

Hamiltonian approach to transport in conventional and topological superconducting nanojunctions

Alfredo Levy Yeyati



**14th Capri School on Transport in Nanostructures 2018:
New Directions in Superconducting Quantum Devices**

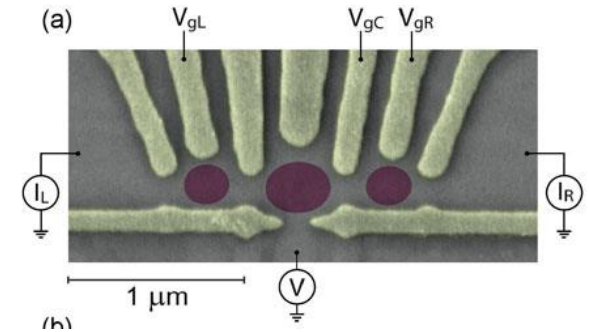
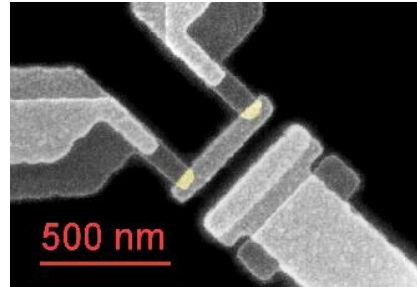
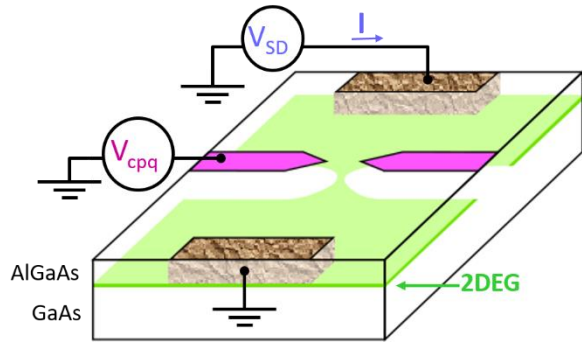
Outline:

First Lecture: general introduction, application to conventional SC junctions (non-int, steady-state)

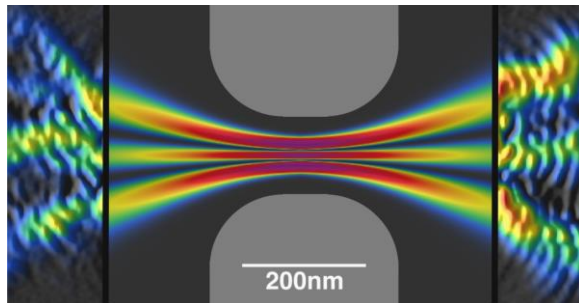
Second Lecture: effect of interactions (DCB), quench dynamics, time-dependent FCS

Third Lecture: topological superconductors featuring MBS, two terminal, multiterminal, Interaction effects

reduced dimensionality (nanoscale devices)

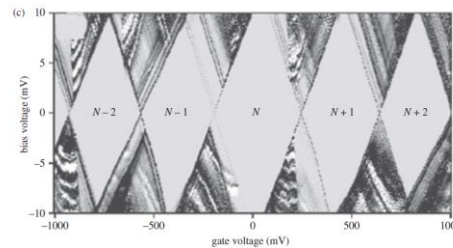


quantum transport



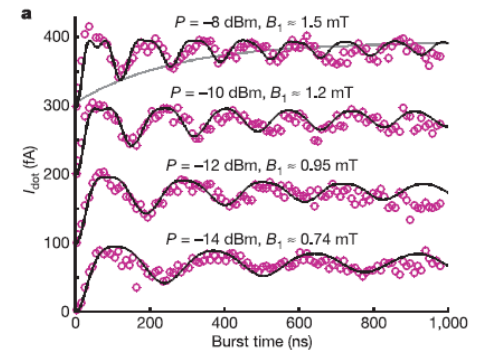
(Conductance quantization,
AB effect, Quantum noise, etc)

interactions



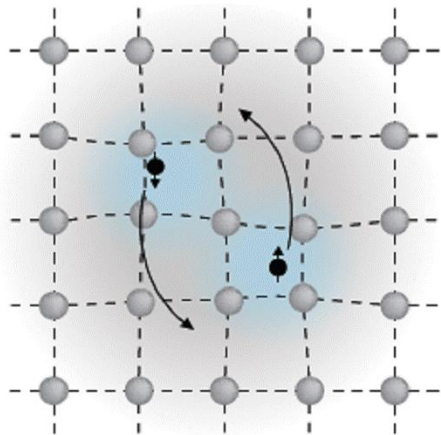
(CB, DCB, Kondo, etc)

coherent dynamics



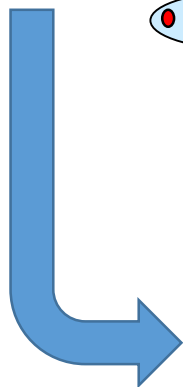
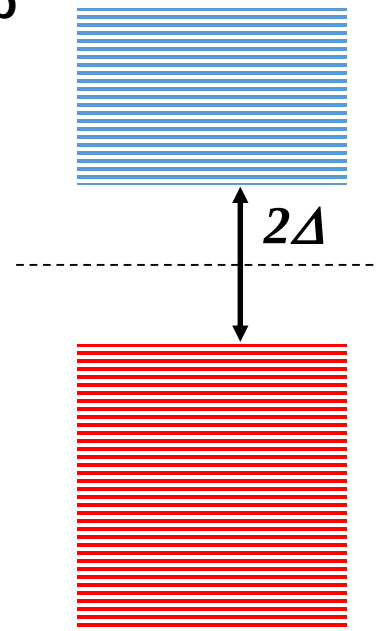
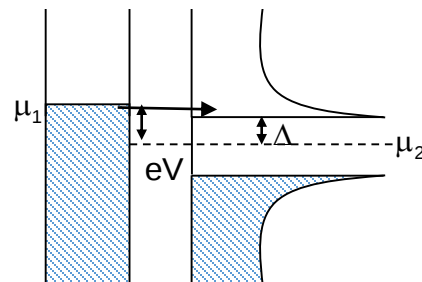
(charge and spin
qubits, etc)

superconductivity



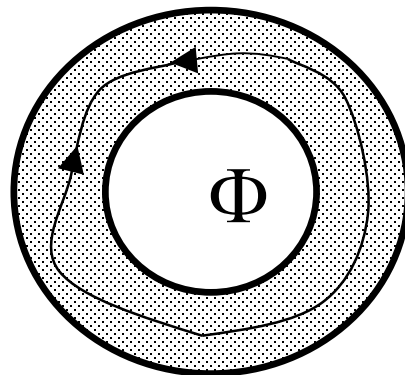
*Cooper pairs
condensate*

Superconducting gap

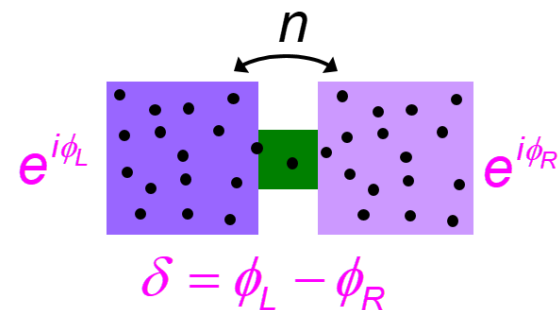


Macroscopic
Quantum
coherence

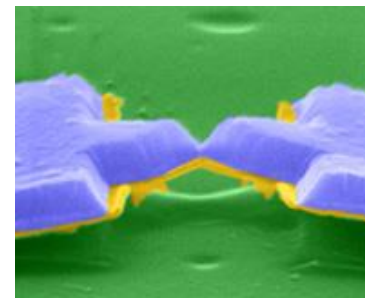
Flux quantization



Josephson effect

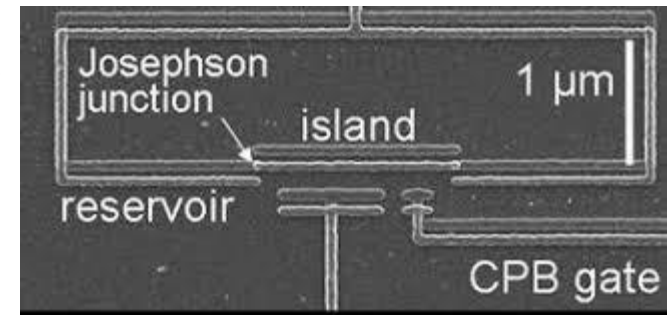


Superconducting atomic contacts

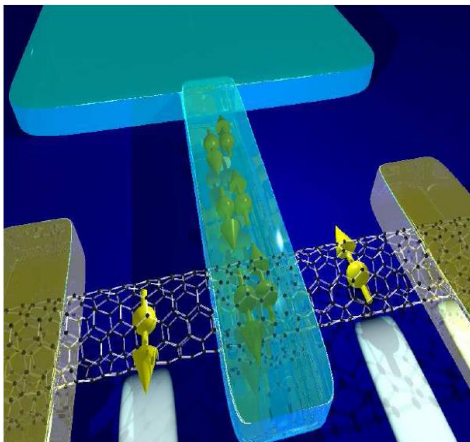


superconductivity at nanoscale

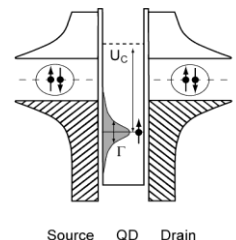
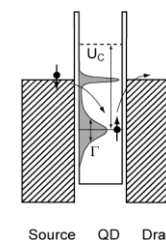
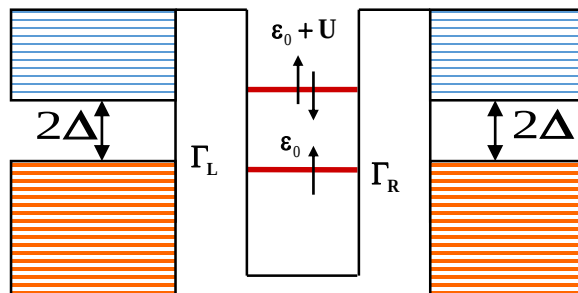
Cooper pair box



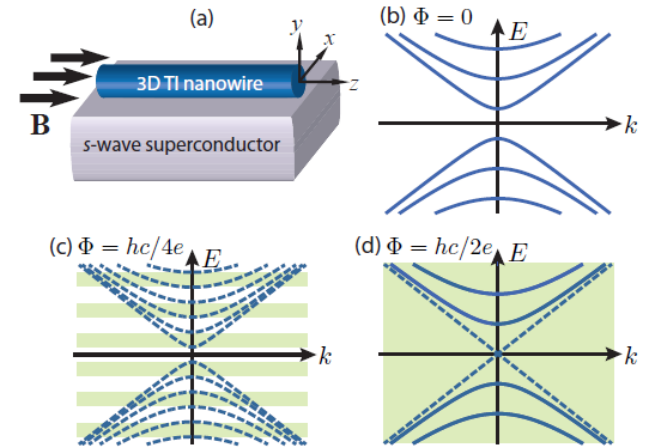
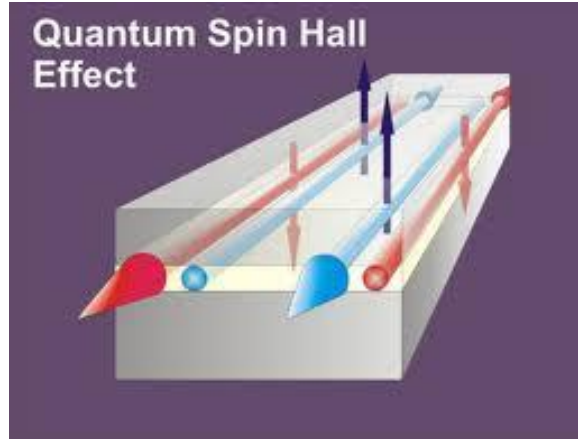
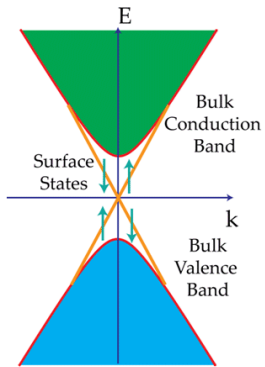
Cooper pair splitter



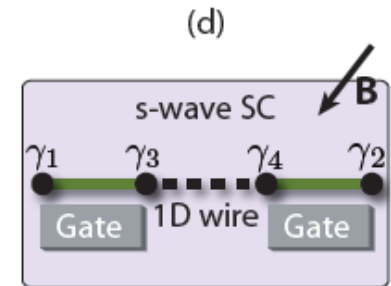
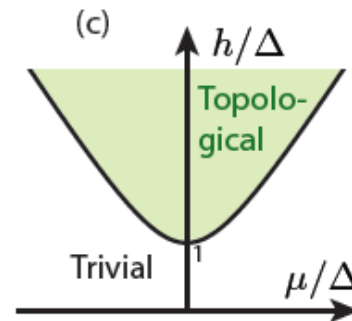
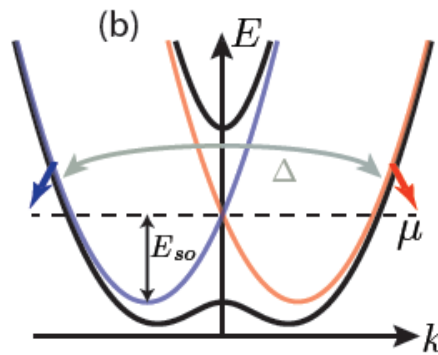
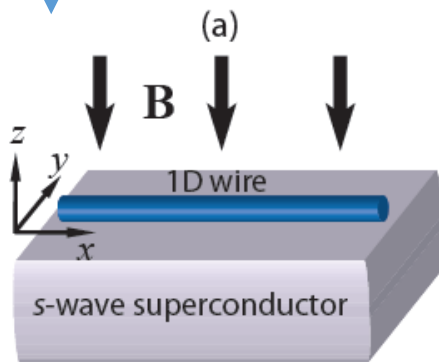
Superconducting QDs



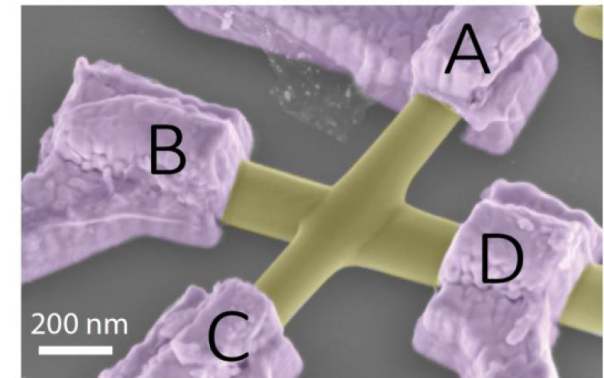
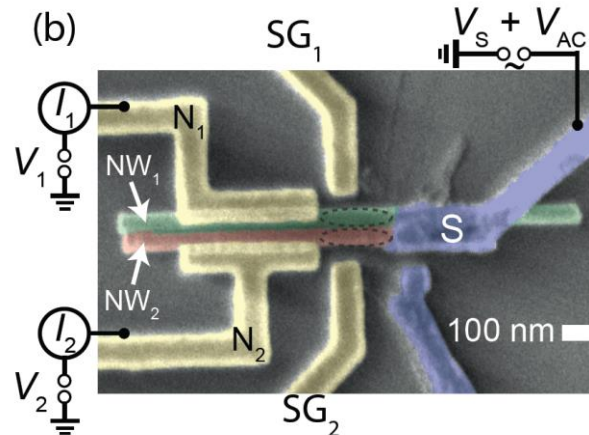
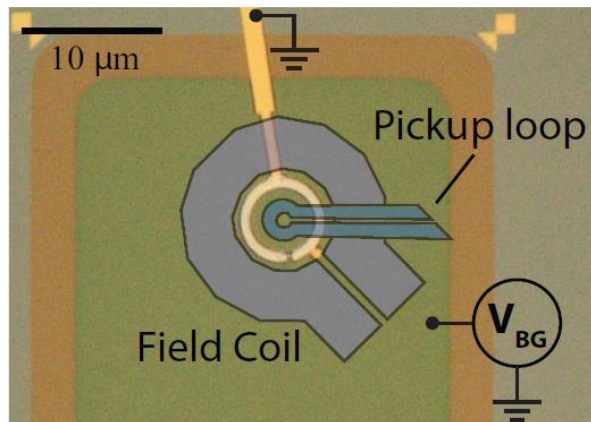
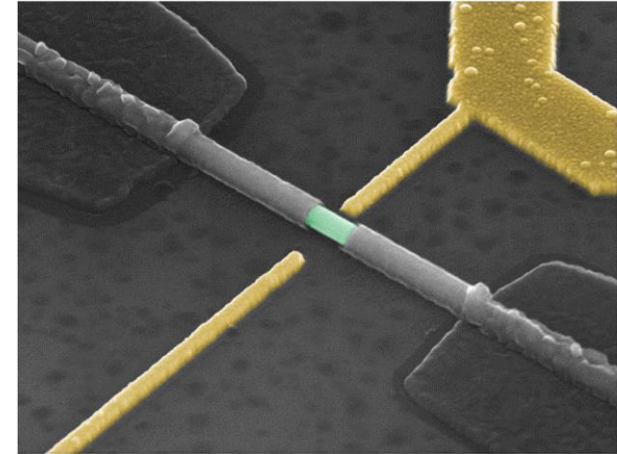
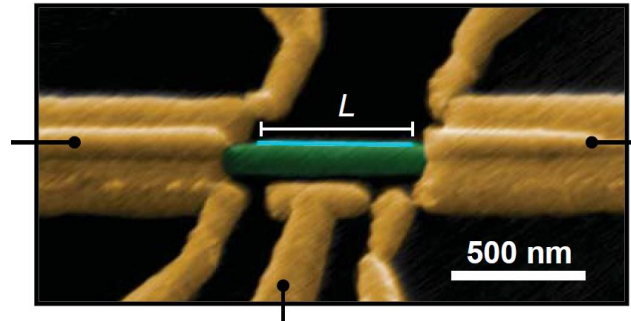
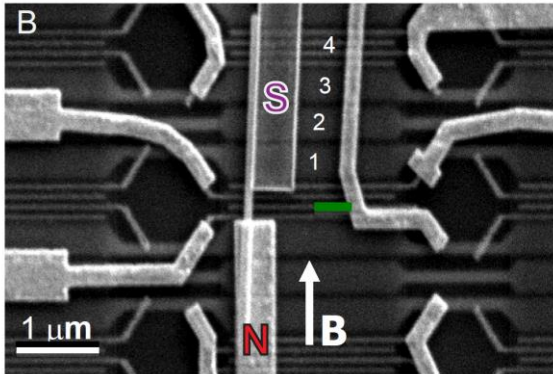
Topology



Inducing Topological Superconductivity in nanowires

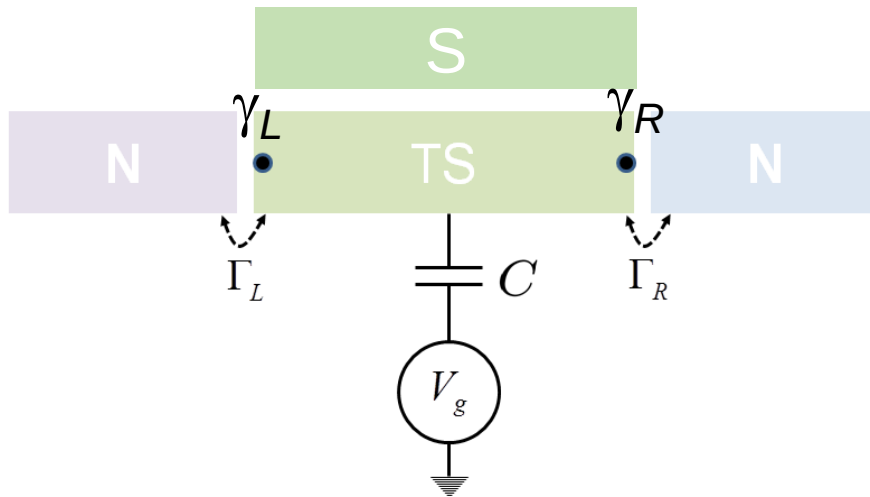


Hybrid nanowire devices

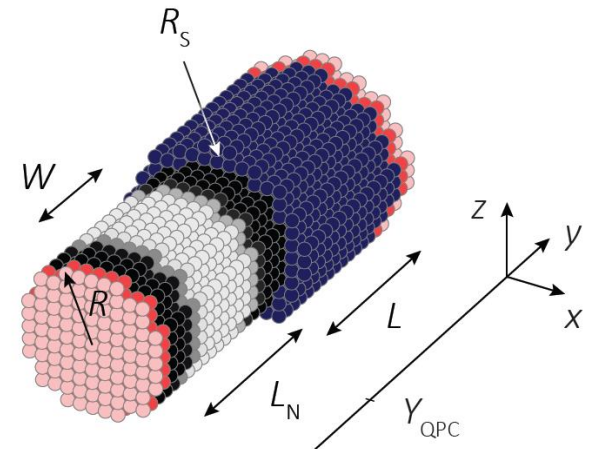


Theoretical modeling

Minimal: lowest energy states
Role of interactions
Analytical results



Extended: large discrete basis
Role of disorder
Numerical

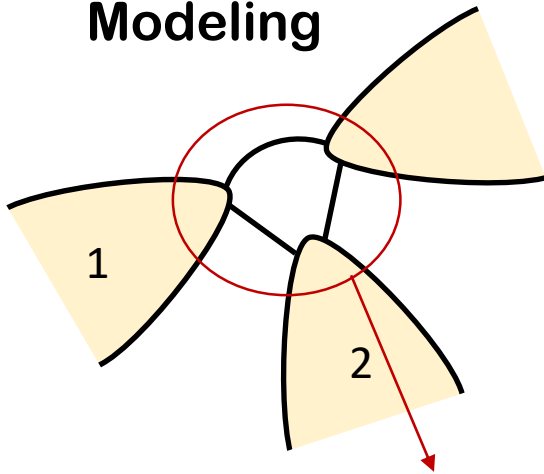


Intermediate: effective low energy theory

Transport, Subgap+continuum
possible analytical results

Hamiltonian approach

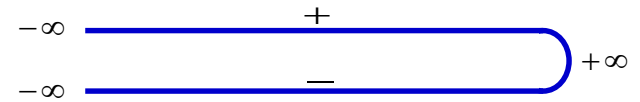
Modeling



$$H = H_1 + H_2 + \dots + H_T$$

BCS-junctions, Cuevas et al. PRB 96
 Diffusive FS, Bergeret et al. PRB 05
 Graphene/S, Burset et al. PRB 08
 Topological, Zazunov et al. PRB 16
 ABS dynamics, Seoane et al. PRL 16

Methods



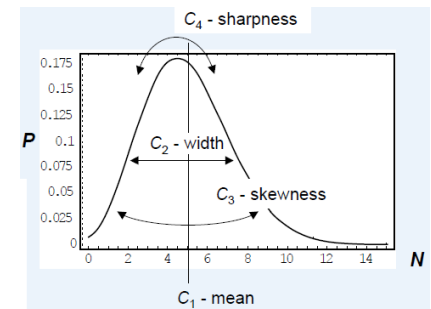
Keldysh-Nambu formalism
 Non-equilibrium GFs

Aim: calculate

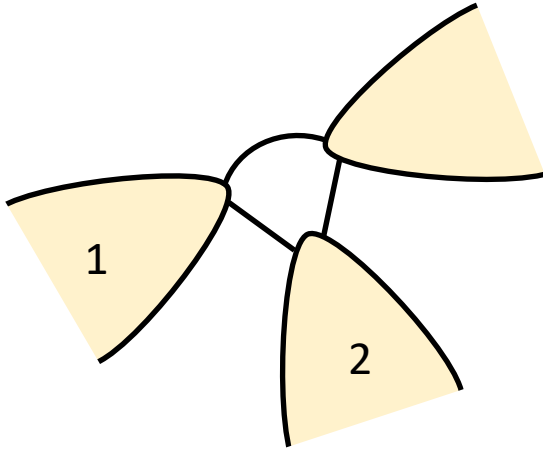
$$\langle I_j \rangle$$

$$\langle I_i(t) I_j(t') \rangle$$

FCS...



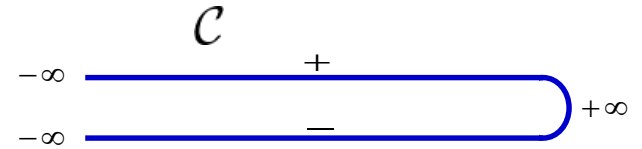
Keldysh Nambu formalism



$$\hat{\Psi}_i = \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow}^\dagger \end{pmatrix}$$

Nambu spinors

Keldysh contour



Keldysh-Nambu GFs $\hat{G}_{i,j}(t, t') = -i \langle T_C \hat{\Psi}_i(t) \hat{\Psi}_j^\dagger(t') \rangle$

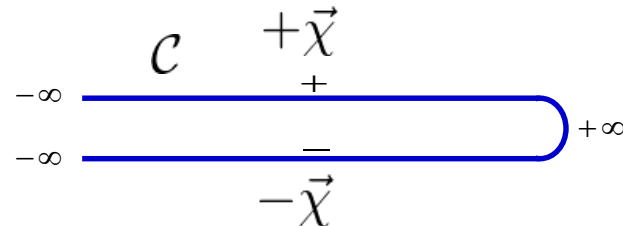
$$H_T = \sum_{ij} \hat{\Psi}_i^\dagger \hat{T}_{ij} \hat{\Psi}_j + \text{h.c.} \quad \hat{T}_{ij} = \begin{pmatrix} T_{ij} & 0 \\ 0 & -T_{ij}^* \end{pmatrix}$$

Keldysh-Nambu-Leads Dyson Eqs $\check{\check{G}} = \check{\check{g}} + \check{\check{g}} \otimes \check{\check{\Sigma}} \otimes \check{\check{G}}$

Mean currents $\langle I_{ij} \rangle(t) = \frac{e}{\hbar} \text{Tr} \left[\sigma_z \left(\hat{T}_{ij} \hat{G}_{ij}^{+-}(t, t) - \hat{T}_{ij}^\dagger \hat{G}_{ji}^{+-}(t, t) \right) \right]$

Functional integral representation

$$H_T(\vec{\chi}) = \sum_{ij} \hat{\Psi}_i^\dagger \hat{T}_{ij}(\vec{\chi}) \hat{\Psi}_j + \text{h.c.} \quad \hat{T}_{ij}(\vec{\chi}) = T_{ij} \begin{pmatrix} e^{i\chi_{ij}} & 0 \\ 0 & -e^{-i\chi_{ij}} \end{pmatrix}$$



$$Z(\vec{\chi}) = \langle e^{-i \int_C H_T(\vec{\chi}) dt} \rangle \quad \text{Partition or Generating function}$$

$$Z(\vec{\chi}) = \int \mathcal{D}\hat{\Psi} \mathcal{D}\hat{\Psi} e^{iS_{eff}(\hat{\Psi}, \hat{\Psi}, \vec{\chi})}$$

Boundary Green functions

$$S_{eff}(\hat{\Psi}, \hat{\Psi}, \vec{\chi}) = \sum_{ij} \int_C dt \left(\hat{\Psi}_i, \hat{\Psi}_j \right) \begin{pmatrix} \hat{g}_i^{-1} & -\hat{T}_{ij} \\ -\hat{T}_{ij}^\dagger & \hat{g}_j^{-1} \end{pmatrix} \begin{pmatrix} \hat{\Psi}_i \\ \hat{\Psi}_j \end{pmatrix}$$

$$S(\vec{\chi}) = \log Z(\vec{\chi}) \quad \langle I_{ij} \rangle \propto \frac{i}{2} \frac{\delta S}{\delta \chi_{ij}} \quad \langle I_{ij} I_{kl} \rangle \propto \left(\frac{i}{2} \right)^2 \frac{\delta^2 S}{\delta \chi_{ij} \delta \chi_{kl}}$$

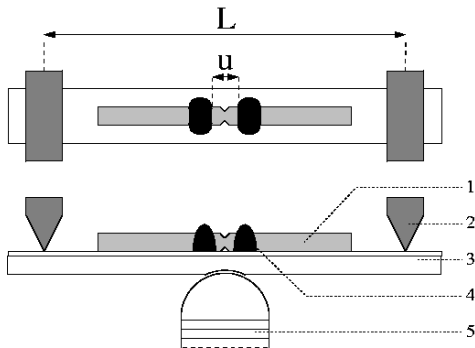
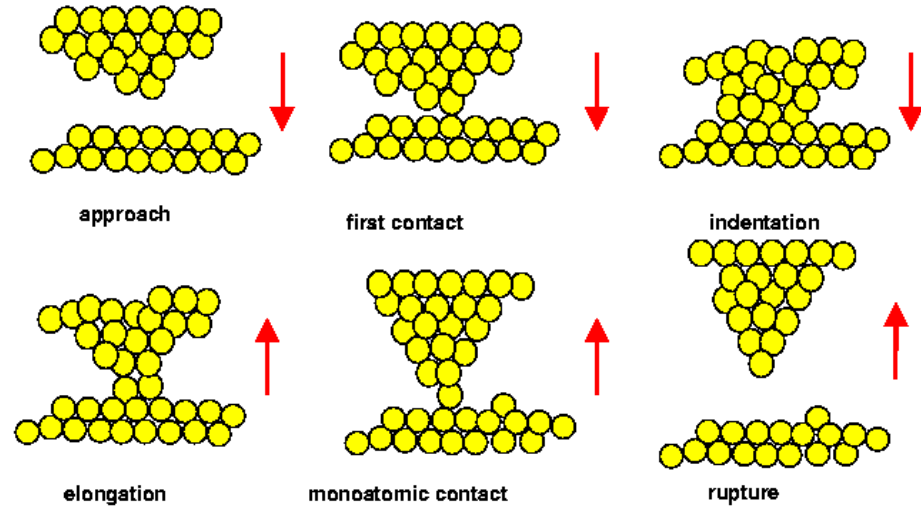
Cumulant Generating function

Conventional superconductors:

Application to Superconducting Atomic Contacts

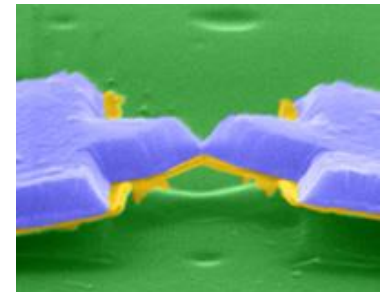
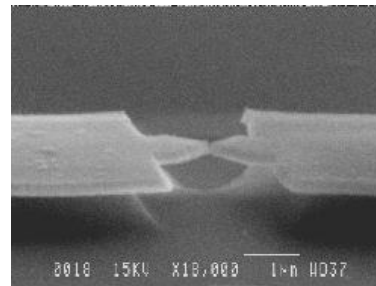
Fabrication techniques

Contact formation with STM

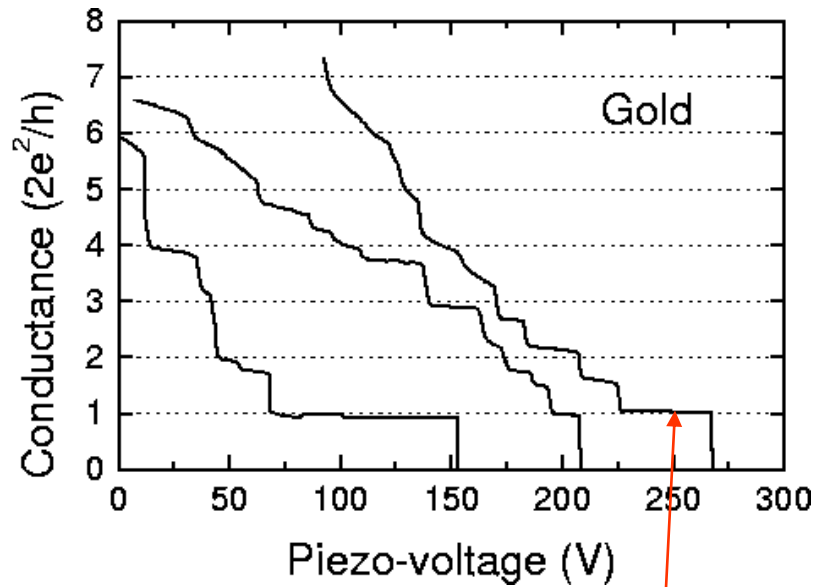


Mechanically controllable break-junctions (MCBJ)

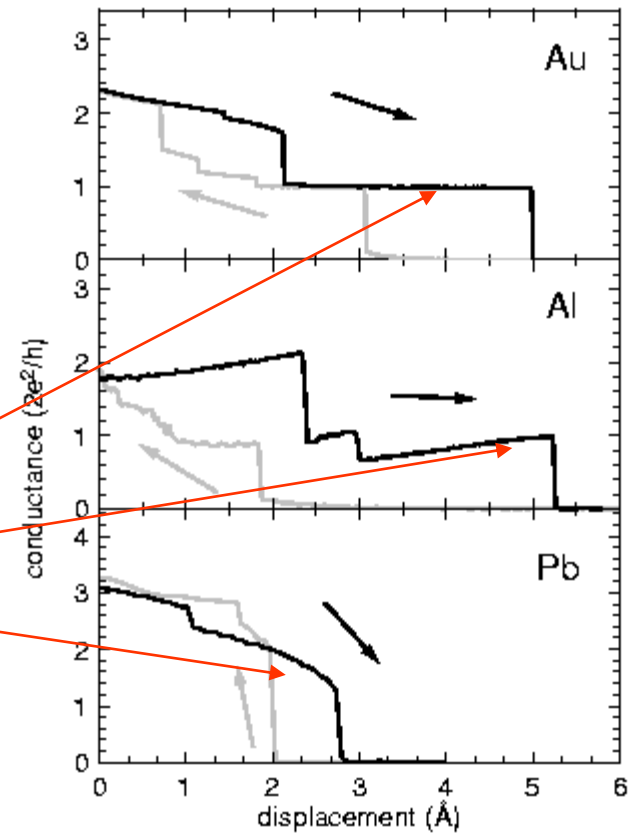
Nanofabricated break-junctions



Conductance steps



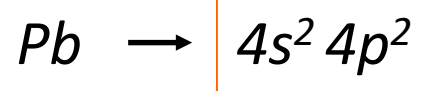
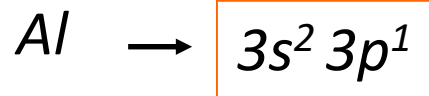
one atom limit



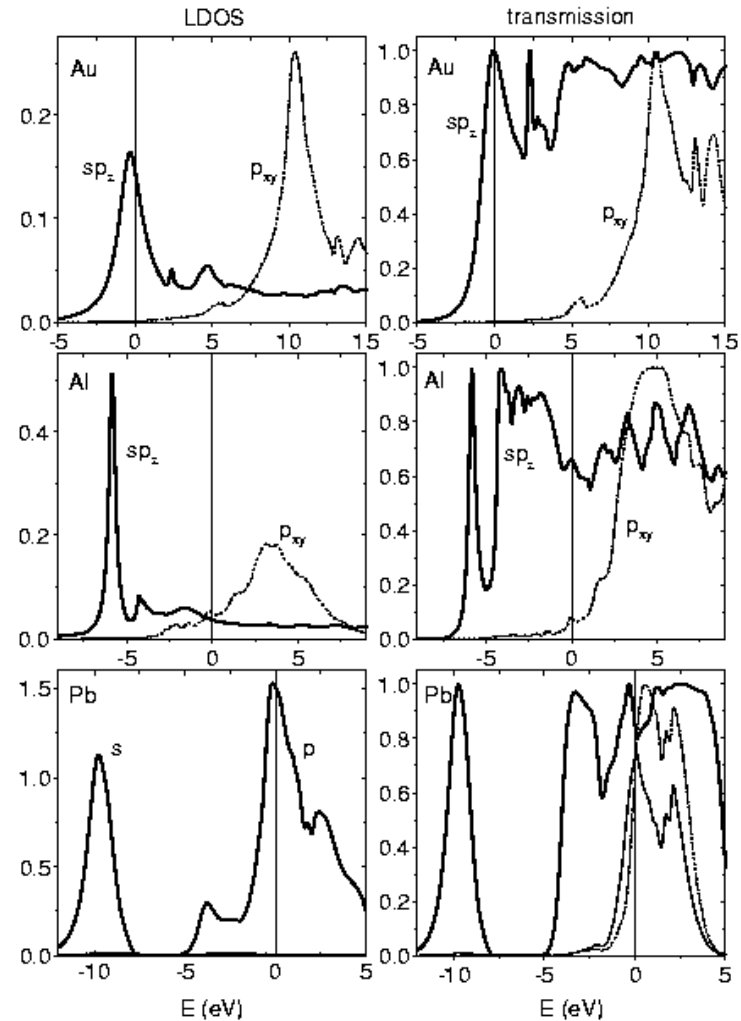
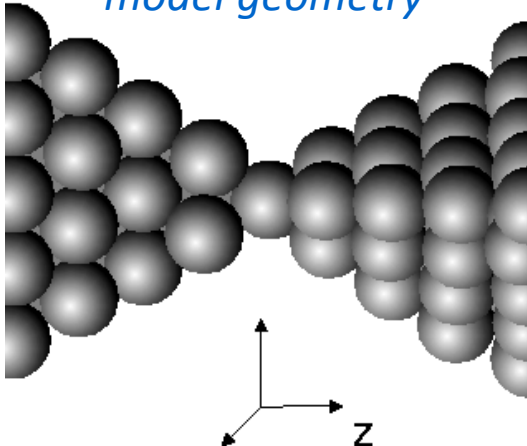
Results for one-atom

theoretical results

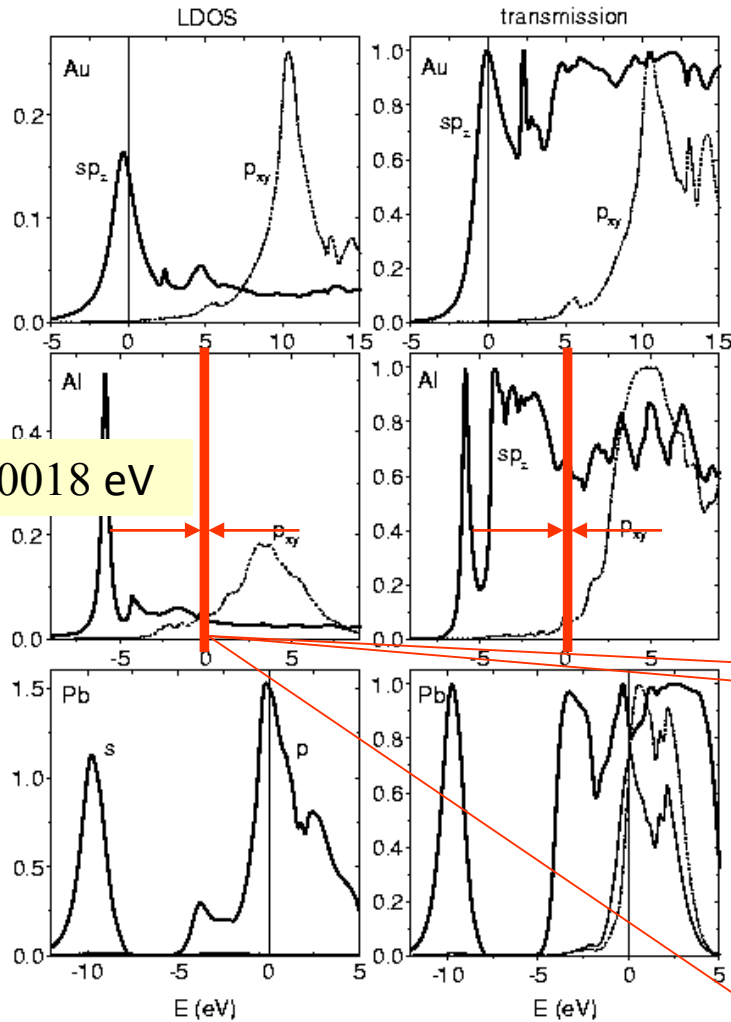
atomic configurations



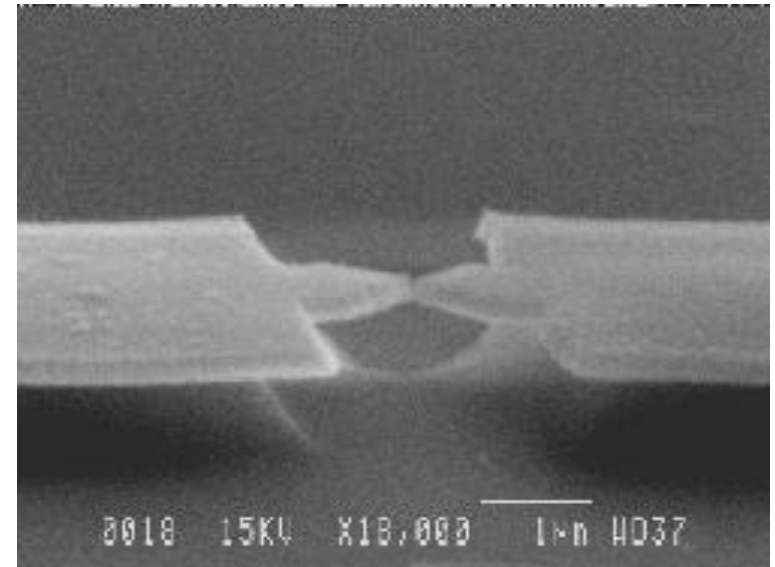
model geometry



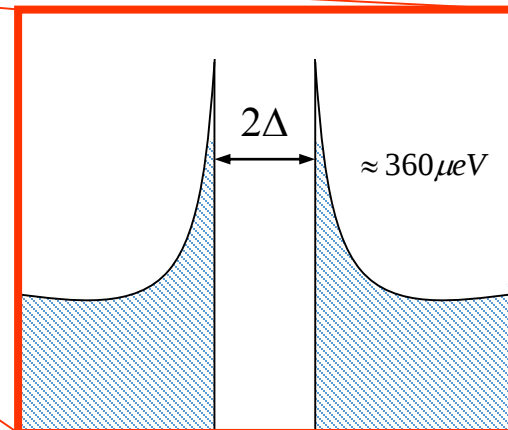
Energy Scales



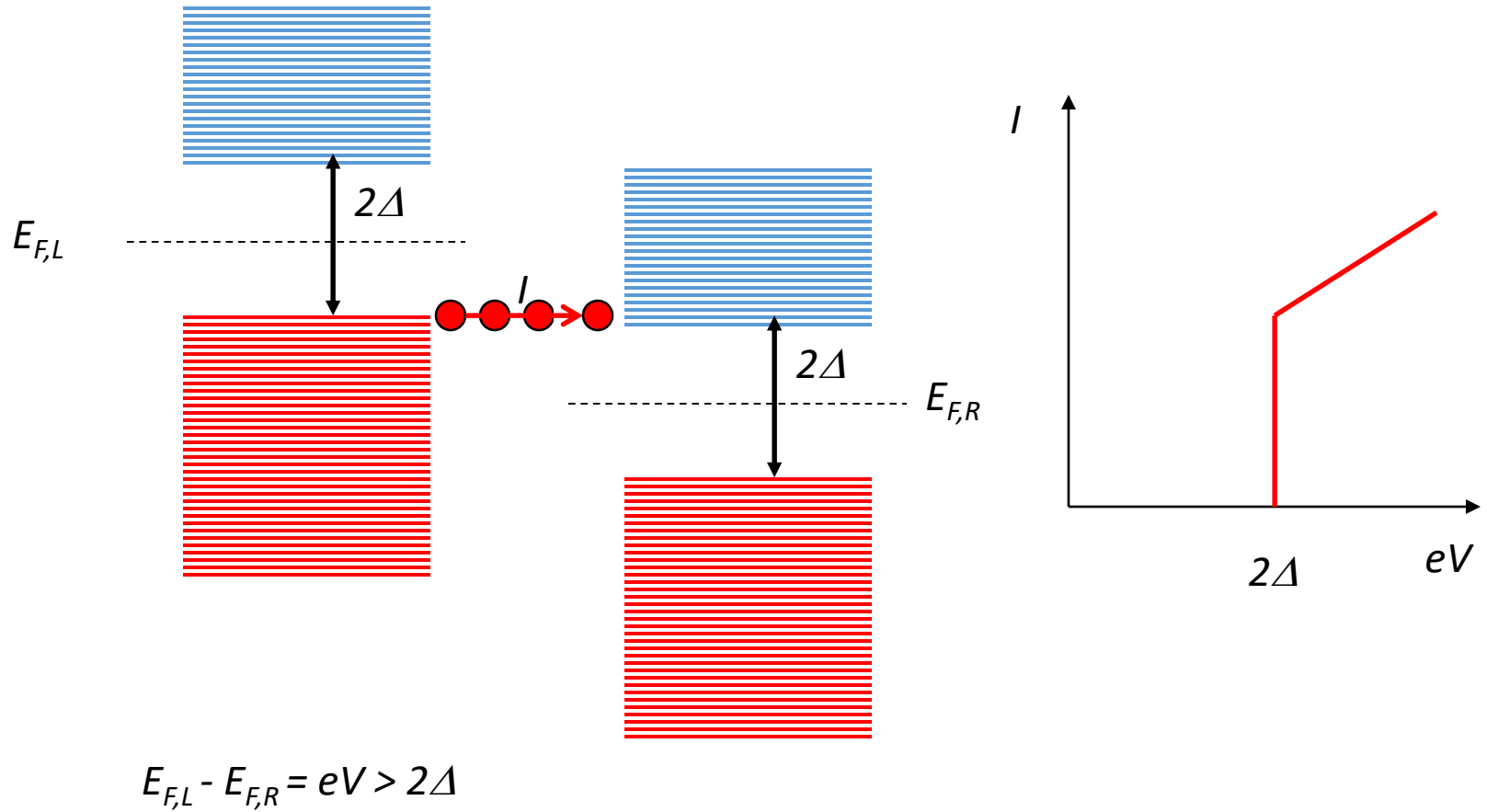
$\Delta = 0.00018$ eV



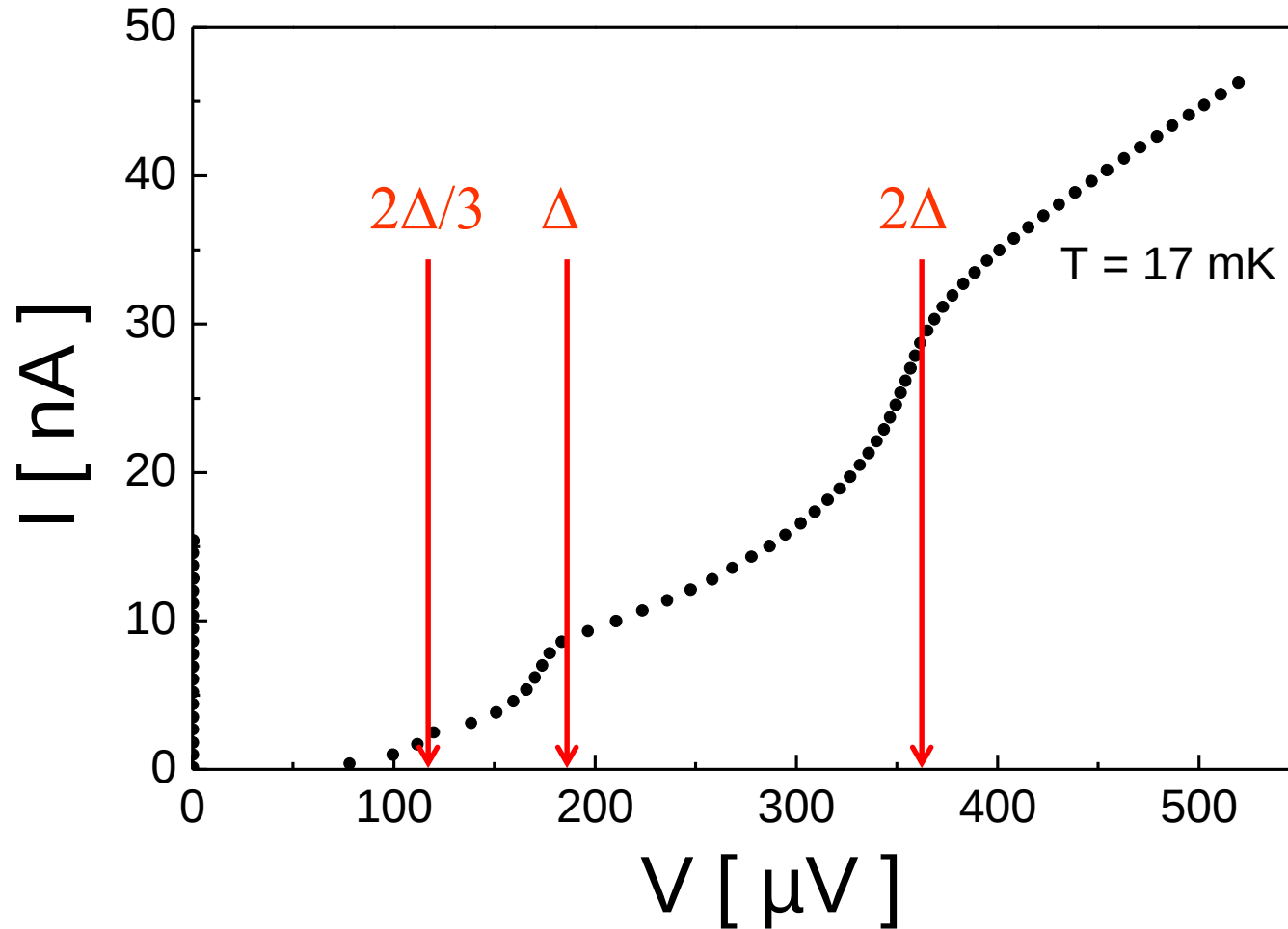
*conduction channels
not affected!*



Transport between superconducting electrodes

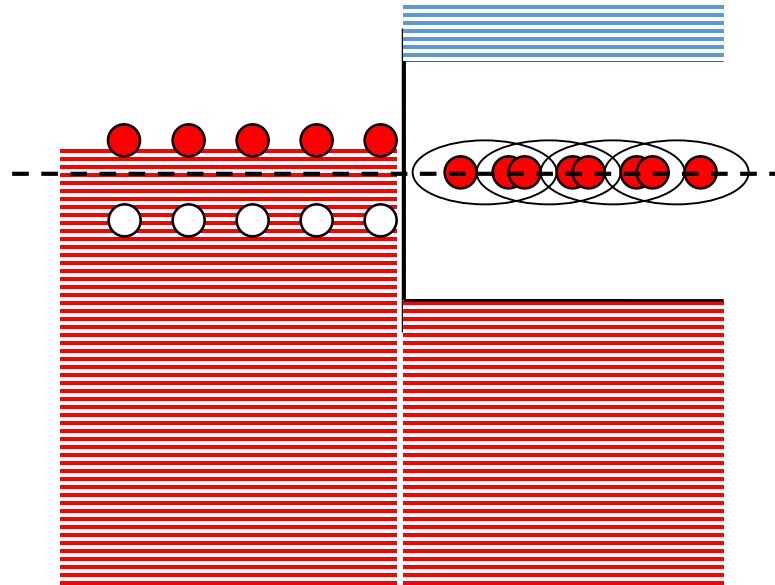


Experimental **IV** curves in superconducting contacts



*one-atom
Al contact*

Andreev Reflection

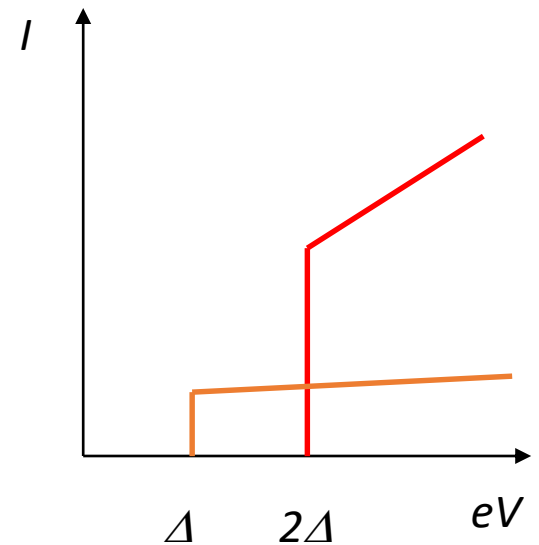
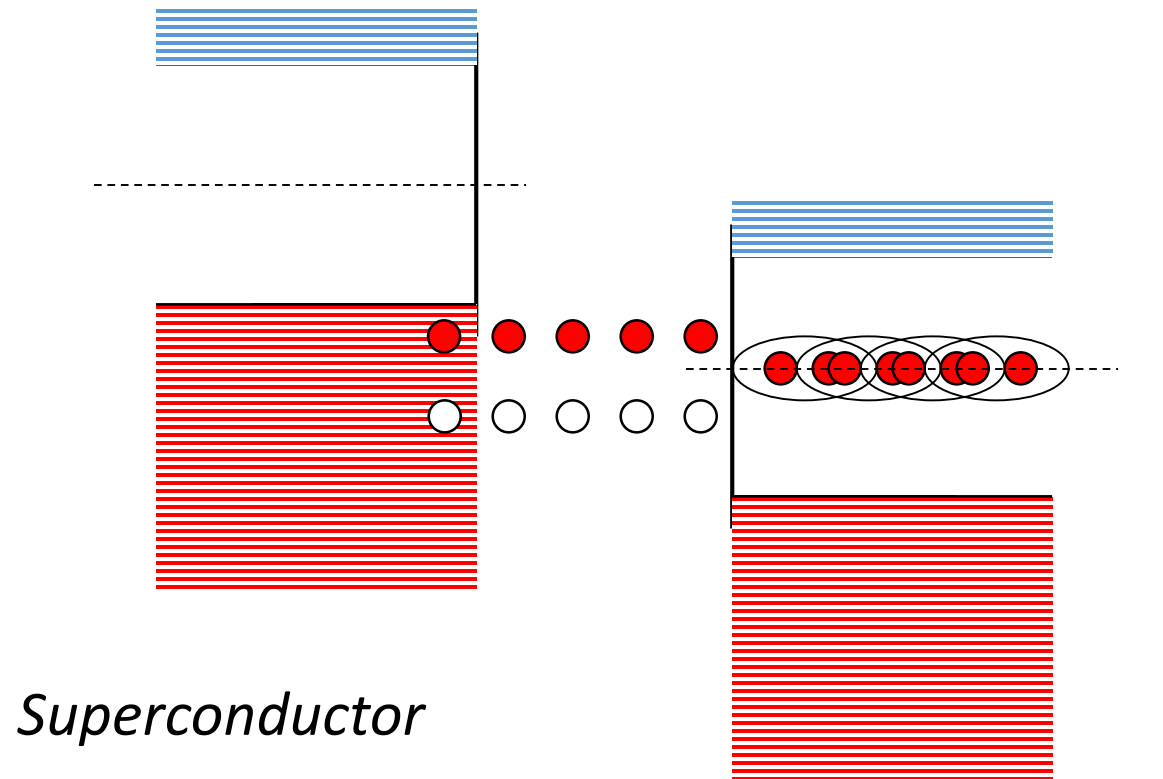


Normal metal

Superconductor

Transmitted charge $2e$ *Probability* $\approx \tau^2$

Andreev reflection between superconducting electrodes



$$eV > \Delta$$

Superconductor

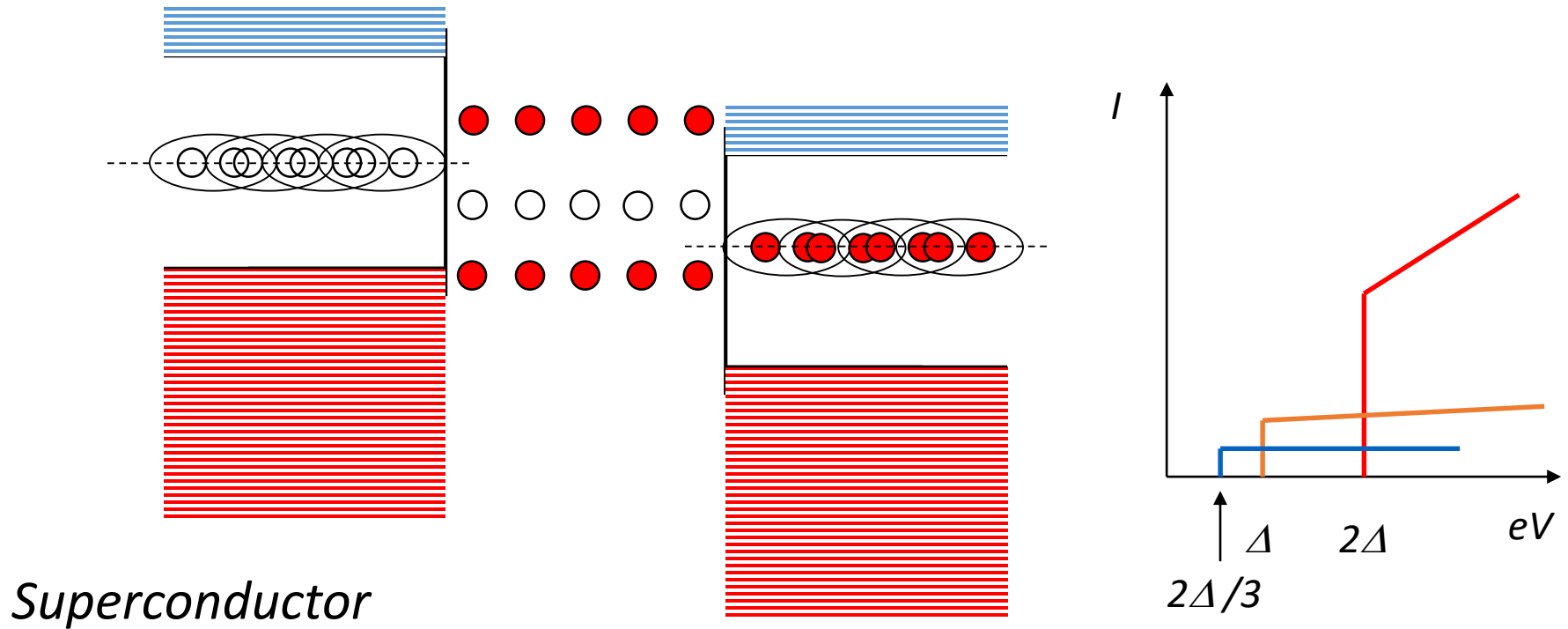
probability

$$\tau^2$$

transmitted charge

$$2e$$

Multiple Andreev Reflection



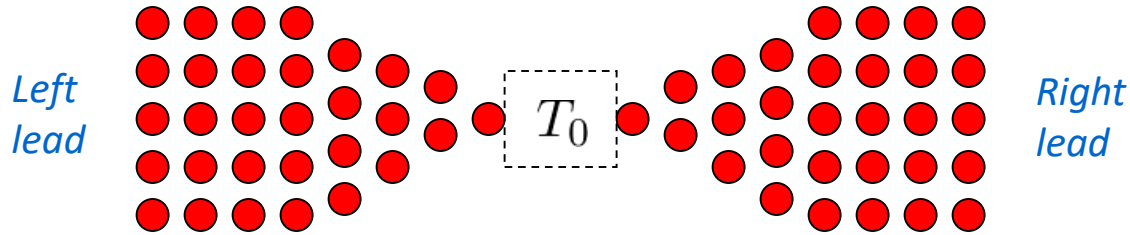
probability

$$\tau^3$$

transmitted charge

$$3e$$

Model for single channel contact



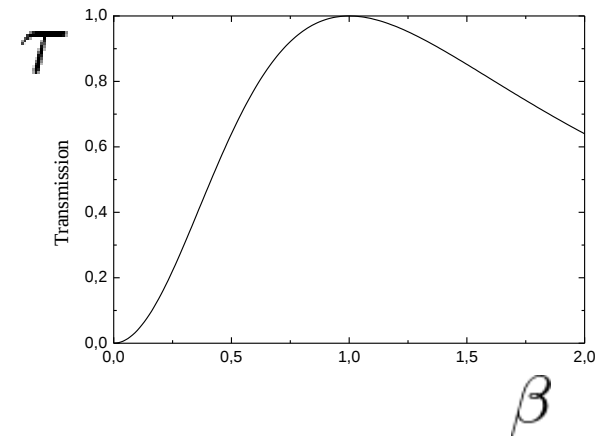
$$H_{\text{contact}} = H_L + H_R + \underbrace{\sum_{\sigma} T_0 \left(c_{L\sigma}^{\dagger} c_{R\sigma} + \text{h.c.} \right)}_{H_T}$$

normal case $H_{L,R} \rightarrow \rho(\omega) \simeq \frac{1}{\pi W}$

transmission coefficient



$$\tau = \frac{4\beta}{(1 + \beta)^2} \quad \beta = \left(\frac{T_0}{W} \right)^2$$



BCS leads

$$H_{BCS} = \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + \sum_k \Delta c_{k\uparrow}^\dagger c_{-k\downarrow} + \text{H.c.} \longrightarrow \text{bulk leads}$$

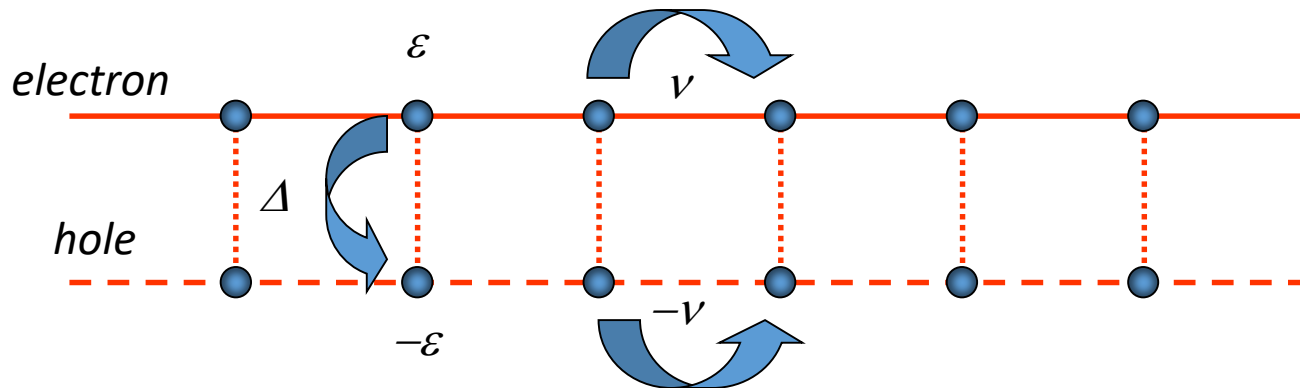
Local basis

$$H_{L,R} = \sum_{i\sigma} \epsilon_i c_{i\sigma}^\dagger c_{i\sigma} + v c_{i\sigma}^\dagger c_{i\pm 1\sigma} + \sum_i \Delta_i c_{i\uparrow}^\dagger c_{i\downarrow} + \text{h.c.}$$

Nambu rep $H_{L,R} = \sum_i \Psi_i^\dagger \hat{\epsilon}_i \Psi_i + \Psi_i^\dagger \hat{v} \Psi_{i\pm 1}$

$$\Psi_i = \begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow}^\dagger \end{pmatrix} \quad \hat{\epsilon}_i = \begin{pmatrix} \epsilon_i & \Delta_i \\ \Delta_i^* & -\epsilon_i \end{pmatrix}$$

$$\hat{v} = \begin{pmatrix} v & 0 \\ 0 & -v \end{pmatrix}$$



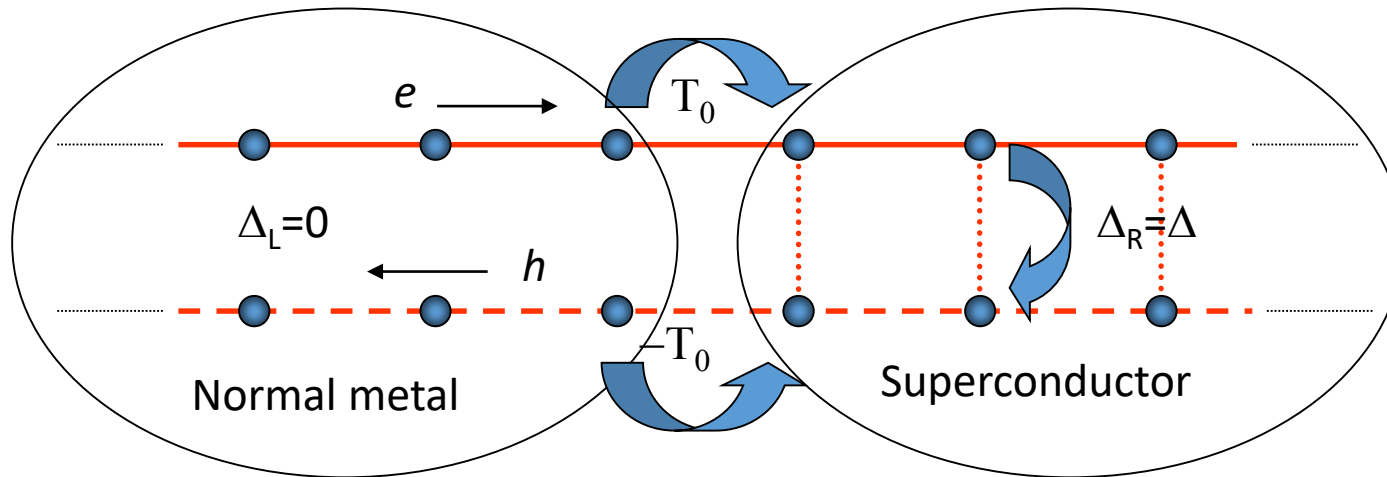
Boundary GFs

$$\omega, \Delta \ll v$$

$$\hat{g}^{r,a}(\omega) = [\omega \pm i0 - \hat{\epsilon} - \hat{v} \hat{g}^{r,a}(\omega) \hat{v}]^{-1}$$

$$\hat{g}^{r,a}(\omega) \simeq \frac{1}{v} \left(\frac{-(\omega \pm i0)\sigma_0 + \Delta\sigma_x}{\sqrt{\Delta^2 - (\omega \pm i0)^2}} \right)$$

Coupling two leads: NS interface

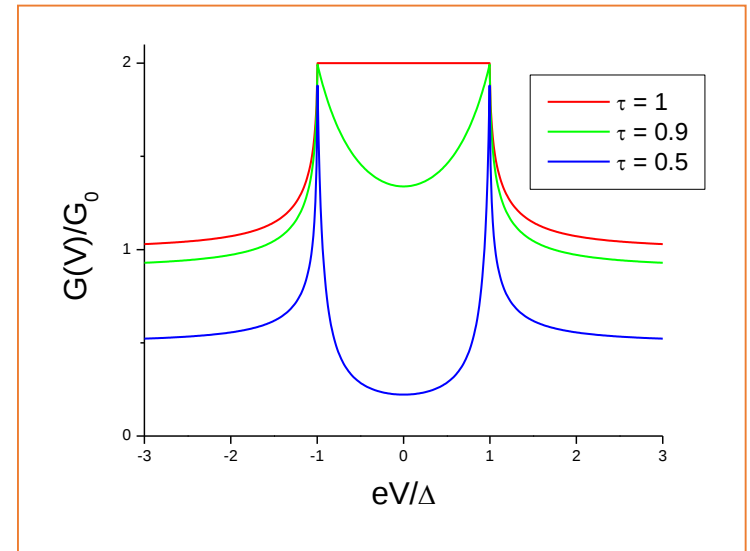


Andreev reflection

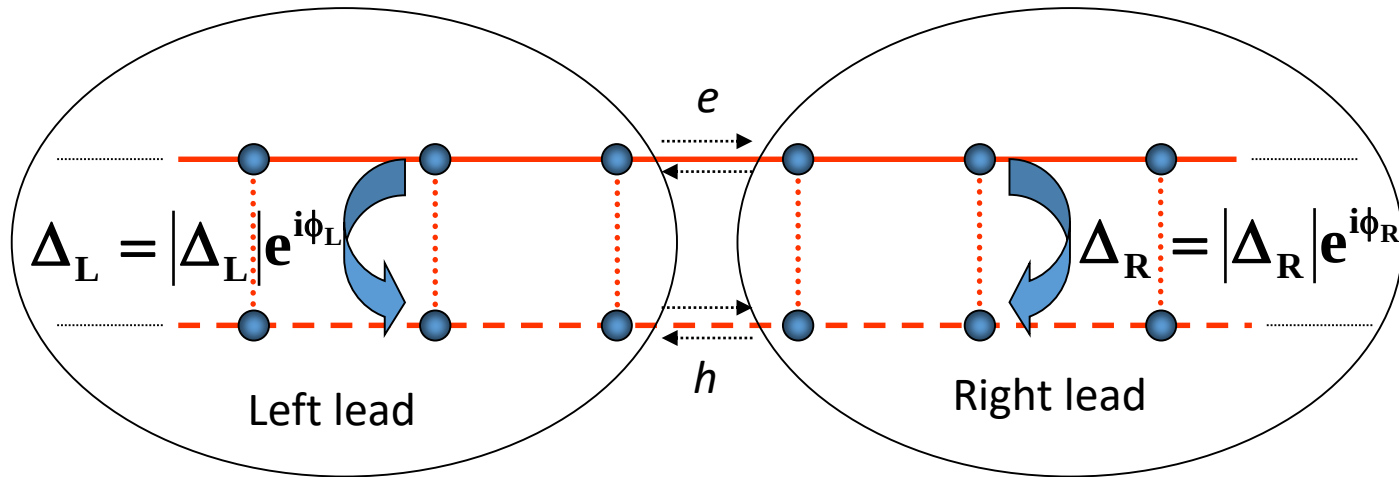
$$R_A(E) = \frac{\tau^2 \Delta^2}{\tau^2 E^2 + (\Delta^2 - E^2)(2 - \tau)^2} \quad |E| \leq \Delta$$

$$G_{NS}(V) = \frac{4e^2}{h} R_A(eV) \quad e|V| \leq \Delta$$

Blonder, Tinkham & Klapwijk, PRB 25, 4515 (1982)



Coupling two superconductors: relevance of phase difference



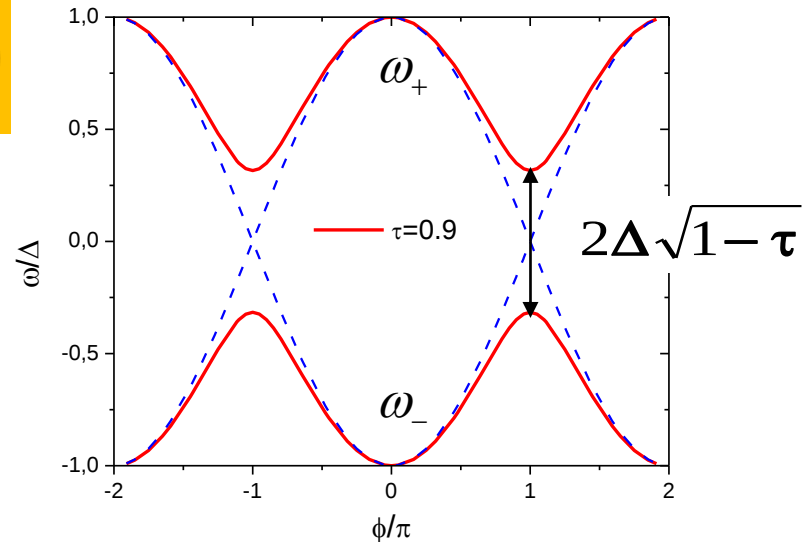
$$\phi = \phi_L - \phi_R \quad \omega_{\pm} = \pm \Delta \sqrt{1 - \tau \sin^2 \left(\frac{\phi}{2} \right)}$$

supercurrent (T=0)

$$I = \frac{e}{\hbar} \frac{\partial \omega_-}{\partial \phi} = \frac{e\Delta\tau}{2\hbar} \frac{\sin(\phi)}{\sqrt{1 - \tau \sin^2 \left(\frac{\phi}{2} \right)}}$$

$$I \propto \sin(\phi)$$

$$\tau \rightarrow 0$$



$$I \propto \text{sgn}(\pi - \phi) \sin \left(\frac{\phi}{2} \right) \quad (\tau \rightarrow 1)$$

Voltage biased SC contact: intrinsic time dependence

$$H_{L,R} \rightarrow H_{L,R} - \mu_{L,R} N_{L,R} \quad eV = \mu_L - \mu_R$$

$$\phi_{L,R} \rightarrow \phi_{L,R} + \int dt \frac{2e\mu_{L,R}}{\hbar} \Rightarrow \frac{\partial \phi}{\partial t} = \frac{2eV}{\hbar} = \omega_0$$

Josephson frequency

Gauge transformation: eliminate time dependence from leads

$$H_T \rightarrow H_T = \sum_{\sigma} T_0 \left(c_{L\sigma}^{\dagger} c_{R\sigma} e^{i\phi(t)/2} + c_{R\sigma}^{\dagger} c_{L\sigma} e^{-i\phi(t)/2} \right)$$

Nambu form $H_T = \Psi_L^{\dagger} \hat{T}_{LR}(t) \Psi_R + \Psi_R^{\dagger} \hat{T}_{RL} \Psi_L$

$$\hat{T}_{LR} = T_0 \begin{pmatrix} e^{i\phi(t)/2} & 0 \\ 0 & -e^{-i\phi(t)/2} \end{pmatrix} = T_{RL}^*$$

Current operator

$$I(t) = \frac{ie}{\hbar} \left[\Psi_L^{\dagger} \hat{T}_{LR}(t) \Psi_R - \text{h.c.} \right]$$

$$\langle I \rangle(t) = \frac{e}{\hbar} \text{Tr} \left[\sigma_z \left(\hat{T}_{LR} \hat{G}_{LR}^{+-}(t, t) - \hat{T}_{LR}^* \hat{G}_{RL}^{+-}(t, t) \right) \right]$$

Coupled integral equations for full GFs

$$\hat{G}^{r,a} = \left(\hat{1} + \hat{G}^{r,a} \otimes \hat{\Sigma}^{r,a} \right) \otimes \hat{g}^{r,a}$$

$$\hat{\Sigma}_{LR}^{r,a} = \left(\hat{\Sigma}_{RL}^{r,a} \right)^* = \hat{T}_{LR}(t)$$

$$\hat{G}^{+-} = \left(\hat{1} + \hat{G}^r \otimes \hat{\Sigma}^r \right) \otimes \hat{g}^{+-} \otimes \left(\hat{1} + \hat{\Sigma}^a \otimes \hat{G}^a \right)$$

Double Fourier transformation

$$\hat{G}(t, t') = \frac{1}{2\pi} \int \int d\omega d\omega' e^{-i\omega t + i\omega' t'} \hat{G}(\omega, \omega') \sum_n \hat{G}_{n0}(\omega) \delta \left(\omega - \omega' + n \frac{\omega_0}{2} \right)$$

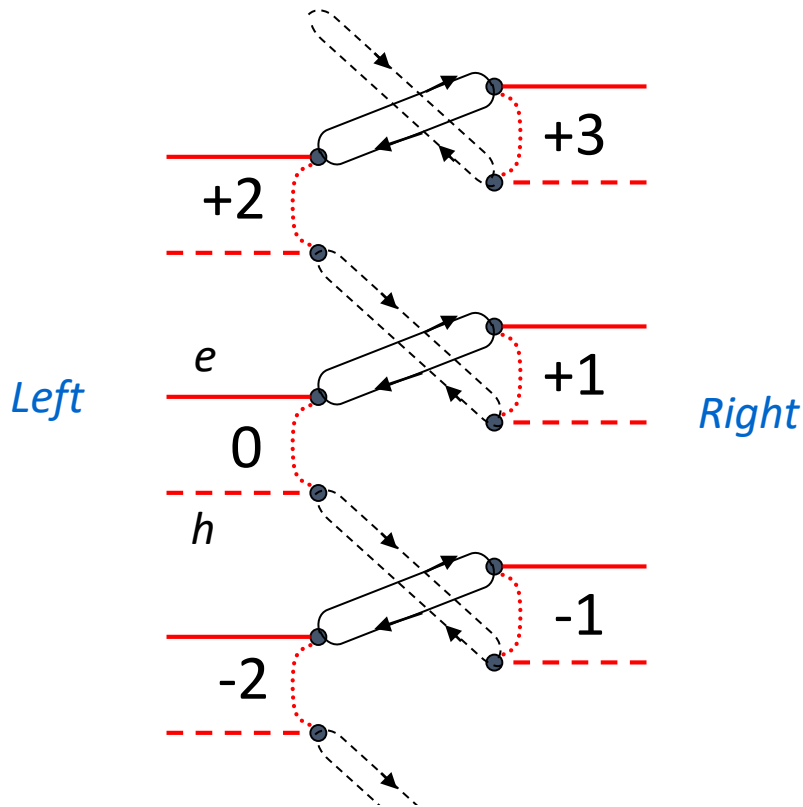
$$\langle I \rangle(t) = \frac{e}{\hbar} \text{Tr} \left[\sigma_z \left(\hat{T}_{LR} G_{LR}^{+-}(t, t) - \hat{T}_{LR}^* G_{RL}^{+-}(t, t) \right) \right]$$

$$\Rightarrow I(t, V) = \sum_n I_n(V) e^{in\omega_0 t}$$

dc + ac components

Pictorial representation

$$\hat{\mathbf{T}}_{\text{LR}}^+ = \begin{pmatrix} \mathbf{T}_0 & 0 \\ 0 & 0 \end{pmatrix} = \hat{\mathbf{T}}_{\text{RL}}^- \quad \hat{\mathbf{T}}_{\text{LR}}^- = \begin{pmatrix} 0 & 0 \\ 0 & -\mathbf{T}_0 \end{pmatrix} = \hat{\mathbf{T}}_{\text{RL}}^+$$



$$\hat{\mathbf{G}}_{00}(\omega) = \left[\hat{\mathbf{g}}_0^{-1} - \hat{\mathbf{T}}_{\text{LR}}^+ \hat{\mathcal{G}}_1 \hat{\mathbf{T}}_{\text{RL}}^- - \hat{\mathbf{T}}_{\text{LR}}^- \hat{\mathcal{G}}_{-1} \hat{\mathbf{T}}_{\text{RL}}^+ \right]^{-1}$$

$$\hat{\mathcal{G}}_1(\omega) = \left[\hat{\mathbf{g}}_1^{-1} - \hat{\mathbf{T}}_{\text{RL}}^+ \hat{\mathcal{G}}_2 \hat{\mathbf{T}}_{\text{LR}}^- \right]^{-1}$$

$$\hat{\mathcal{G}}_{-1}(\omega) = \left[\hat{\mathbf{g}}_{-1}^{-1} - \hat{\mathbf{T}}_{\text{RL}}^- \hat{\mathcal{G}}_{-2} \hat{\mathbf{T}}_{\text{LR}}^+ \right]^{-1}$$

⋮

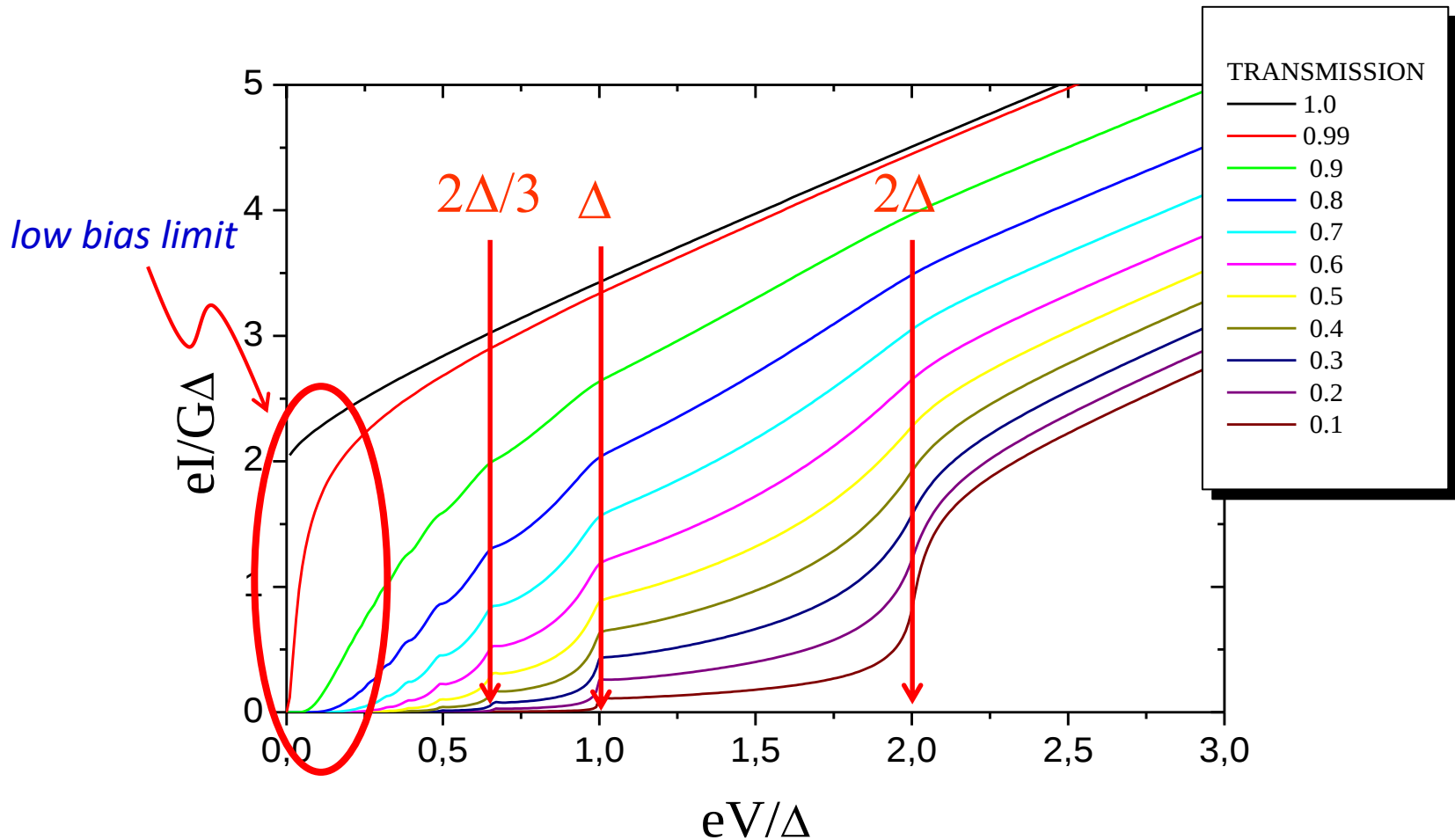
$$\hat{\mathcal{G}}_n(\omega) = \left[\hat{\mathbf{g}}_n^{-1} - \hat{\mathbf{T}}_{\text{LR}}^+ \hat{\mathcal{G}}_{n+1} \hat{\mathbf{T}}_{\text{RL}}^- \right]^{-1}$$

$$\hat{\mathcal{G}}_{-n}(\omega) = \left[\hat{\mathbf{g}}_{-n}^{-1} - \hat{\mathbf{T}}_{\text{LR}}^- \hat{\mathcal{G}}_{-n-1} \hat{\mathbf{T}}_{\text{RL}}^+ \right]^{-1}$$

truncation

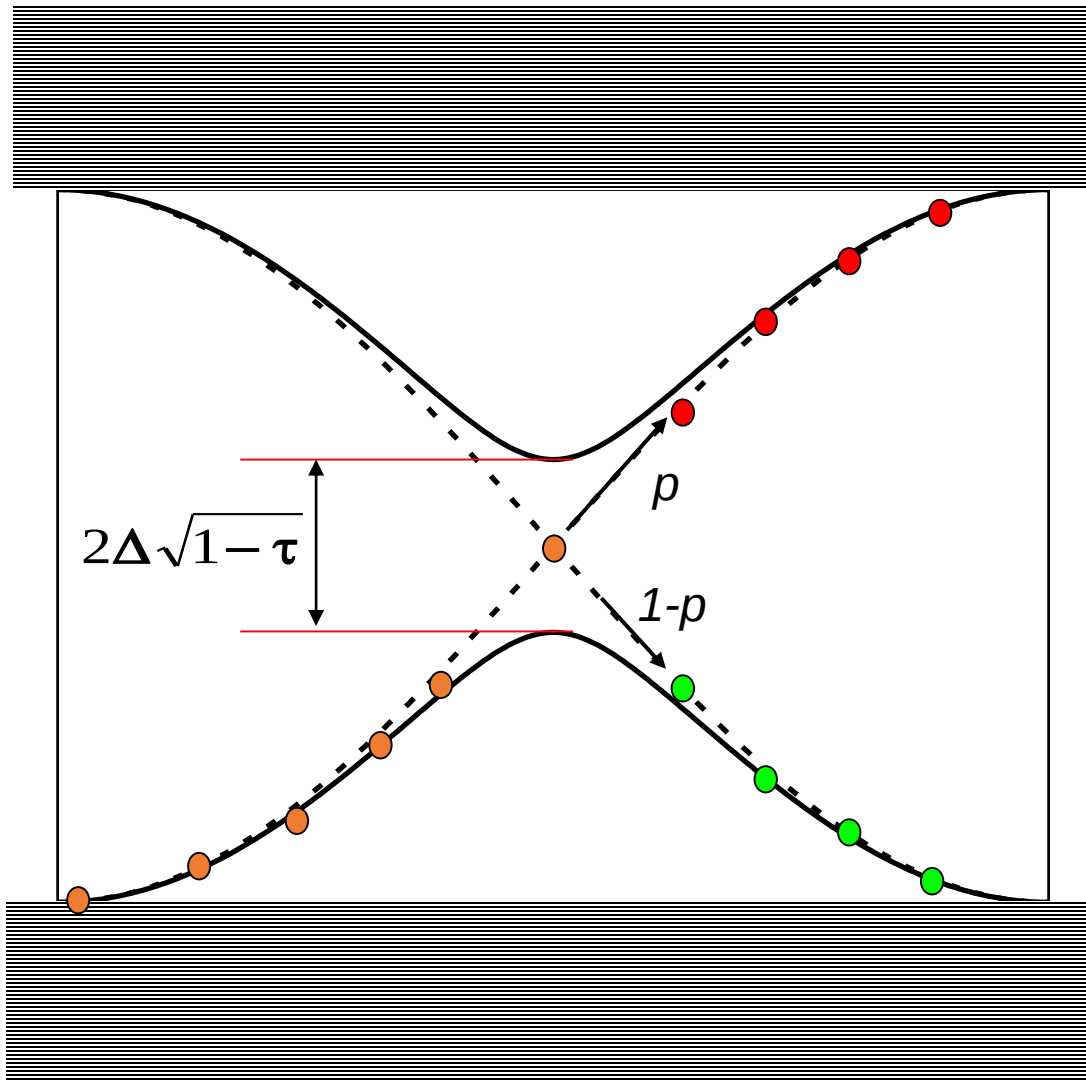
$$n \gg 2\Delta/V$$

Theoretical **IV** curves for superconducting contacts



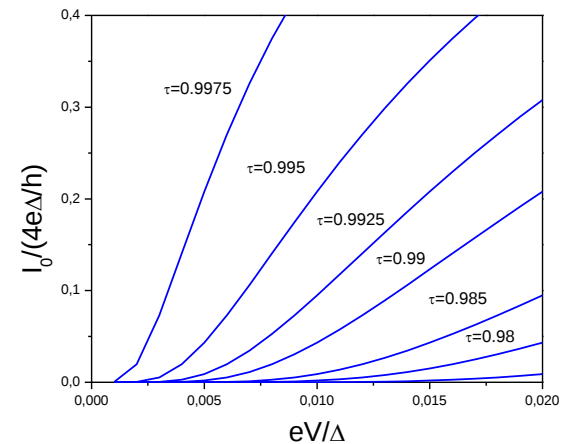
J.C. Cuevas, A. Martín-Rodero and A. Levy Yeyati, PRB 54, 7366 (1996)
same results with different approach: Averin & Bardas (95), Shumeiko et al. (97)

Landau-Zener transitions between AS's

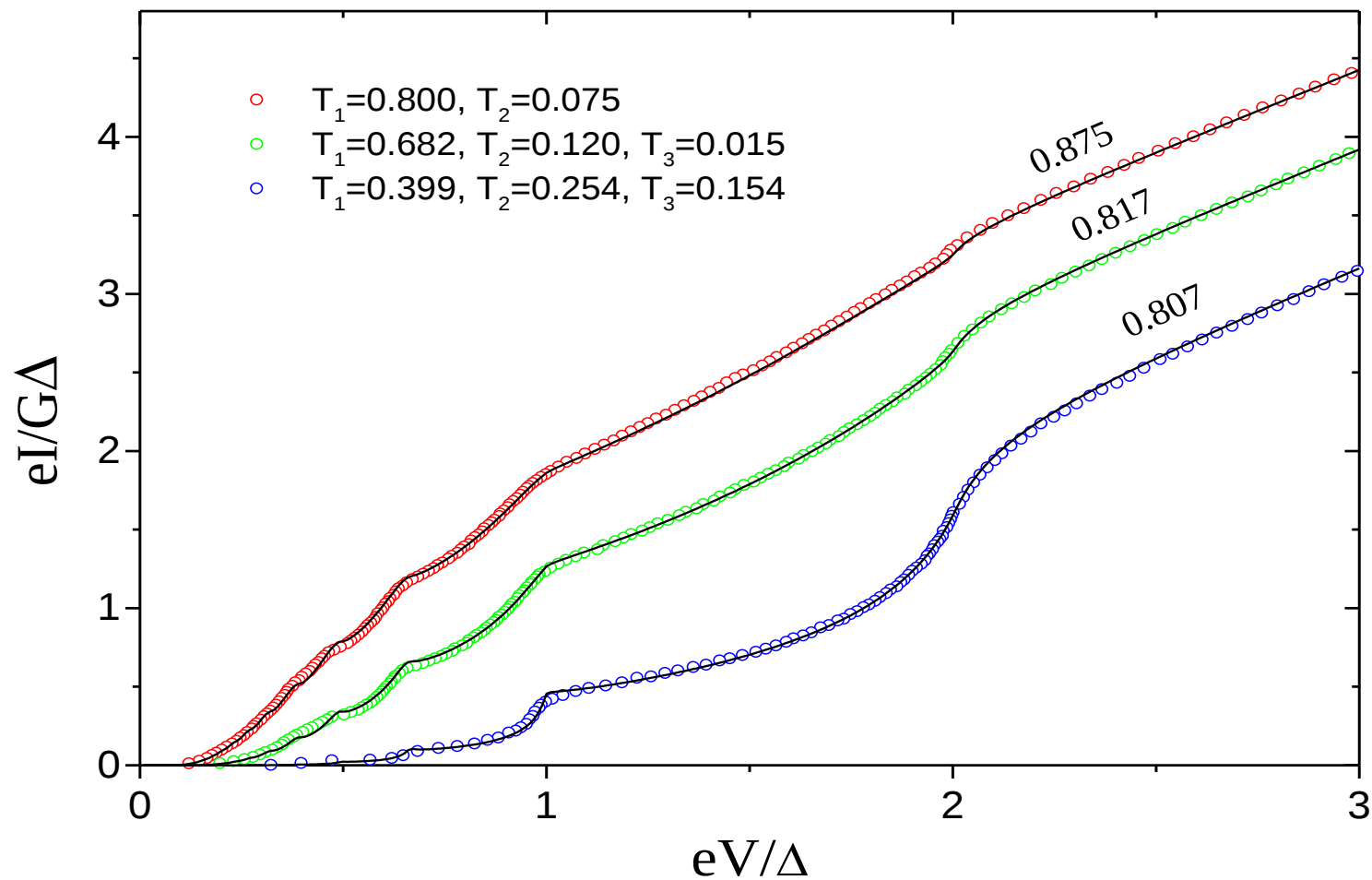


$$p = e^{-\frac{\pi\Delta r}{eV}} \quad r = 1 - \tau$$

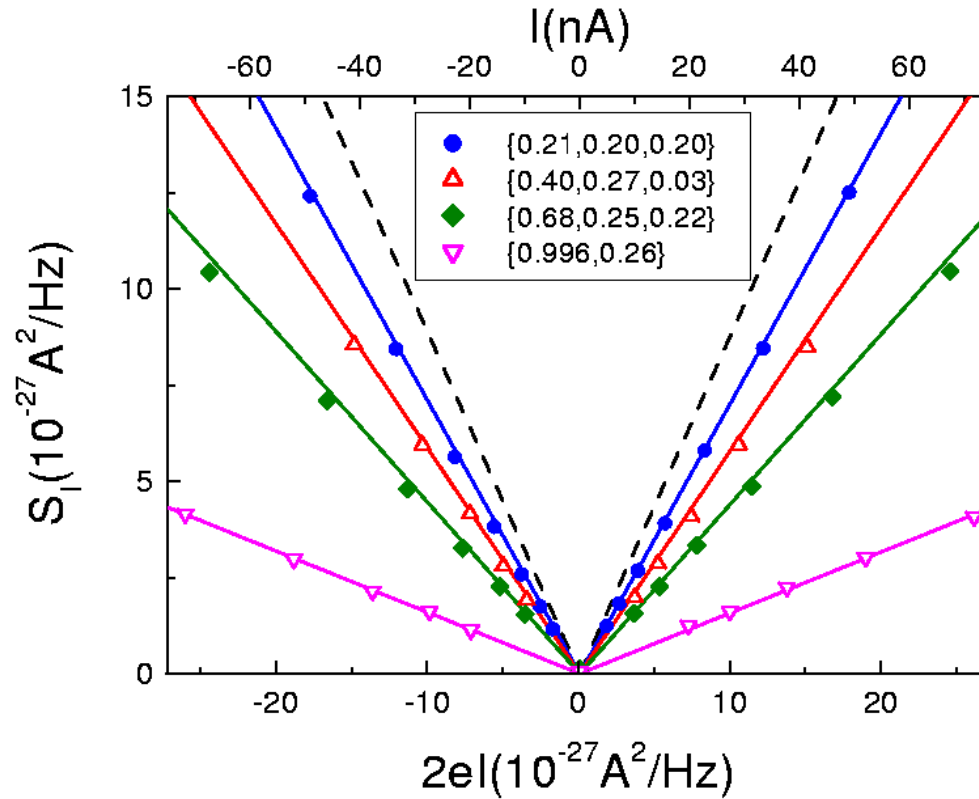
$$\langle I(t) \rangle = \frac{4e\Delta}{h} p$$



Fitting IV curves for AI contacts



Consistency with noise measurements



R. Cron et al, PRL 2001

$$S(V) = \int \langle I(t)I(0) \rangle - \langle I \rangle^2 dt = 2eV \frac{2e^2}{h} \sum_n \tau_n (1 - \tau_n)$$

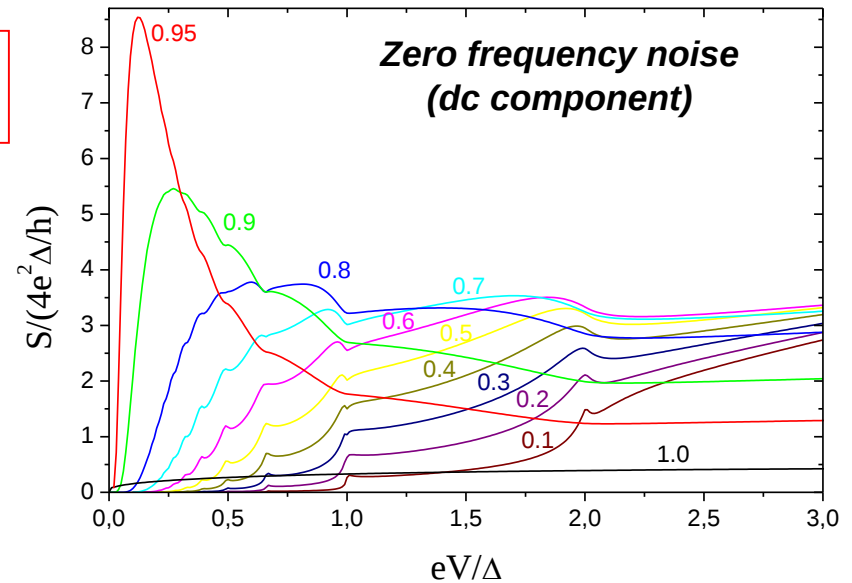
Shot noise in superconducting contacts

$$S(0) = \int dt \langle \delta I(t) \delta I(0) + \delta I(0) \delta I(t) \rangle$$

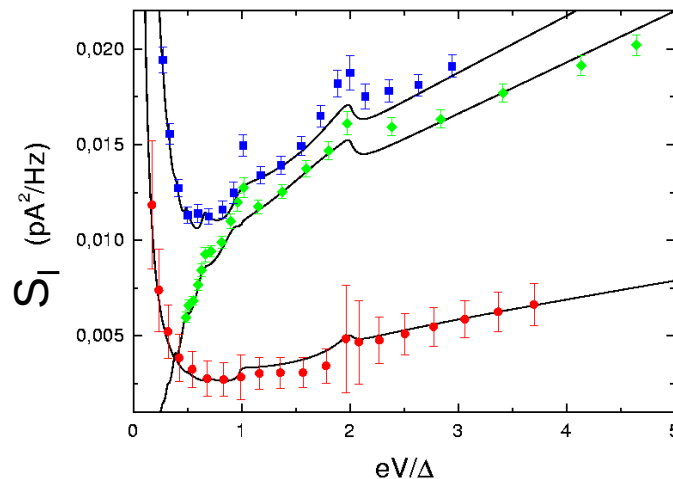
$$\delta I(t) = I(t) - \langle I(t) \rangle$$

*J.C.C, A.M.R and A.L.Y.
Y. Naveh and D. Averin*

PRL 82 (1999)



*Comparison to experiments
(no adjustable parameters!)*



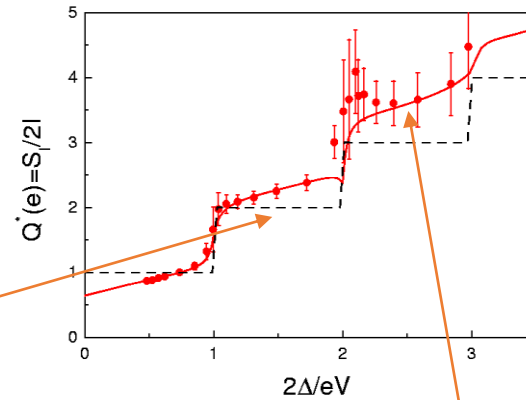
R. Cron et al, PRL 2001

Effective charge

$$Q^* = S(0) / 2eI$$

tunnel limit

$$Q^* = \text{Int}\left[1 + 2\Delta / eV\right]$$



experimental values for $\tau_n = \{0.40, 0.27, 0.03\}$

Physical Review
Focus

[Focus Archive](#)

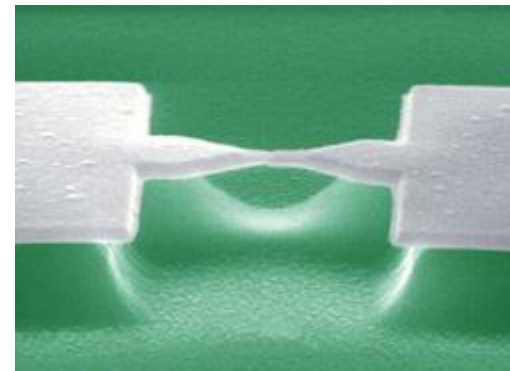
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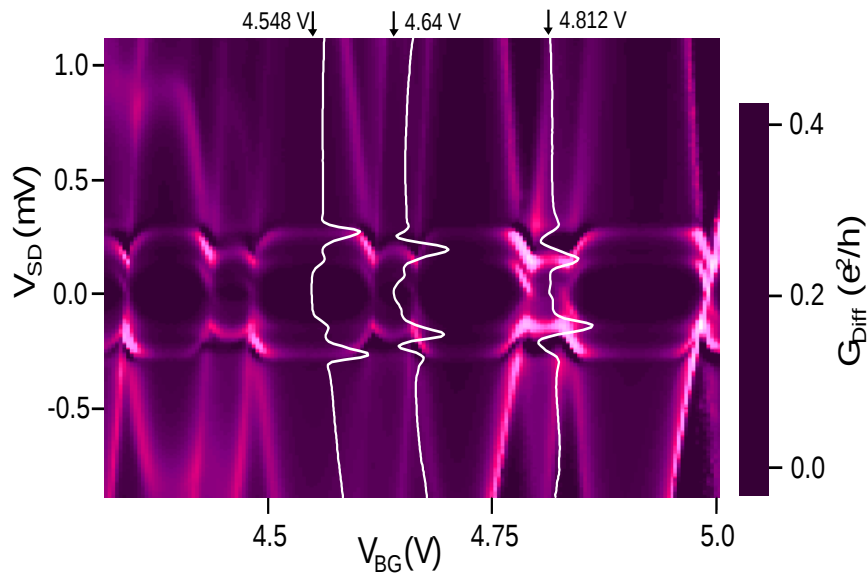
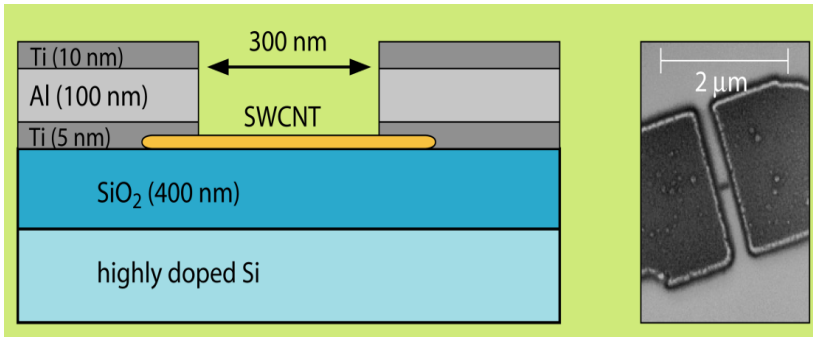
30 April 2001

Electric Current in Big Chunks



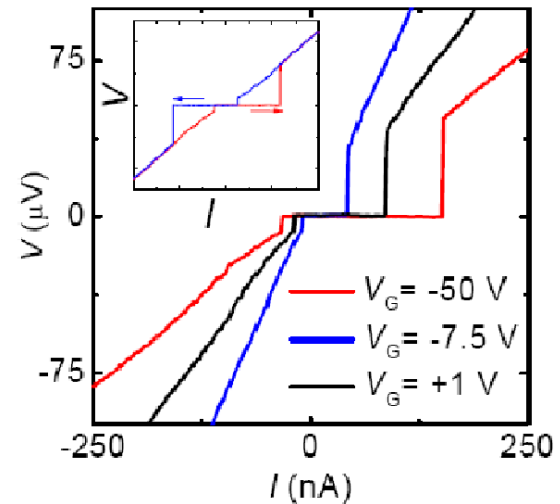
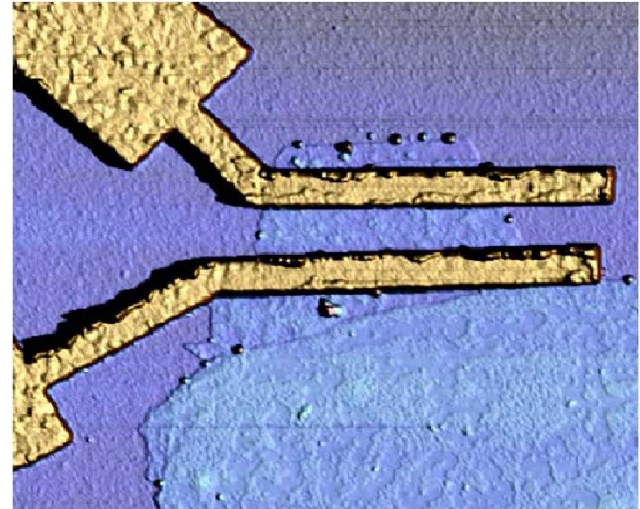
MAR in hybrid nanostructures

SWCNT + S leads



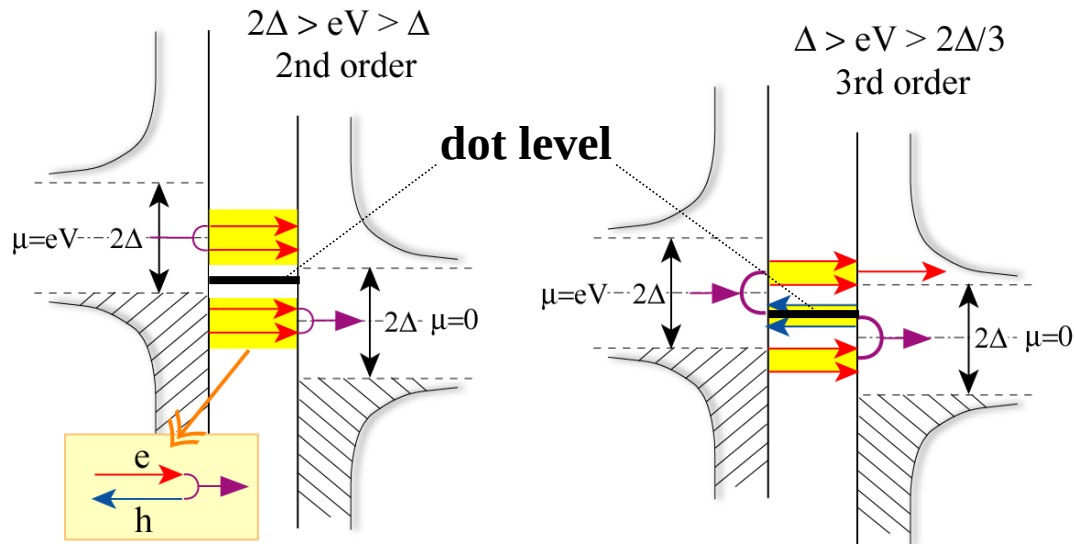
Eichler *et al.* **Phys. Rev. Lett.** (2007)

Graphene + S leads

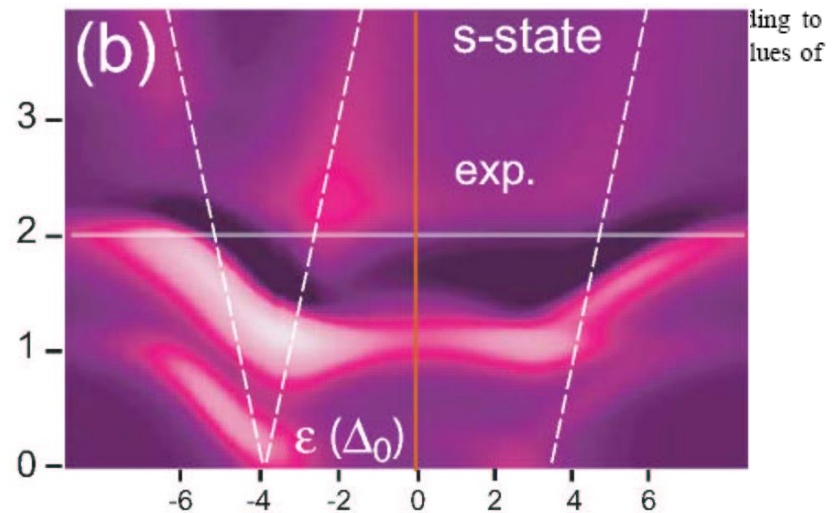
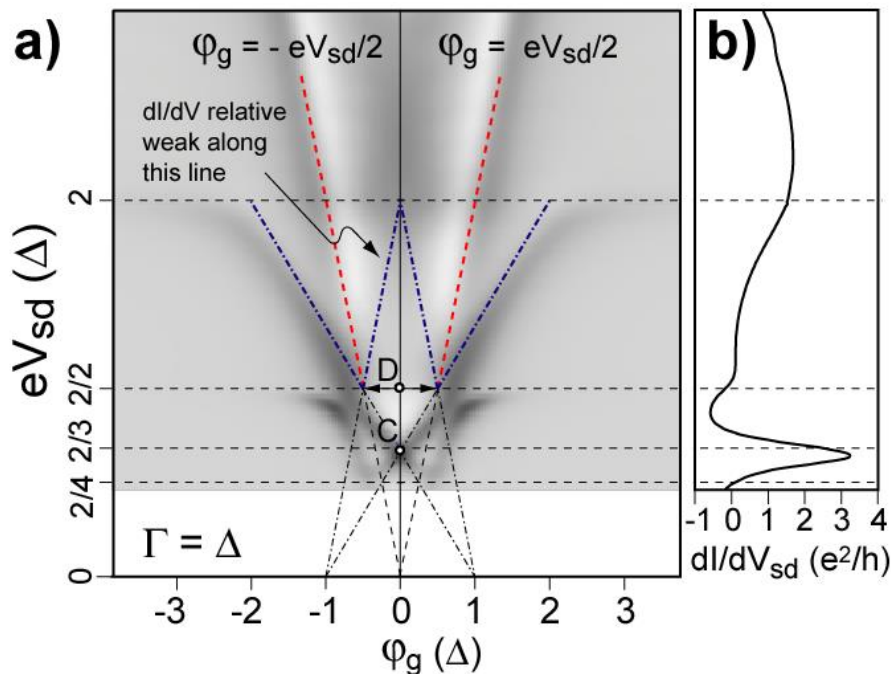
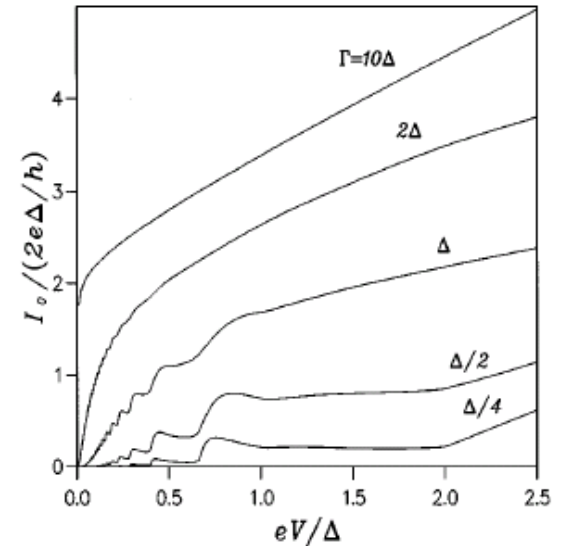


Heersche *et al.* **Nature** (2007)

SQDS: resonant MAR



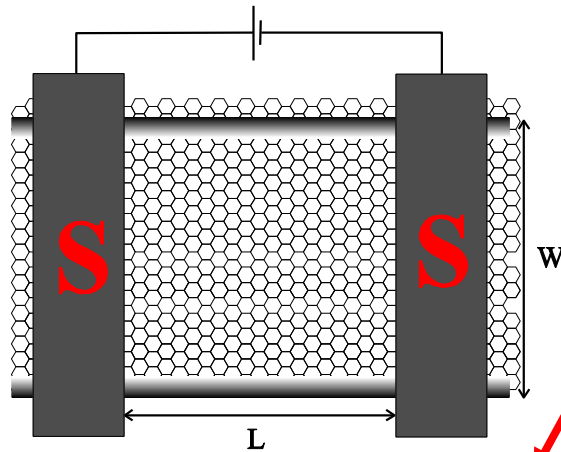
ALY et. al. PRB 55, R3167 (97)



MAR in Graphene

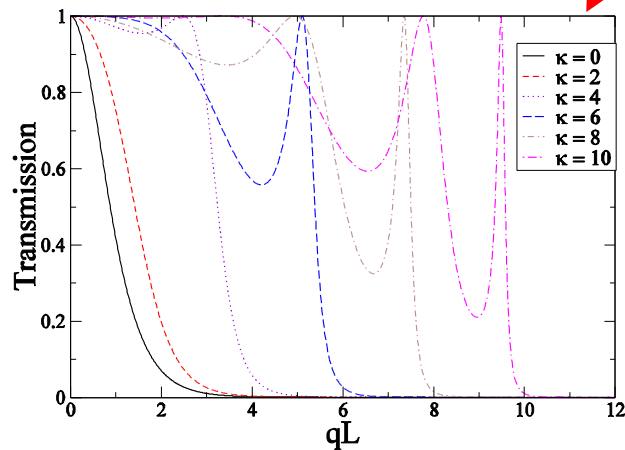
J.C. Cuevas & A. Levy Yeyati PRB **74**, R180501 (06)

Normal Transmission



$$\tau_n = \left| \frac{2\delta^2 - 2(q_n - k_n)^2}{e^{k_n L} (q_n - k_n + i\delta)^2 + e^{-k_n L} (q_n - k_n - i\delta)^2} \right|^2$$

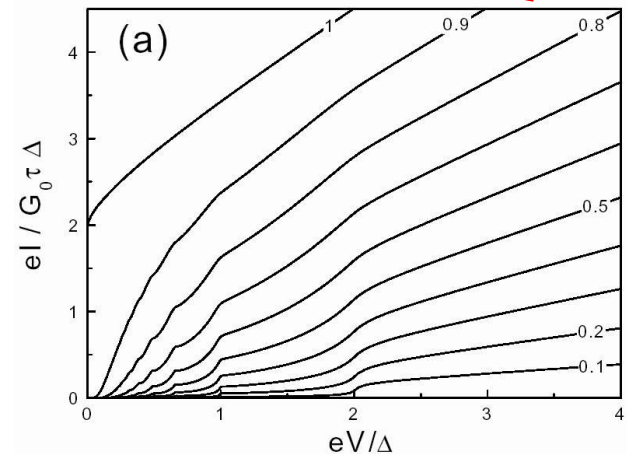
$$q_n = \frac{\pi}{W} \left(n + \frac{1}{2} \right) \quad k_n = \sqrt{q_n^2 - \delta^2} \quad \delta = e|V_{\text{gate}}|/\hbar v_F$$

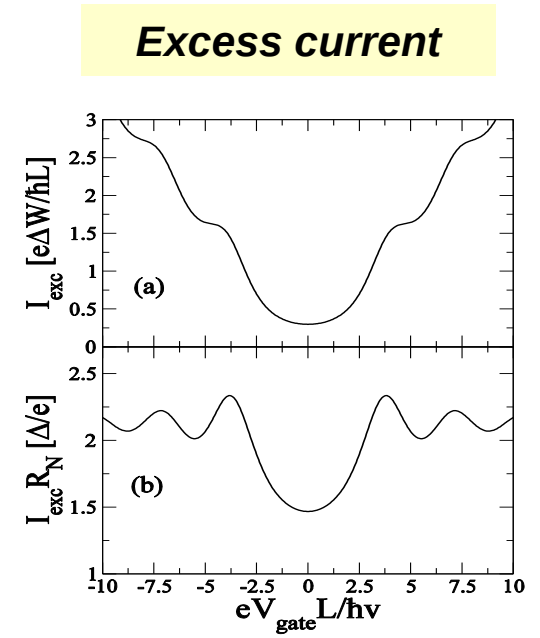
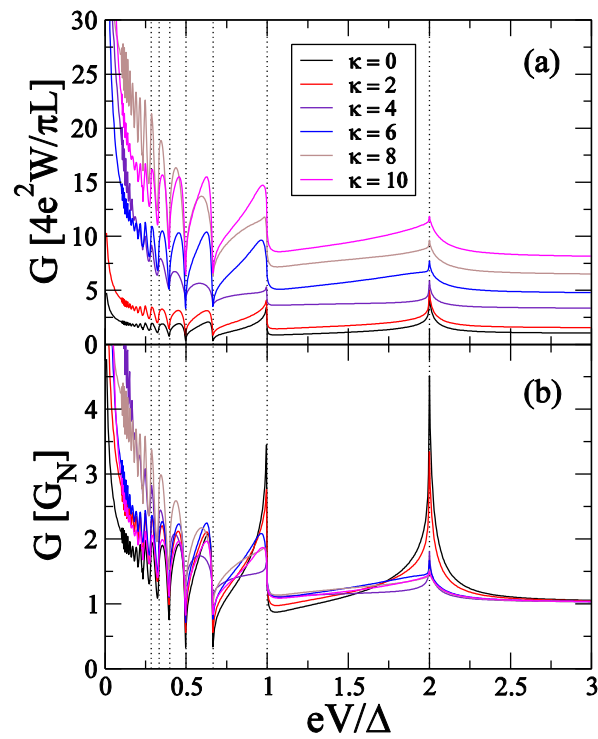
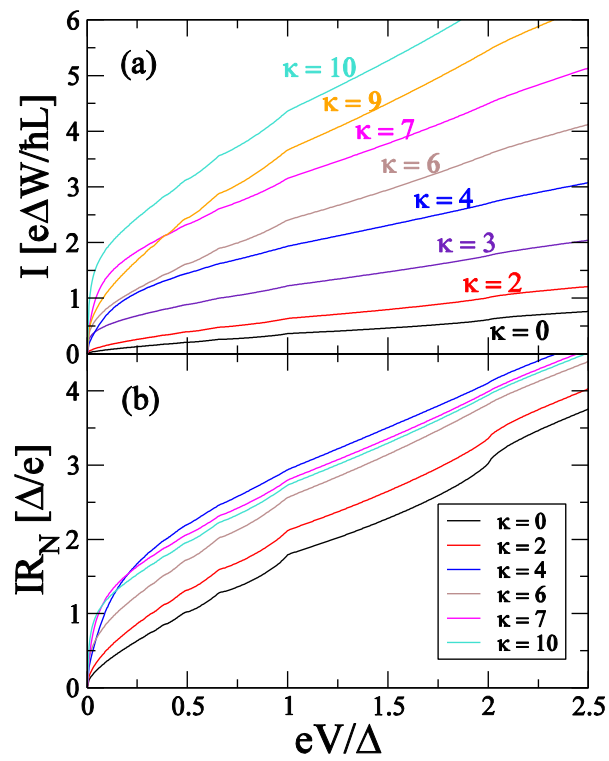


$$\kappa = e|V_{\text{gate}}|L/\hbar v_F$$

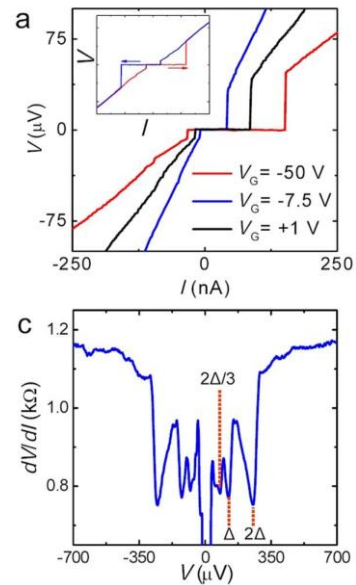
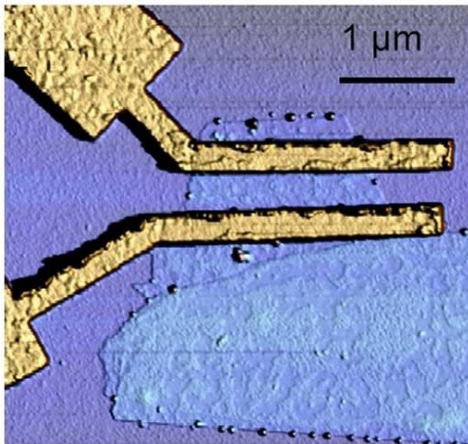
Channel superposition

$$I(V, t) = 2 \sum_{n=0}^{\infty} I(V, t, q_n)$$

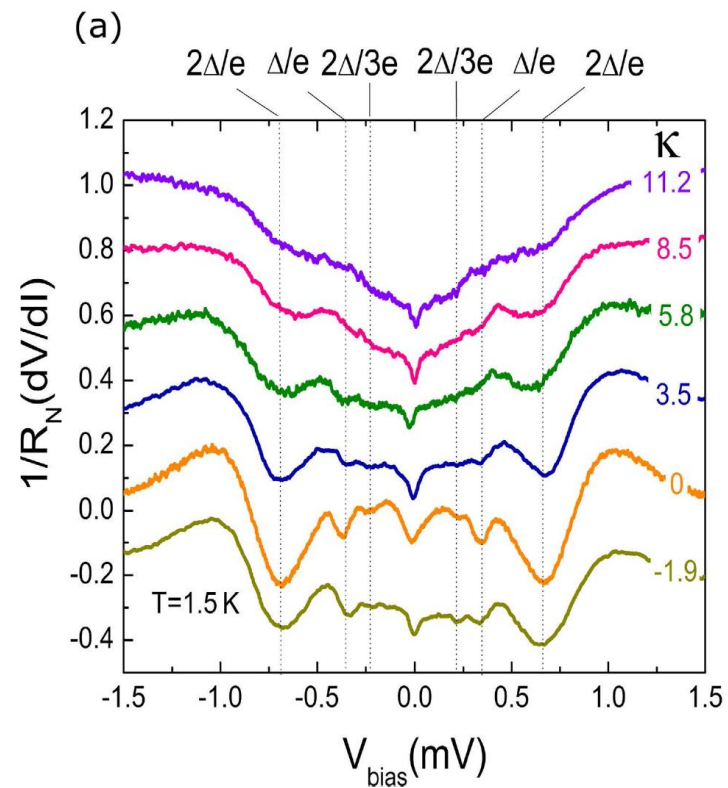
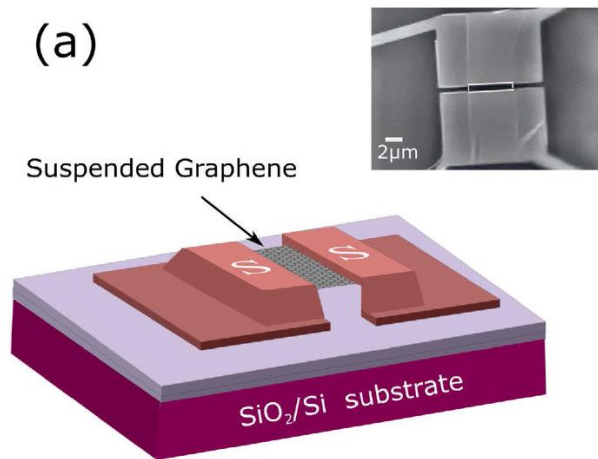




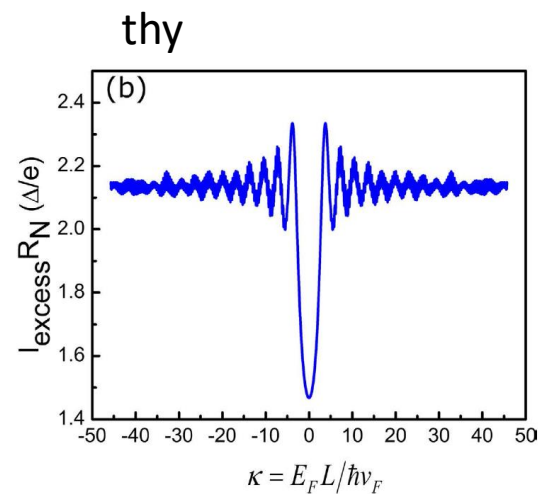
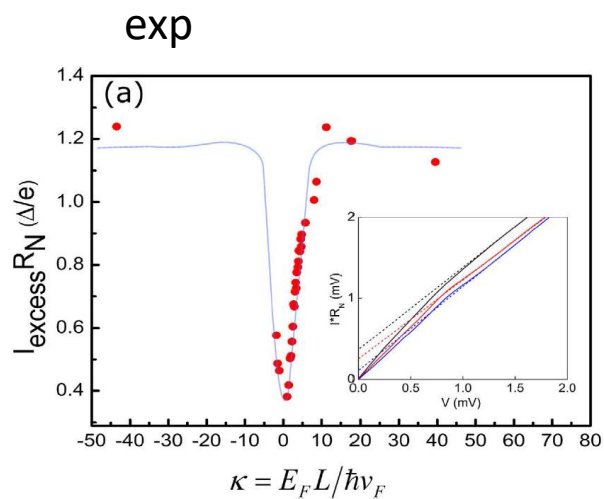
H.B. Heersche, et. al. Nature (2006).



Kumaravadiel & Du, Sci. Reps. (16)



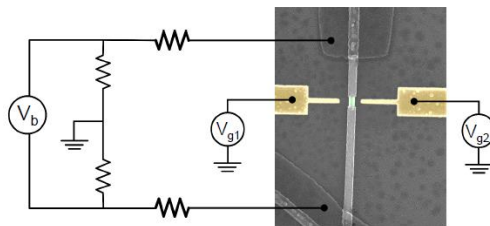
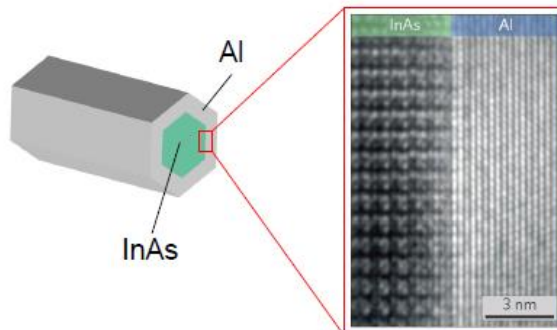
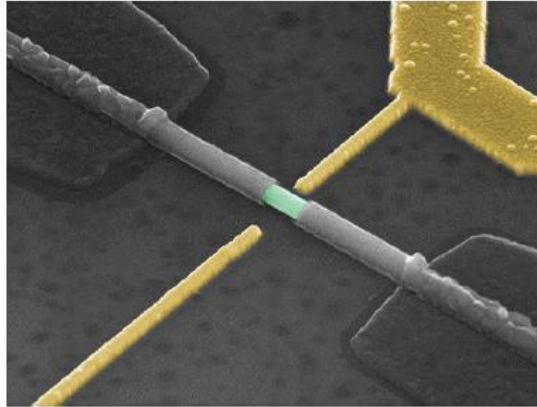
Excess current



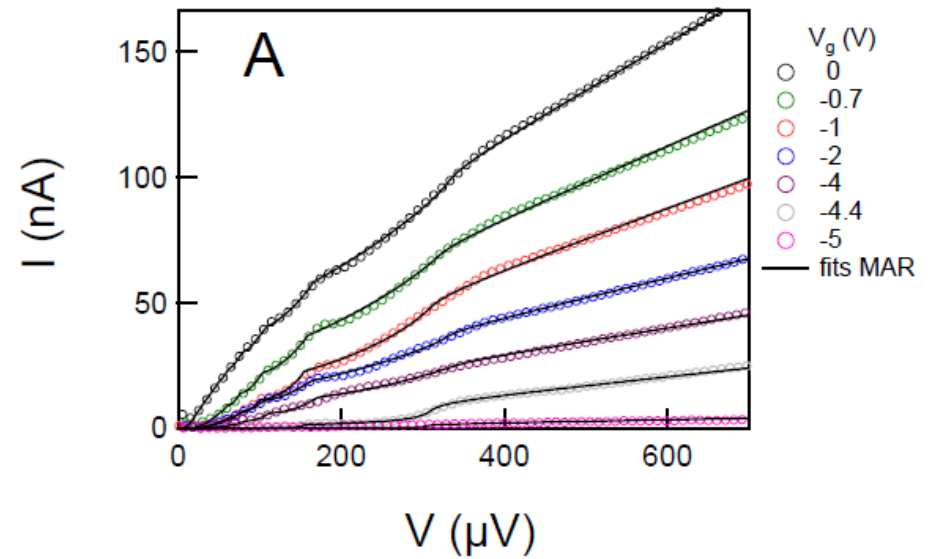
MAR in InAs/Al nanowires

Goffman et al, NJP (17)

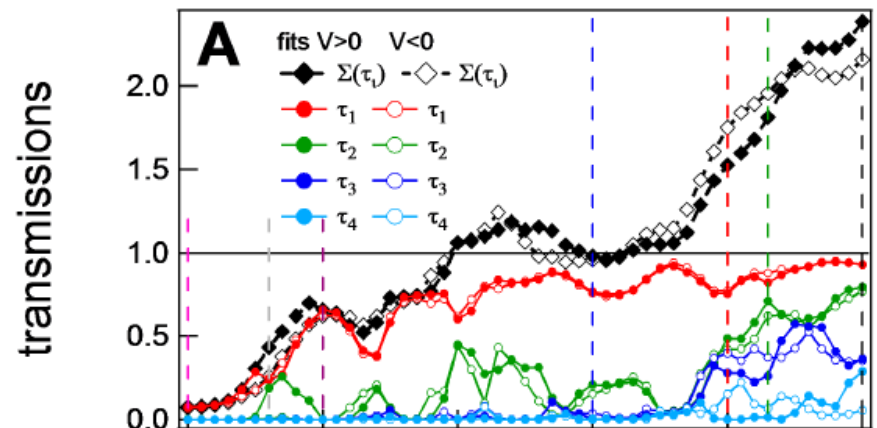
GATED InAs JOSEPHSON JUNCTION



FITS WITH MAR THEORY



FITS PARAMETERS: TRANSMISSIONS



Conclusions (First lecture):

Basic introduction to Hamiltonian approach techniques

Application to superconducting atomic contacts: ideal test system for coherent MAR theory

Extension to other systems: hybrid carbon nanostructures (CNT QDs & graphene)

Need to learn more on interaction effects (tbc)