *BEYOND* Fractional Quantum Hall



$B = 28 \text{ Tesla}$   
 $n = 0.25 \times 10^{12} \text{ cm}^{-2}$



## PART1: Fractional Chern Insulators:

Fractional Quantum Hall effect  
in topological band structure

(rather than Landau levels)?

1. Density of electrons *not* set by the field.
2. FQH at small or zero field.
3. Potentially enhanced energy scales ( $e^2\sqrt{n}$ )
4. Translation symmetry *enriched* Topological Order

- *Ideal* quantum geometry of chiral TBG
- Fractional Chern insulators in realistic TBG
- Experiments on TBG FCIs
- Zero field FCIs and Conclusion.

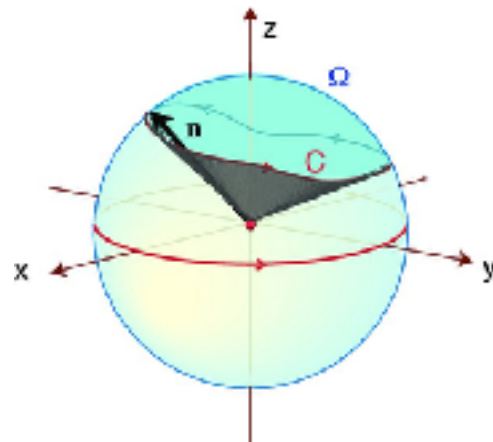
# Quantum Geometry of Electronic Bands

## Berry Curvature - (well known)

$$A(\mathbf{k}) = \langle u_{\mathbf{k}} | i \nabla_{\mathbf{k}} | u_{\mathbf{k}} \rangle : \text{Berry connection}$$

$$\Omega(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathbf{A}(\mathbf{k}) : \text{Berry curvature}$$

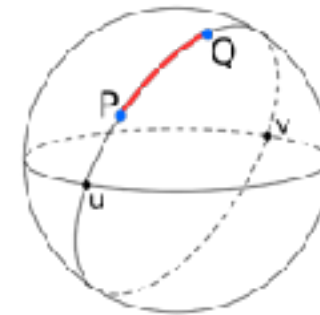
Berry phase  $\Omega$



## Fubini Study metric

$$ds^2 = g_{ba}(k) dk_b dk_a$$

$g_{ab}(k)$



Metric on BZ

**2-bands**

**Quantum Metric:**

$$\eta_{ab} = \langle \partial_a u_k | 1 - |u_k\rangle \langle u_k| | \partial_b u_k \rangle$$

Projector - eliminates trivial changes

**(Hermitian)**

$$\eta_{ab}(k) = g_{ab}(k) - \frac{i}{2} \Omega \epsilon_{ab}$$

# Approaching Landau Levels

- Achieve FCIs by mimicking Lowest Landau Level
  - Flat bands
  - Uniform Berry curvature [Parameswaran, Roy, Sondhi;...]
  - Trace condition - Ideal quantum geometry. [Roy, Classen et al.]

$$|\text{Tr}[g]| \geq 2\sqrt{|\text{Det}[g]|} \geq |\Omega|$$


Equality=Trace Condition (Lowest L. L.)

**Automatically satisfied by chiral TBG and lowest LL!**



# Quantum Geometry of Moire Flat Bands

- What is the Quantum Geometry of the Moire flat bands?  
(Ledwith et al.)

$$|\text{Tr}[g]| \geq 2\sqrt{|\text{Det}[g]|} \geq |\Omega|$$

Equality=Trace Condition (Lowest L. L.)

- In the chiral limit, Moire flat bands satisfy the Trace condition.

quantum metric:

$$|\text{Tr}[g]| = |\Omega|$$

$$\eta(k) = \begin{pmatrix} 1 & i \\ -i & 1 \end{pmatrix} \Omega(k)$$

Previous examples lowest Landau Level

(See also Jie Wang et al.)

# Quantum Geometry and Fractional Chern insulators

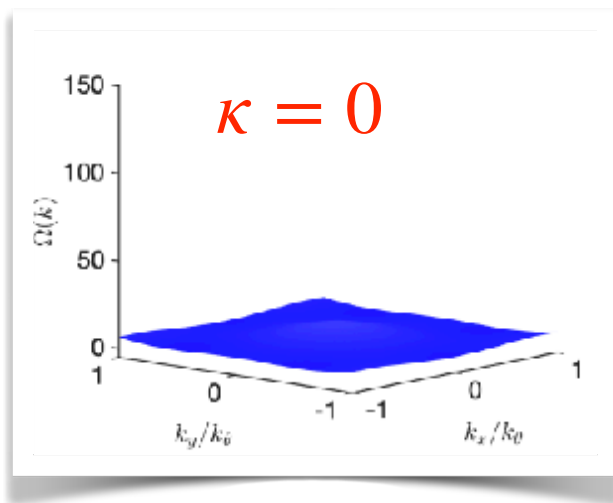
## Special properties of Chiral Flat Bands:

(i) Trace Condition Satisfied

$$|\text{Tr}[g]| = |\Omega|$$



(ii) Nearly *uniform* Berry curvature



(iii) Flat bands



Parameswaran et al. '13. Roy '14. Classen et al. 2014. Mera and Ozawa '21. Varjas et al.

Ledwith, Tarnopolsky, Khalaf, AV '20. Jie Wang, Cano, Millis, Liu, Yang '21

**Moire' Numerics:** Repellin, Senthil Phys. Rev. Research. '20 Abouelkomsan, Liu, Bergholtz PRL '20 Wilhelm, Lang, Läuchli arXiv:2012.09829

# Numerical DMRG Study of FCIs in TBG

arXiv: 2112.13837

Parker *et al*, 2021



Dan Parker

Harvard



Johannes Hauschild

Berkeley



Tomo Soejima

Berkeley



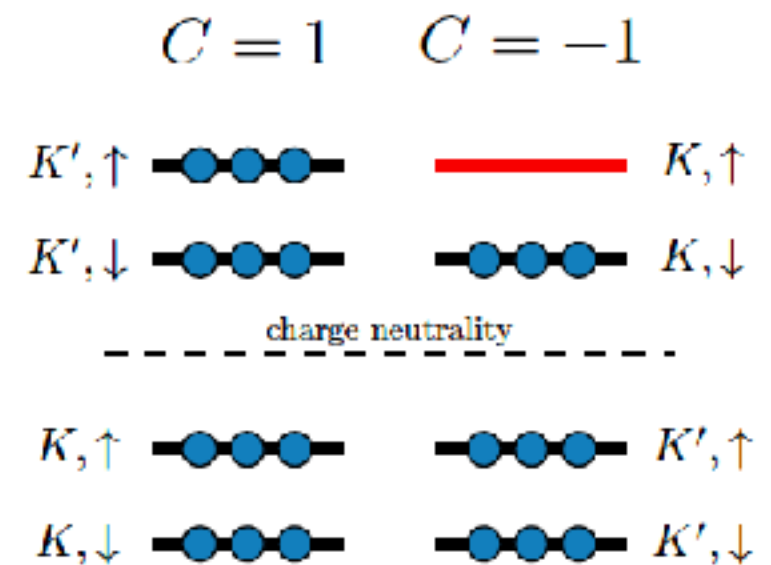
Mike Zaleel

Berkeley

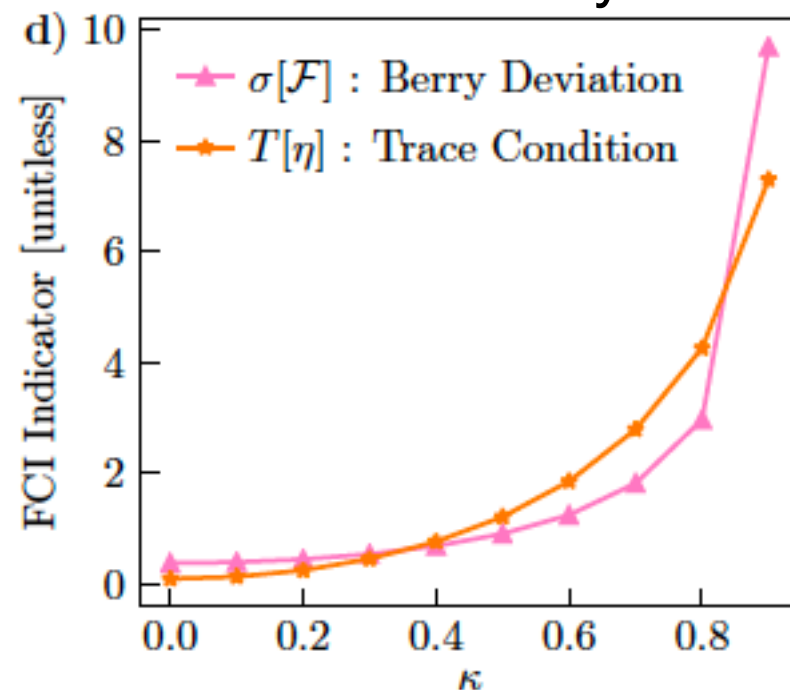
# FCI Stability in TBG

Single-species model for top band:

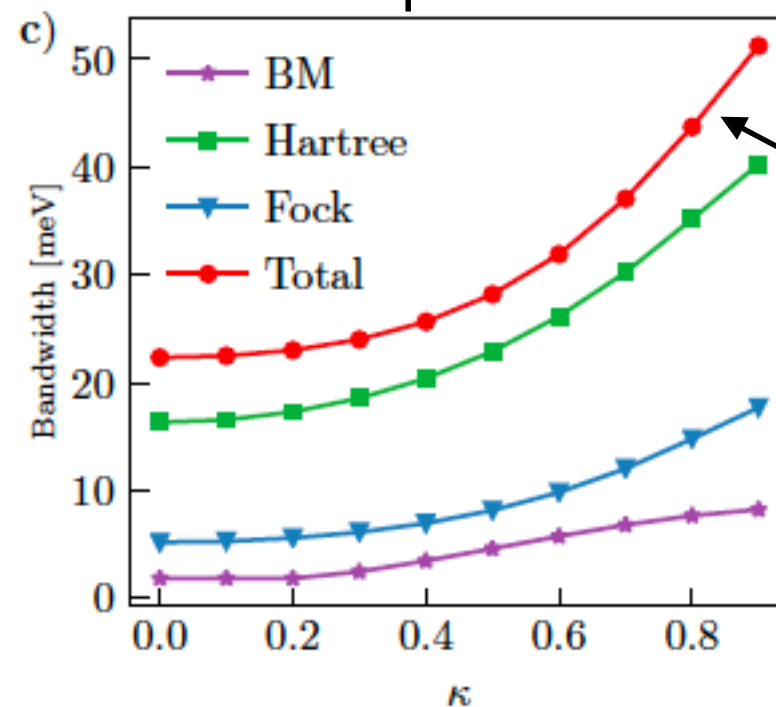
Spin and valley polarized;  
C2 broken by hBN



Geometry



Dispersion



Hartree-Fock generated bandwidth  
for electrons:  $\nu = 3+$

BM Model

# FCI Stability in TBG

Infinite cylinder DMRG with MPO compression on

$$\hat{H}^{(\nu=3)} = \lambda \hat{h}_T + : \hat{V}_{Coulomb} \hat{\rho} \hat{\rho} : \\ \hat{h}_T = \hat{h}_{BM} + \hat{h}_{HF}[P]$$

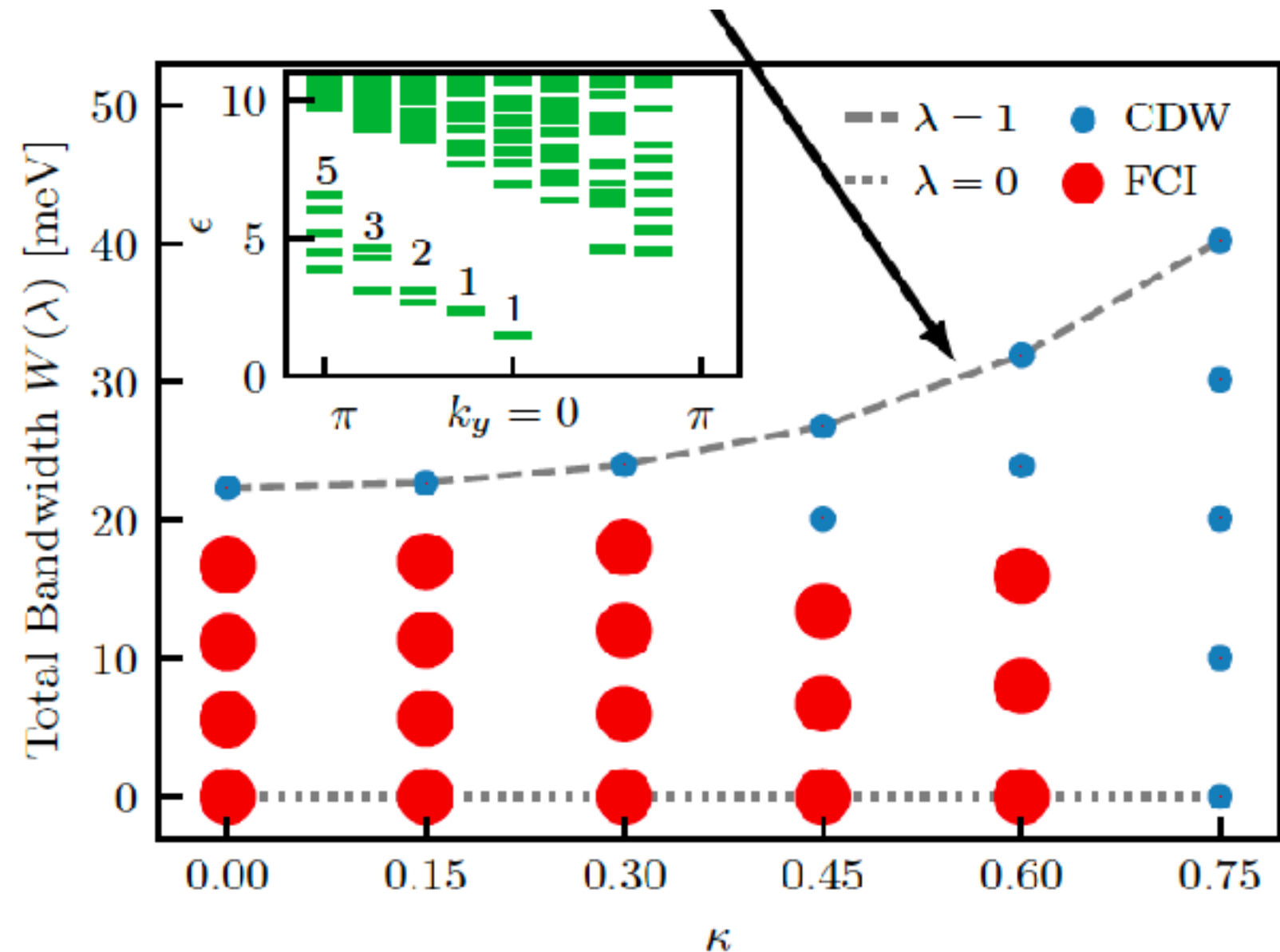
Artificially reduce the bandwidth by  $0 \leq \lambda \leq 1$ .

Parameters:

angle  $\theta \approx 1.05^\circ$

chiral ratio  $\kappa \approx 0.5 - 0.8$

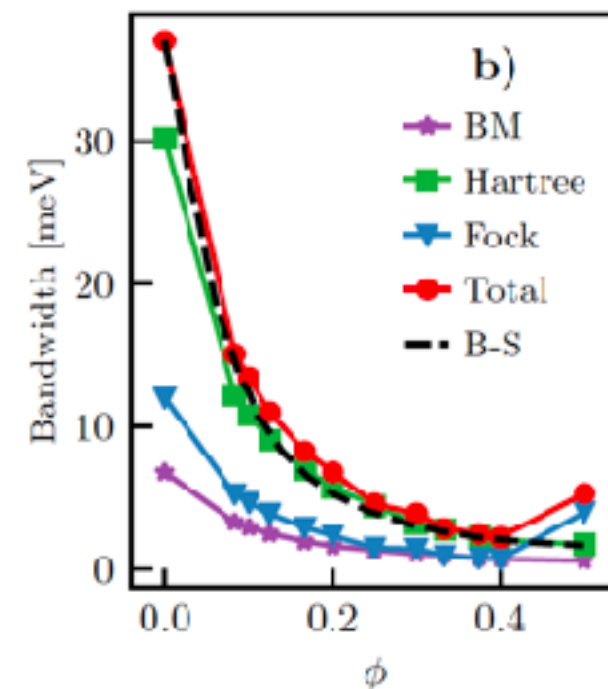
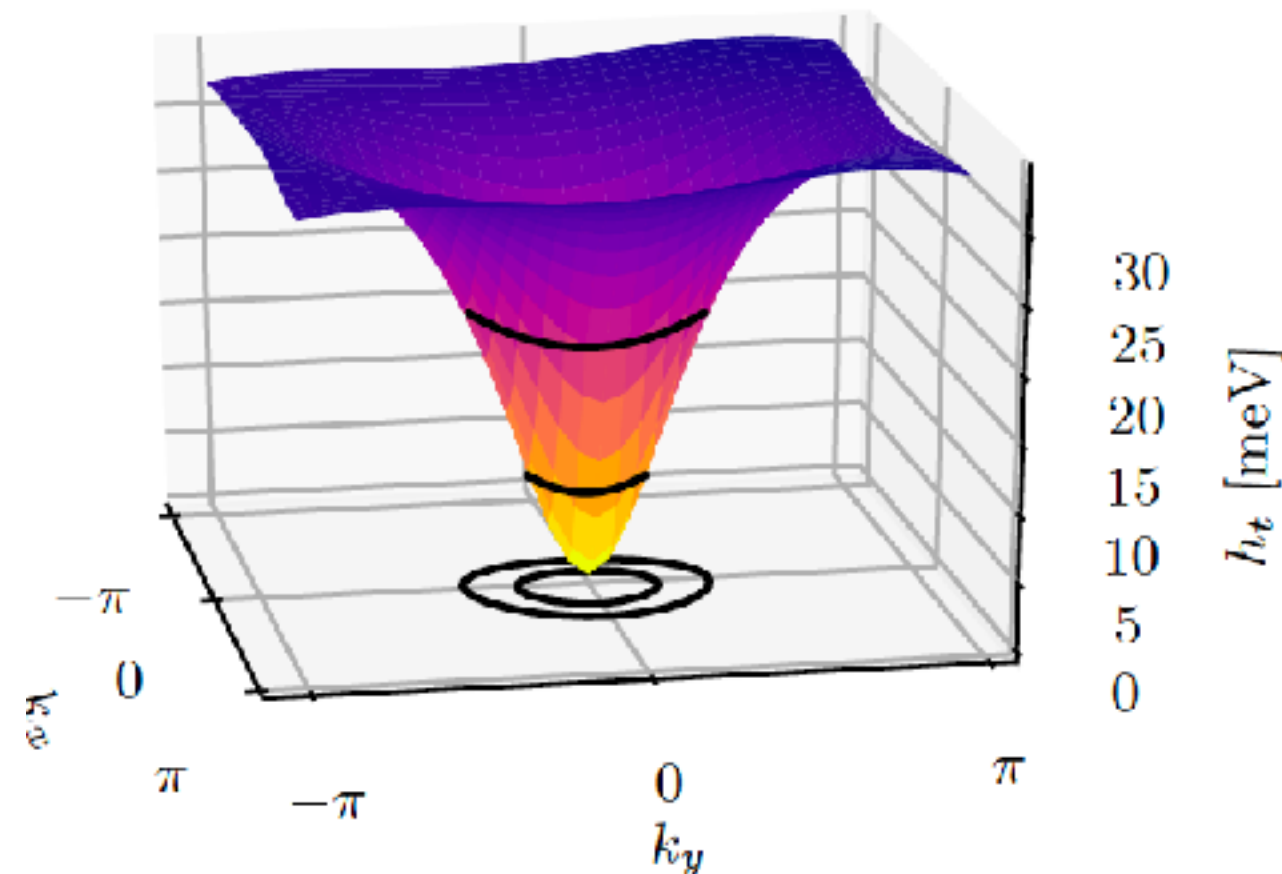
hBN mass a few meV





# B-Field Stabilized FCI in TBG

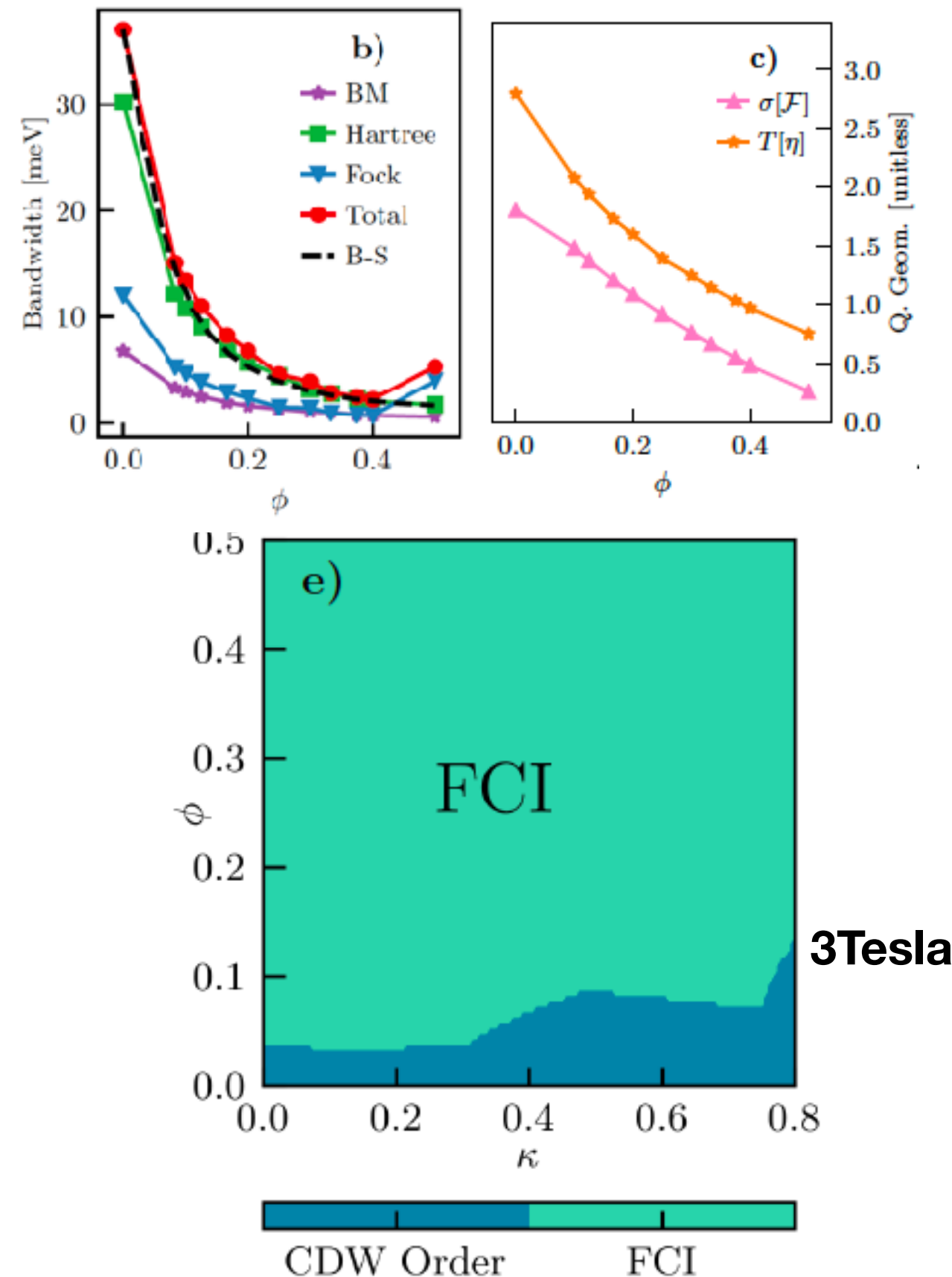
- Note, dispersion restricted to small area of BZ near Gamma.
- Effective mass at band bottom:  $m^* \approx m_{\text{band}}/6$
- In a weak magnetic field - expect significant reduction of bandwidth.



Parker et al. '21

# B-Field Stabilized FCI in TBG

- Small magnetic fields *improves* band geometry and dramatically *reduces* bandwidth.
- Weak field should stabilize FCI at filling  $3+2/3$



# Experiments - FCIs in TBG

arXiv: 2107.10854

*Xie et al, Nature* **600**, 439–443 (2021)



Amir

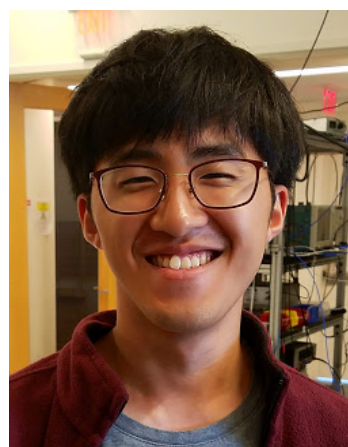
**Harvard**



Yonglong Xie



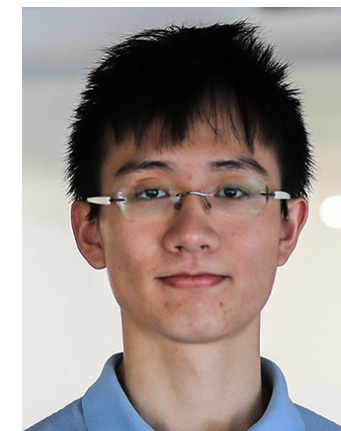
Andrew Pierce



Seung Hwan Lee



Jeong Min Park



Yuan Cao

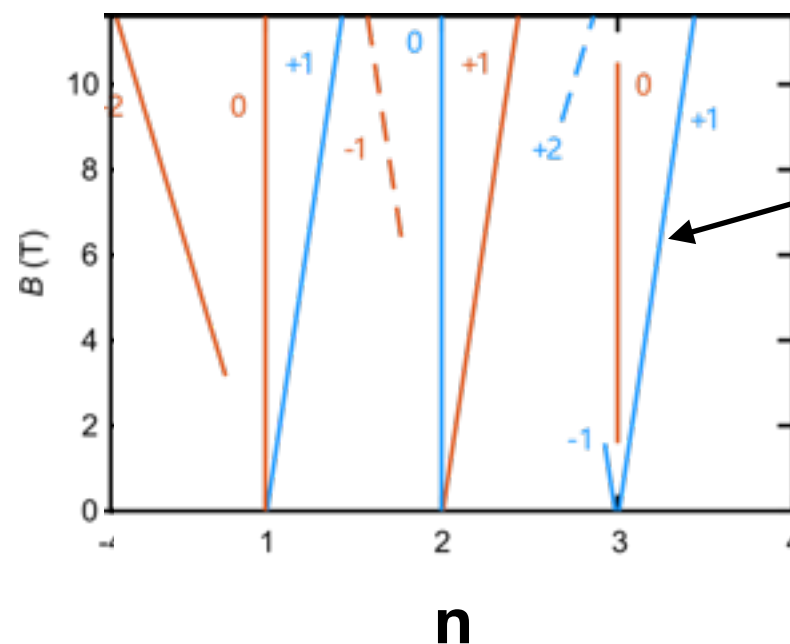
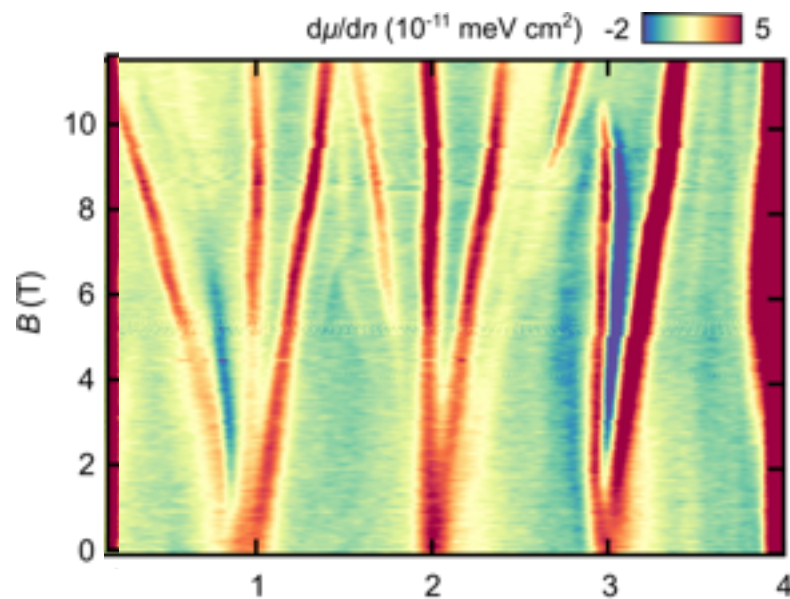


Pablo Jarillo-Herrero

# Identifying FCIs

**Yacoby Group - Measure compressibility**  
Identify incompressible states in (n, B) plane

$$n = \textcolor{red}{C} \frac{\phi}{\phi_0} + \textcolor{blue}{s}$$



$$(\textcolor{red}{C}, \textcolor{blue}{s}) = (+1, +3)$$

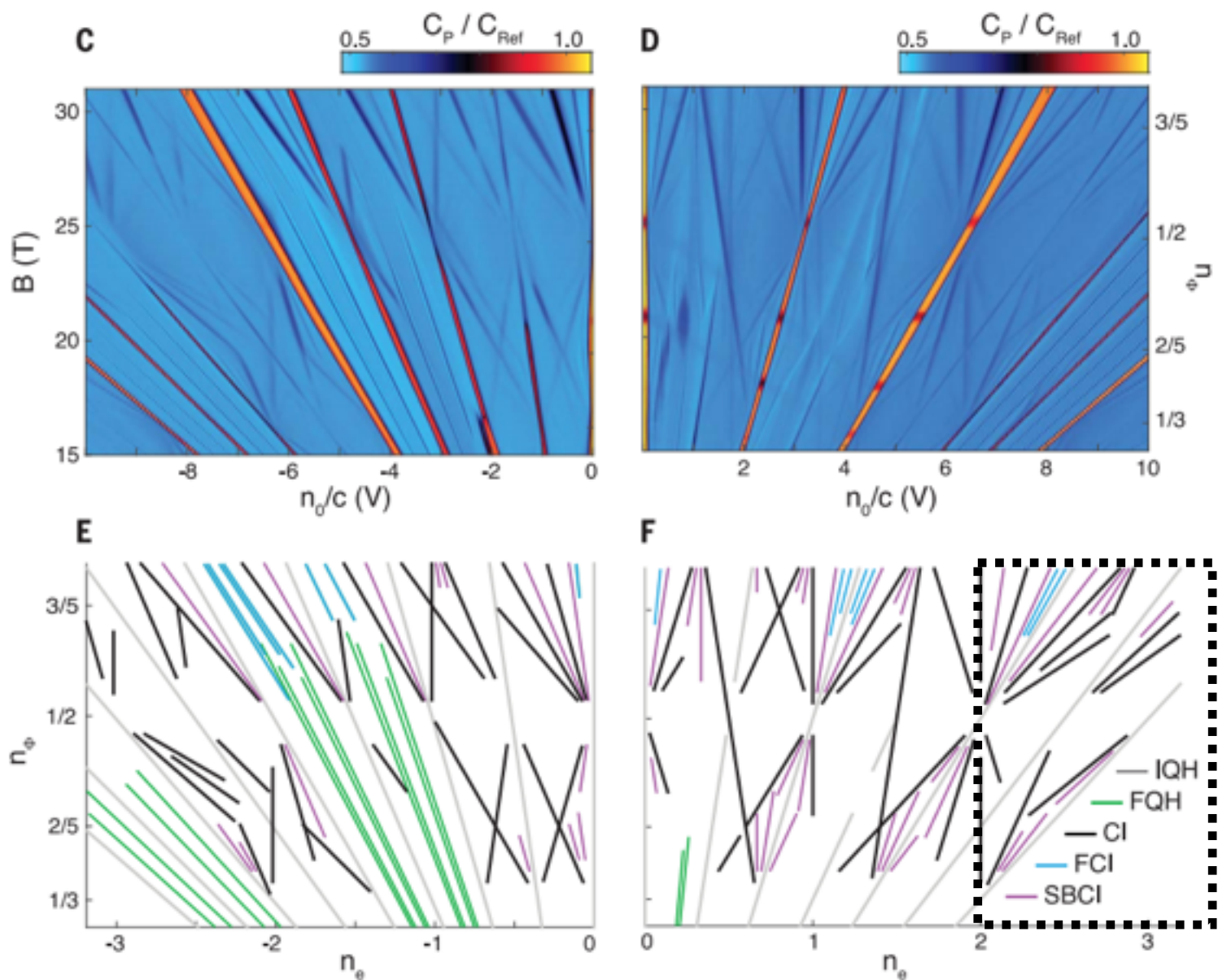
	<b>C</b>	<b>s</b>
<b>Chern Insulator CI</b>	Integer	Integer
<b>CDW</b>	0	Fractional
<b>Symm Breaking CI</b>	Integer	Fractional
<b>FCI</b>	<b>Fractional</b>	<b>Fractional</b>



# Earlier Work - FCIs in Hofstadter Bands

Spanton et al, Science (2018)

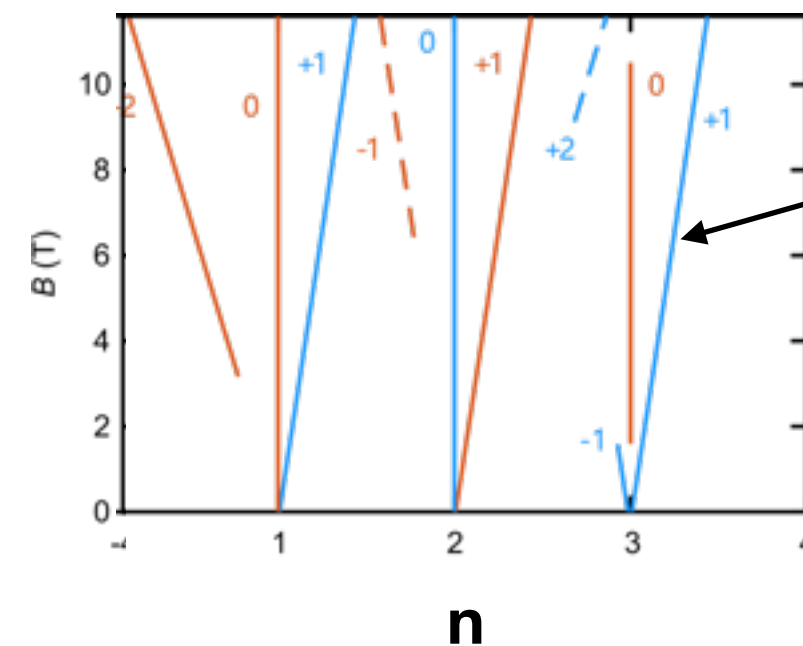
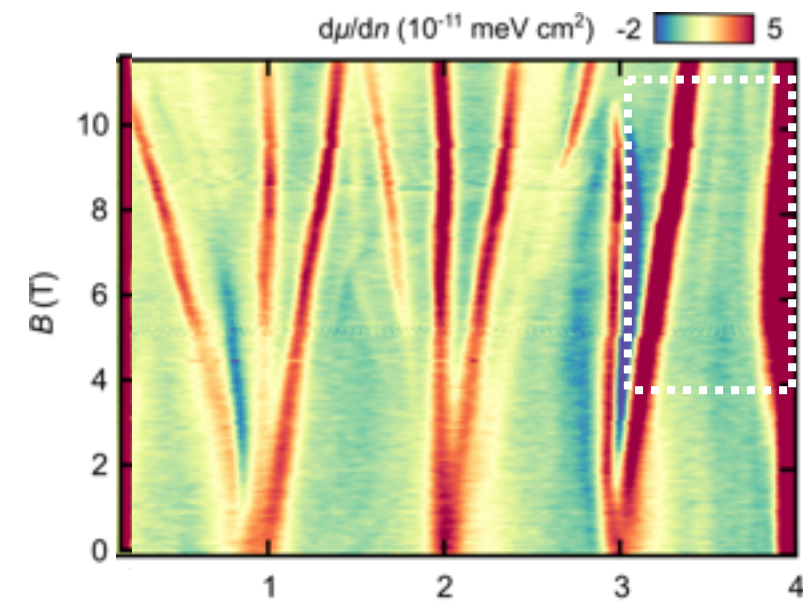
id	$t$	$s$	B [T] (min,max)
F1	2/3	-1/3	(28,32)
F2	4/3	1/3	(28,39)
F3	5/3	1/6	(29,31*)
F4	7/3	-1/6	(35,40)
F5	8/3	-1/3	(29,31*) (35,40)
F6	10/3	1/3	(28,39)
F7	11/3	1/6	(28,31*)
F8	8/3	-2/3	(35,40)
F9	4/3	2/3	(36,42)
F10	10/3	2/3	(36,42)
F11	-13/3	1/3	(25,36)
F12	-22/5	2/5	(27,32*)
F13	-23/5	3/5	(27,32*)
F14	-14/3	2/3	(26,38)
F15	-11/3	2/3	(28,32*) (35,39)
F16	-10/3	1/3	(28,32*) (35,39)
F17	-11/3	-1/3	(30,36)
F18	-10/3	-2/3	(30,38)
F19	-2/3	1/3	(29.5,31.5)



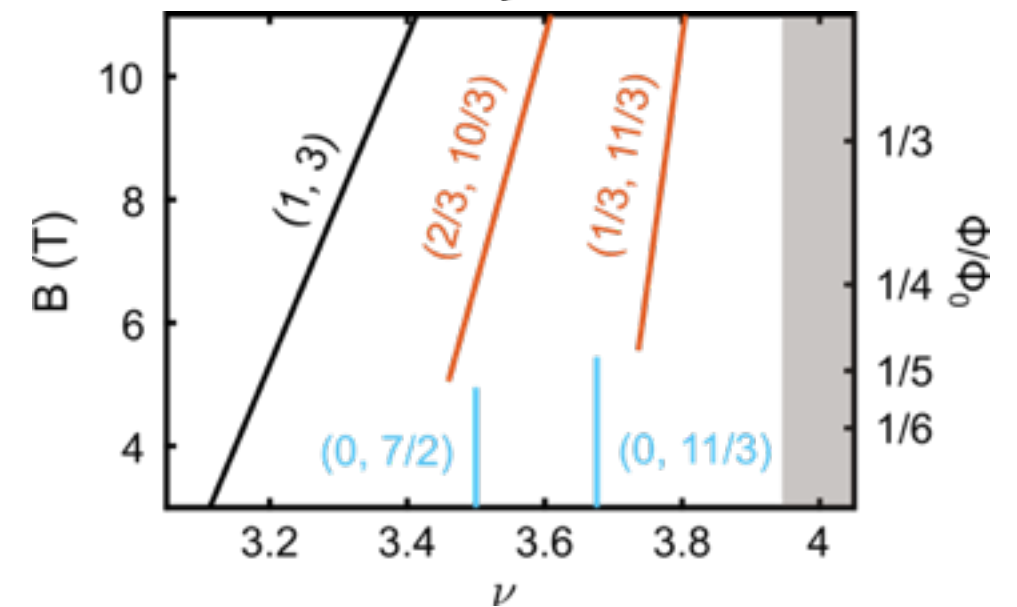
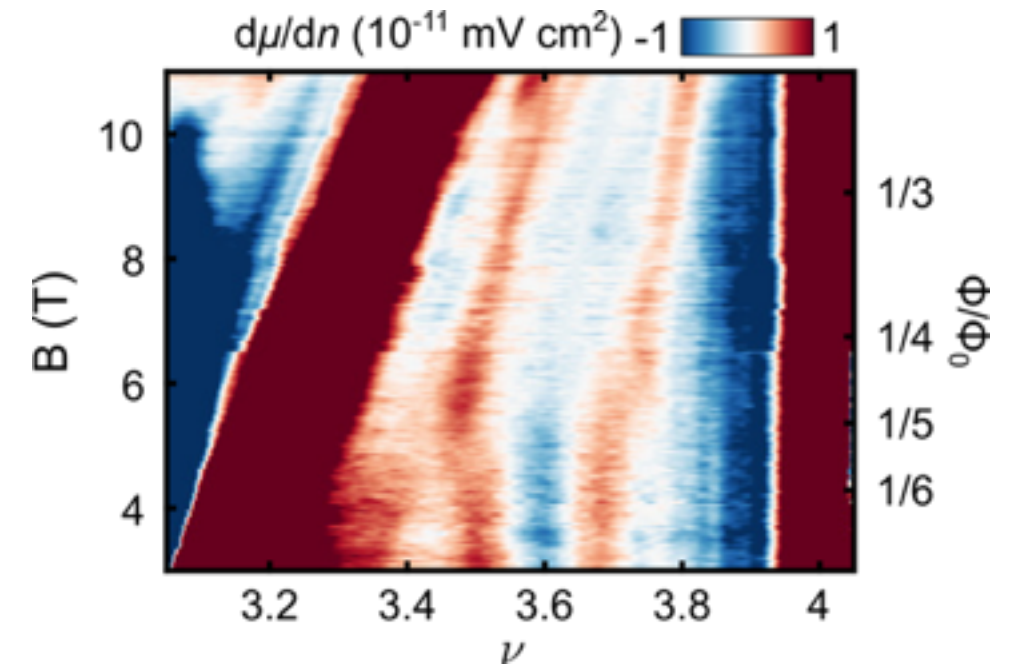
High field FCIs at  $\geq 25$  Tesla  
Magnetic Field Creates Chern bands



# FCIs in Chern Bands

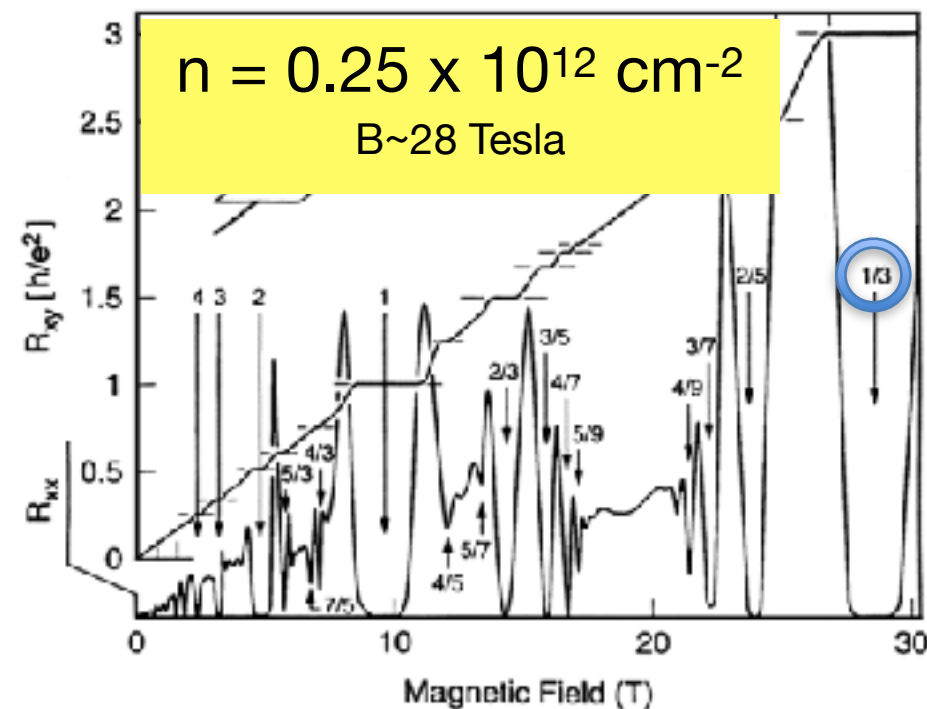
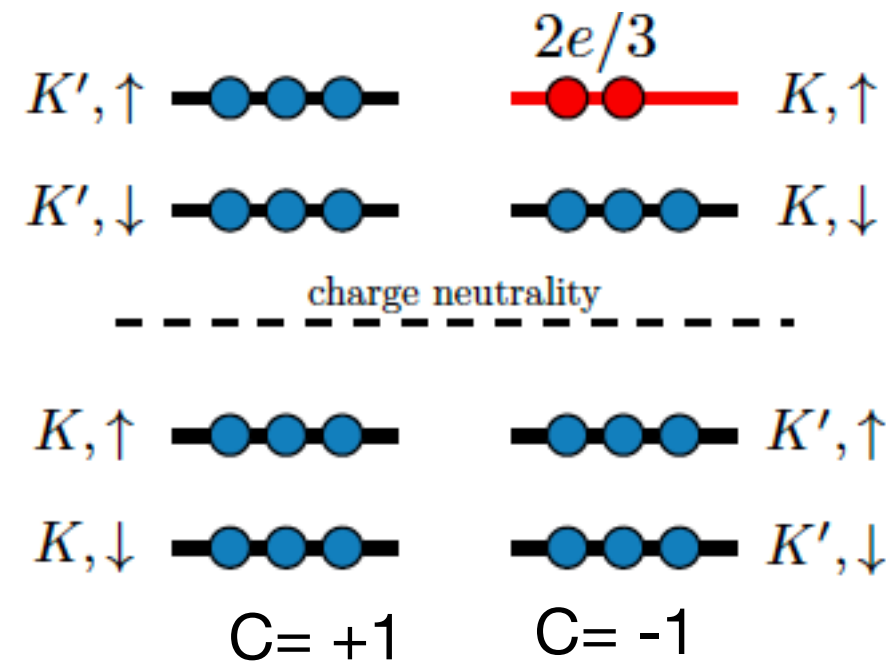
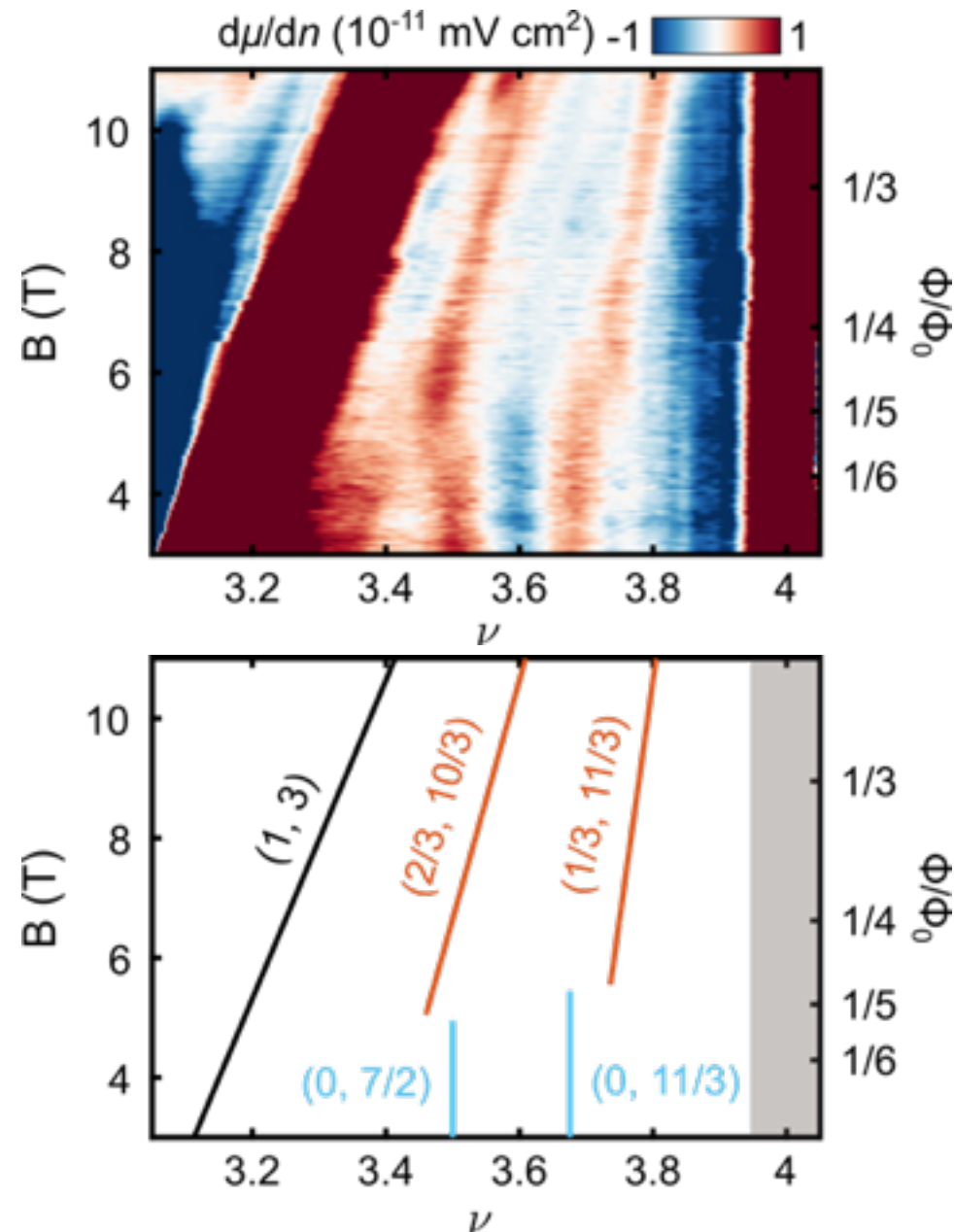


$$(C, s) = (+1, +3)$$



# FQIs in Moire Graphene

## FQIs in 5-6 Tesla



Numerics + theory:  $\sim 2\text{-}3 \text{ Tesla}$

Same density of holes  
Requires  $\sim 28 \text{ Tesla}$  for FQH state

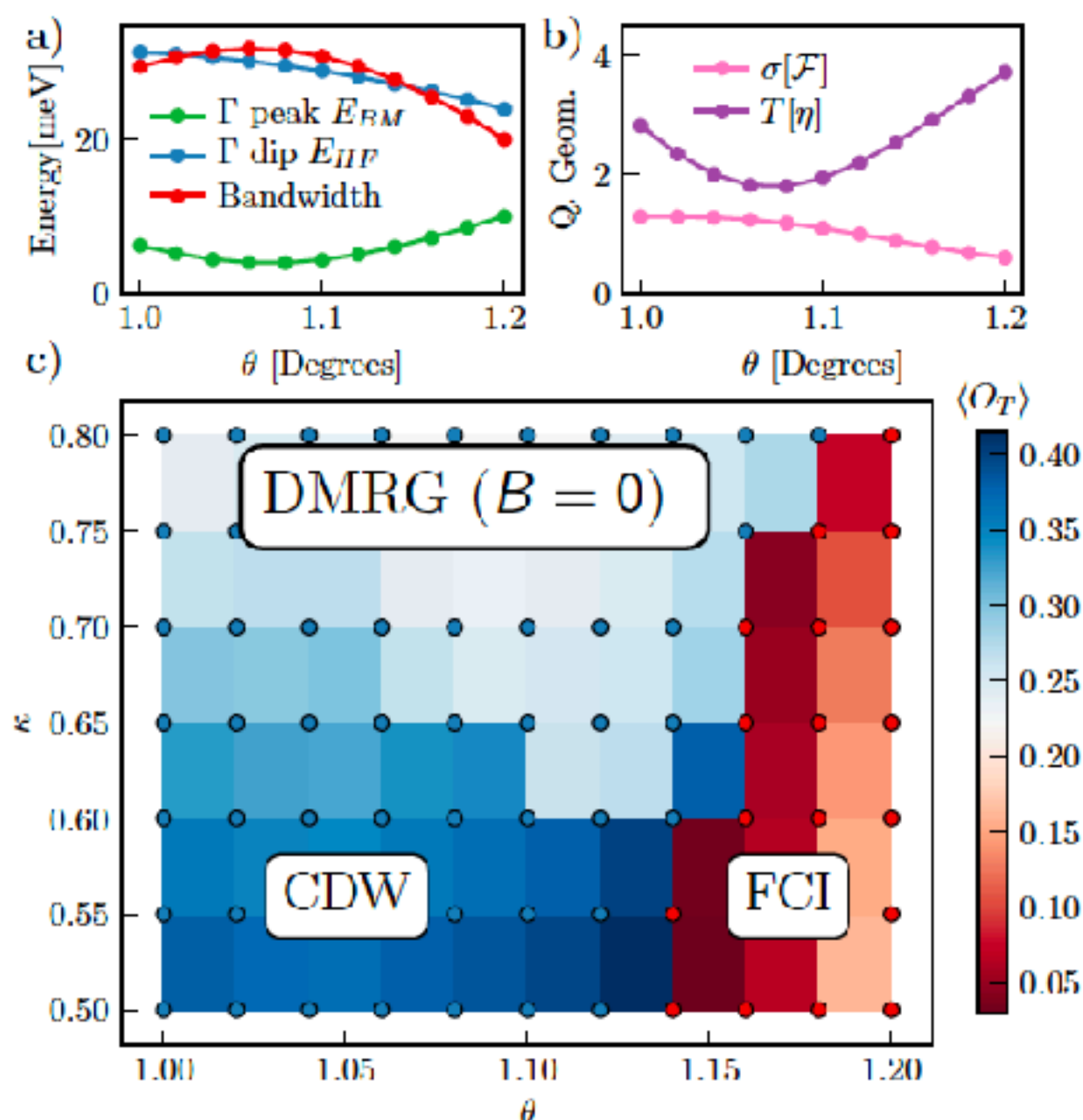
# Zero Field FCI?

## FCIs at $B = 0$

- ▶ Reducing bandwidth slightly gives an FCI
- ▶ Increasing angle reduces bandwidth.
- ▶ Quantum geometry still good enough
- ▶ Therefore we *predict*:

Zero-field FCIs in hBN-aligned TBG near  $\theta \approx 1.17^\circ$

- ▶ DMRG confirms
- ▶ Experimentally observable?



[arXiv: 2112.13837](https://arxiv.org/abs/2112.13837)

Parker *et al*, 2021

# Conclusions and Future Directions

- Unique Quantum Band geometry of Magic angle graphene holds the seed to realizing FCIs!
  - Zero field @magic angle - FCIs seem close but need a weak field to stabilize
  - Slightly larger angles - DMRG predicts zero field FCIs.
    - Role of strain?
- Many other fractions including even denominator experimentally observed!

## Collaborators



Eslam Khalaf

**Harvard**



Nick Bultinck



Shubhayu Chatterjee

**Berkeley**

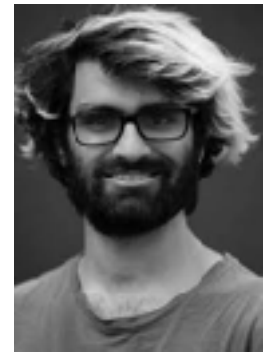


Mike Zaletel



Shang Liu

**Harvard**



Patrick Ledwith

**Harvard**

Theory: Jong Yeon Lee, Daniel Parker, G. Tarnopolsky, A. Kruchkov, Adrian Po, T. Senthil, Liujun Zou

Experiment: Kim group, Yacoby & Pablo Jarillo Herrero group, Yazdani group.