

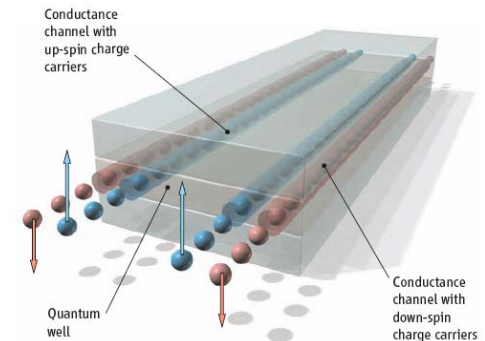
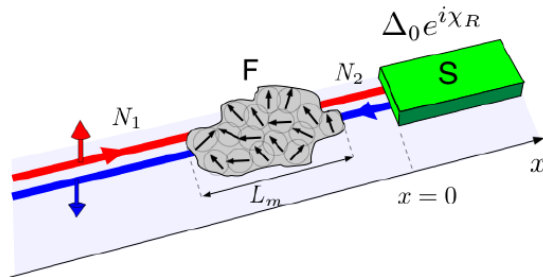


Superconducting hybrids based on QSH systems

Lecture 1

11th Capri Spring School
Transport in Nanostructures

April 13-17, 2015
Capri, Italy



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Alexander von Humboldt
Stiftung/Foundation



DFG Deutsche
Forschungsgemeinschaft



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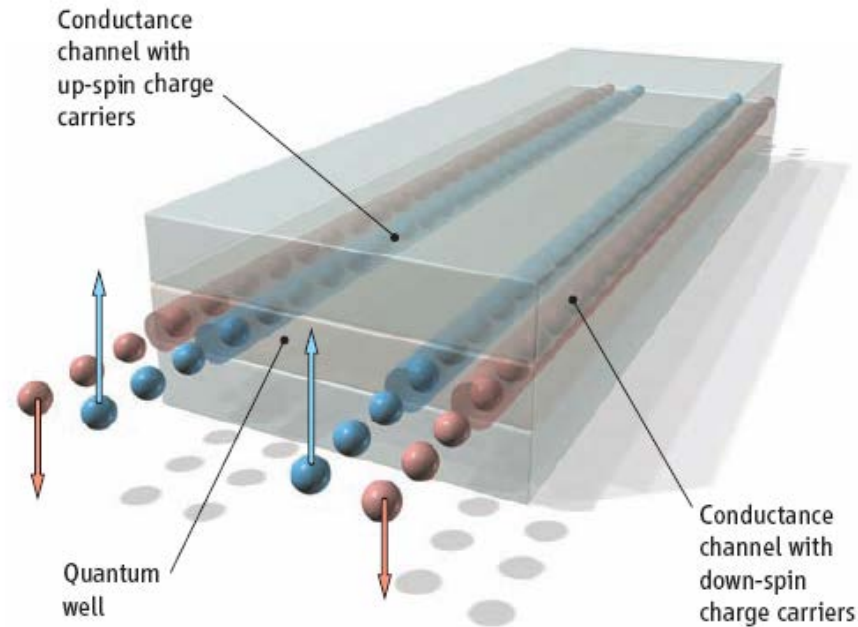




Outline

- **Recap:** Quantum spin Hall (QSH) systems
- **Introduction:** Hybrid structures based on QSH systems – Bogoliubov-De Gennes Formalism
- **Application:** Transport signatures in NS junctions

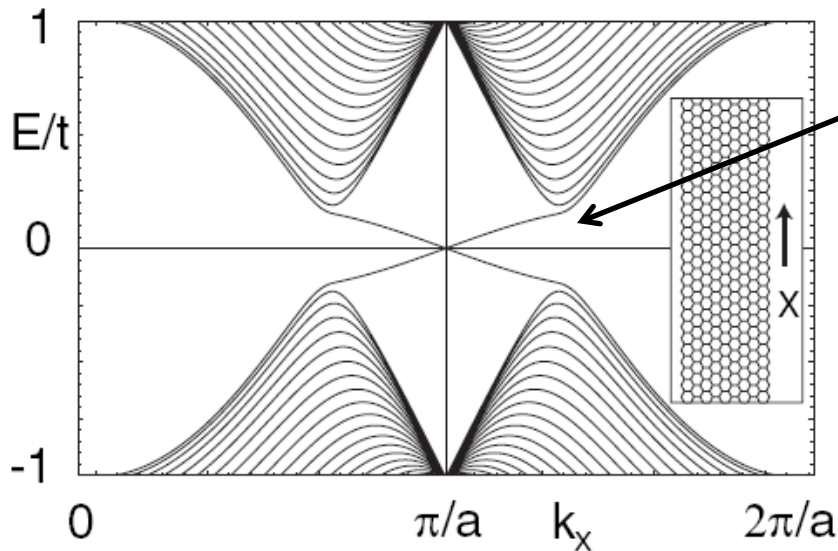
What are QSH systems?



first symmetry-protected topological state of matter



Prediction in graphene



spin filtered edge states:
topologically non-trivial
w/ respect to TRS

$$H = v(p_x \sigma_x \tau_x + p_y \sigma_y) + \Delta_{SO} \sigma_z \tau_z S_z$$

Kane & Mele PRL 2005

$$\Delta_{SO} \approx 24 \mu\text{eV}$$

Gmitra, Konschuh, Ertler, Ambrosch-Draxl & Fabian PRB 2009

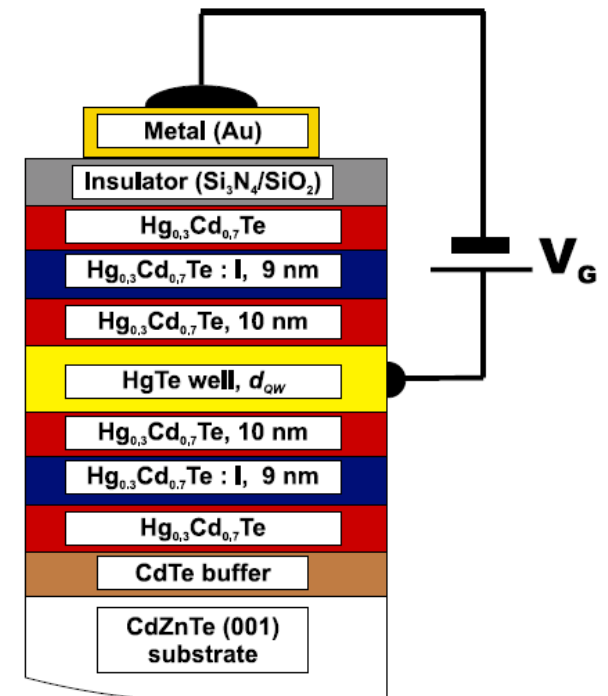
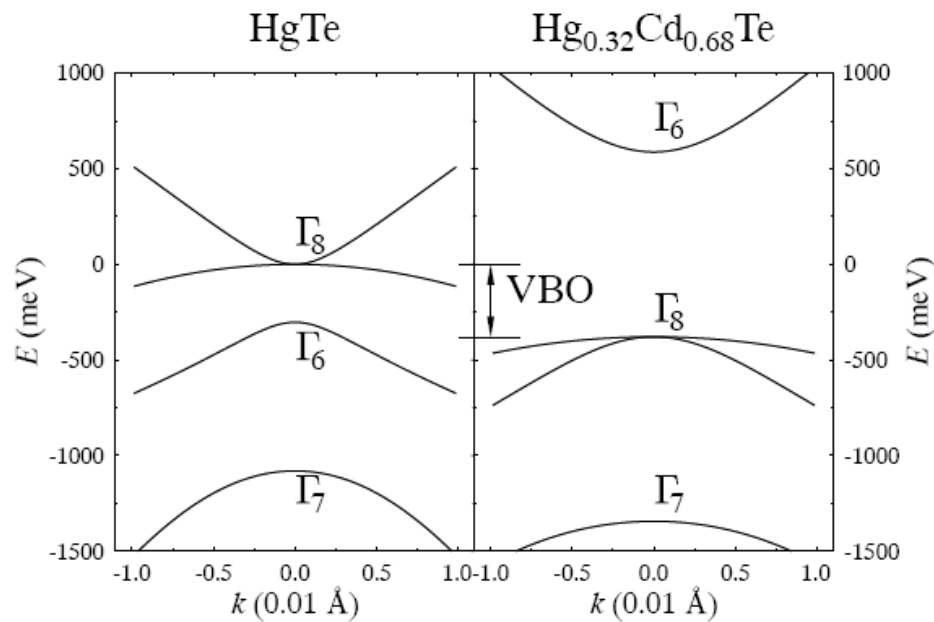


SOI too small ...

How about a better system?



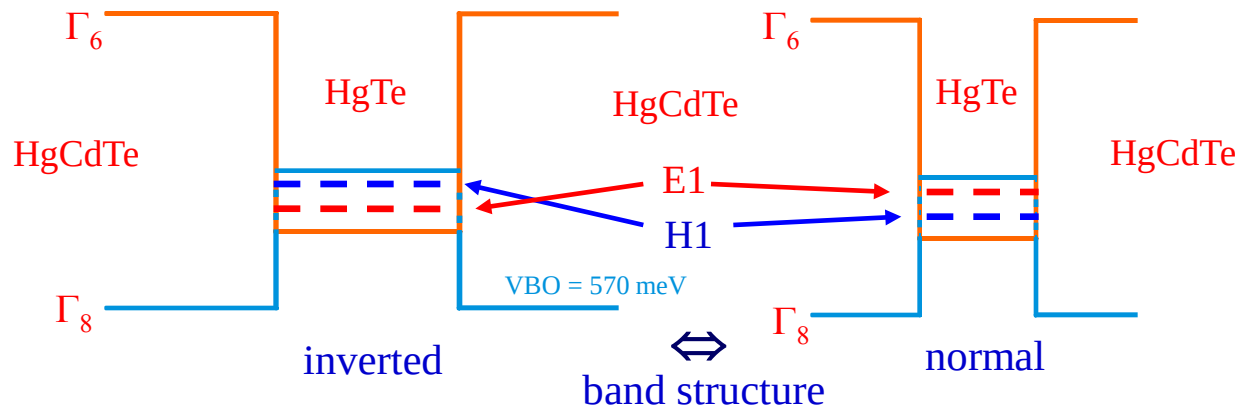
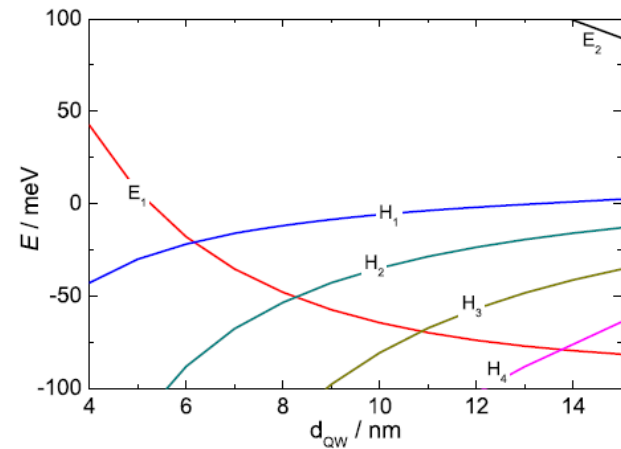
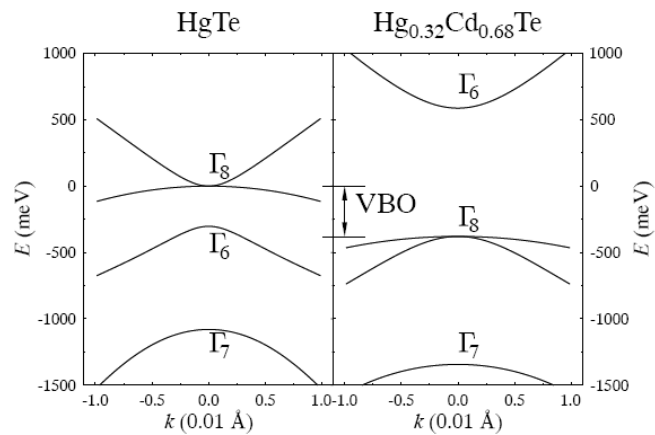
HgTe/CdTe QW I



König et al. JPSJ 2008



HgTe/CdTe QW II





Effective Hamiltonian

$$H = \begin{pmatrix} h(\vec{k}) & 0 \\ 0 & h^*(-\vec{k}) \end{pmatrix} \quad \text{with} \quad h(\vec{k}) = \varepsilon(\vec{k}) + d_a(\vec{k}) \sigma^a$$

Bernevig, Hughes, Zhang *Science* 2006

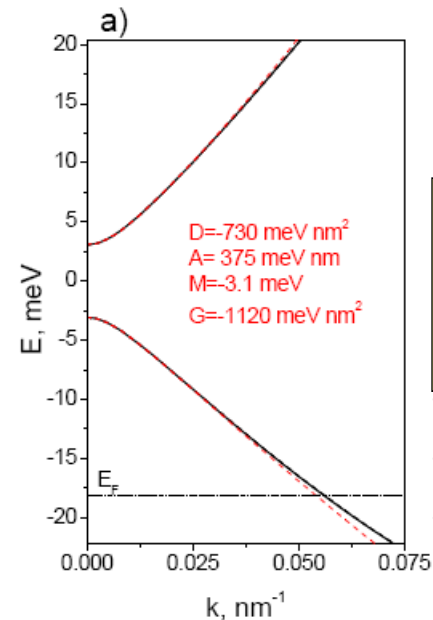
$$\varepsilon(\vec{k}) = C - Dk^2$$

$$\vec{d}(\vec{k}) = (Ak_x, -Ak_y, M - Gk^2)$$

with **basis states**:

$$\{|E+\rangle, |H+\rangle, |E-\rangle, |H-\rangle\}$$

$\pm$: degenerate **Kramers partners**



comparison
to 8-band
Kane model

Novik et al. *PRB* 2005

Schmidt, Novik, Kindermann & BT *PRB* 2009



Topology in the QSHE I

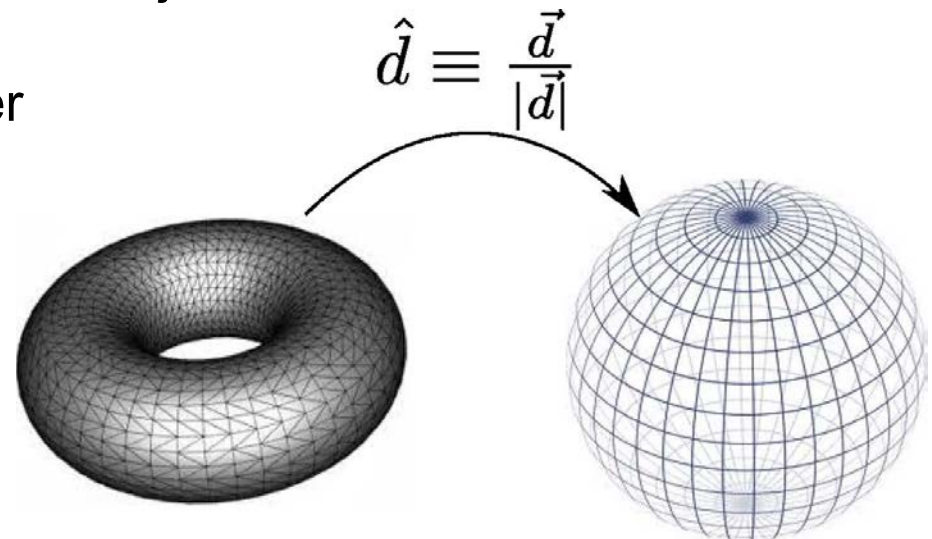
$$H = \begin{pmatrix} h(\vec{k}) & 0 \\ 0 & h^*(-\vec{k}) \end{pmatrix} \quad \text{with} \quad h(\vec{k}) = \varepsilon(\vec{k}) + d_a(\vec{k})\sigma^a$$

TRS preserved

Thouless, Kohmoto, Nightingale & Den Nijs

TKNN invariant for **one** Kramers partner
(quantum anomalous Hall effect):

$$n_{\uparrow} = \frac{1}{4\pi} \int d\mathbf{k} \left(\partial_{k_x} \hat{d} \times \partial_{k_y} \hat{d} \right) \cdot \hat{d}$$





Topology in the QSHE I

$$H = \begin{pmatrix} h(\vec{k}) & 0 \\ 0 & h^*(-\vec{k}) \end{pmatrix} \quad \text{with} \quad h(\vec{k}) = \varepsilon(\vec{k}) + d_a(\vec{k})\sigma^a$$

TRS preserved

TKNN invariant for one Kramers partner:

$$n_{\uparrow} = \frac{1}{4\pi} \int d\mathbf{k} \left(\partial_{k_x} \hat{d} \times \partial_{k_y} \hat{d} \right) \cdot \hat{d}$$

zero Hall conductivity:

$$n = n_{\uparrow} + n_{\downarrow} = 0$$

quantized spin Hall conductivity:

$$n_{\sigma} = \frac{n_{\uparrow} - n_{\downarrow}}{2}$$



Topology in the QSHE II

quantized spin Hall conductivity:

$$n_{\sigma} = \frac{n_{\uparrow} - n_{\downarrow}}{2}$$

-> $\mathbb{Z}_2$ invariant:

$$\nu = n_{\sigma} \bmod 2$$

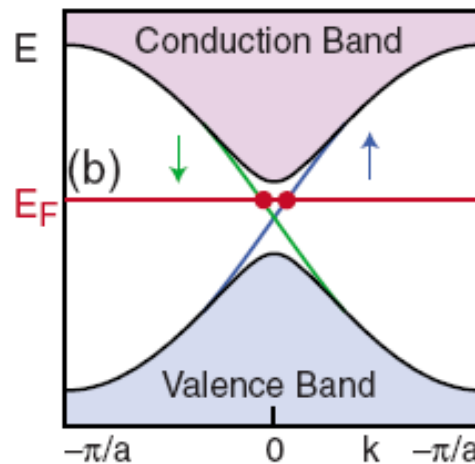
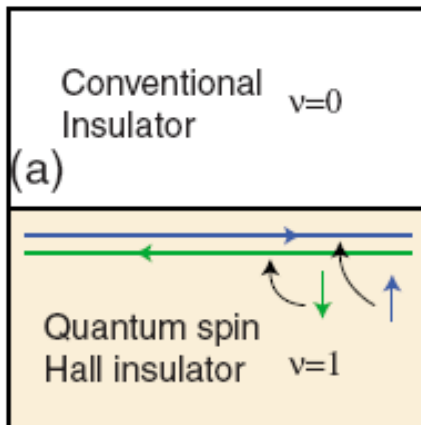
Topology in the QSHE II

quantized spin Hall conductivity:

$$n_{\sigma} = \frac{n_{\uparrow} - n_{\downarrow}}{2}$$

-> Z_2 invariant:

$$\nu = n_{\sigma} \bmod 2$$



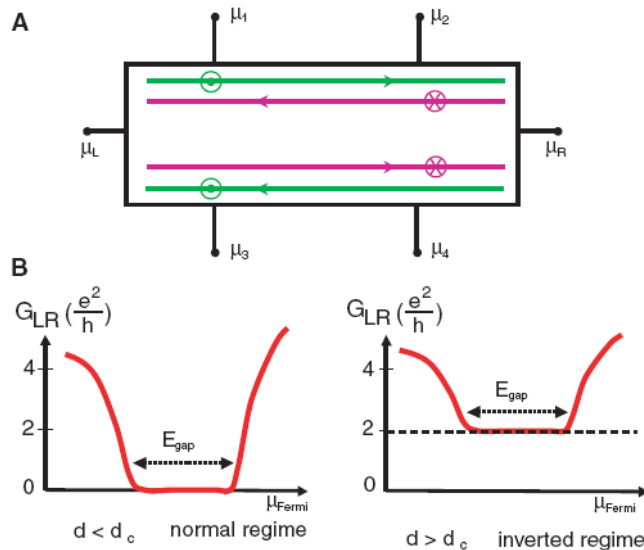
of Kramers pairs at edge:

$$N_K = \Delta\nu \bmod 2$$



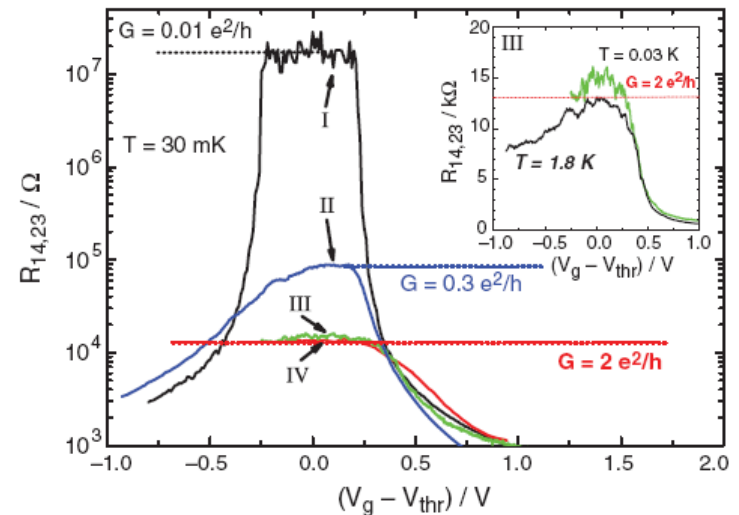
Experimental evidence of edge states

Prediction:



Bernevig, Hughes & Zhang *Science* 2006

Observation:

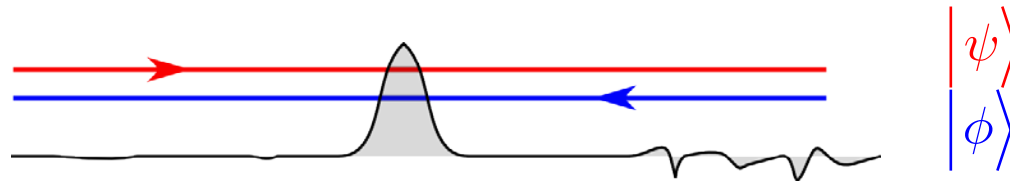


König et al. *Science* 2007



Protection against elastic backscattering

$$\begin{aligned} \langle \psi | H | \phi \rangle &= \langle \phi | H | \psi \rangle^* = \langle T\phi | TH | \psi \rangle \\ &= \langle \psi | HT | \psi \rangle = \langle \psi | HT^2 | \phi \rangle = -\langle \psi | H | \phi \rangle \end{aligned}$$

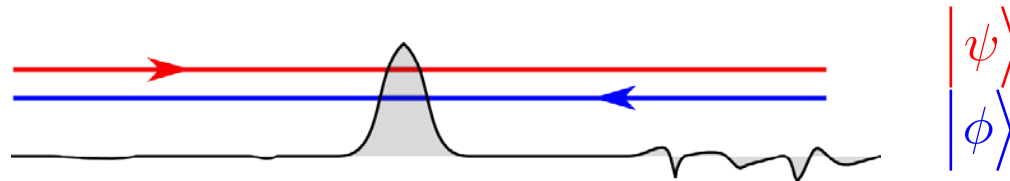




Protection against elastic backscattering

$$\langle \lambda | \mu \rangle = \langle \mu | \lambda \rangle^*$$

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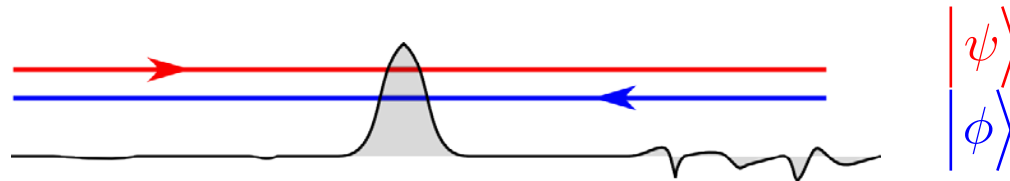


Protection against elastic backscattering

$$\langle \lambda | \mu \rangle = \langle \mu | \lambda \rangle^*$$

T : anti-unitary operator

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Protection against elastic backscattering

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$$[H, T] = 0 \text{ and } |\psi\rangle = T|\phi\rangle$$



Protection against elastic backscattering

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$$[H, T] = 0 \text{ and } |\psi\rangle = T|\phi\rangle$$

$$T^2 = -1$$

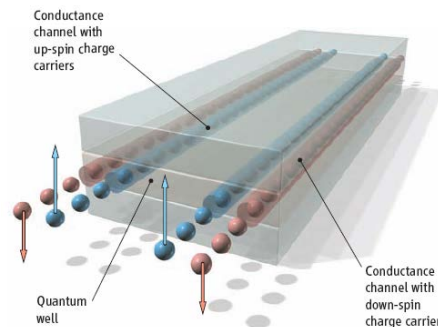
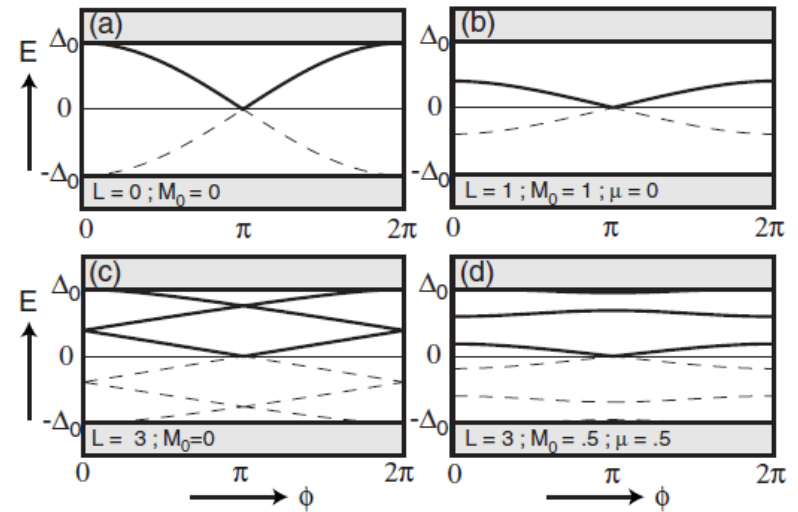
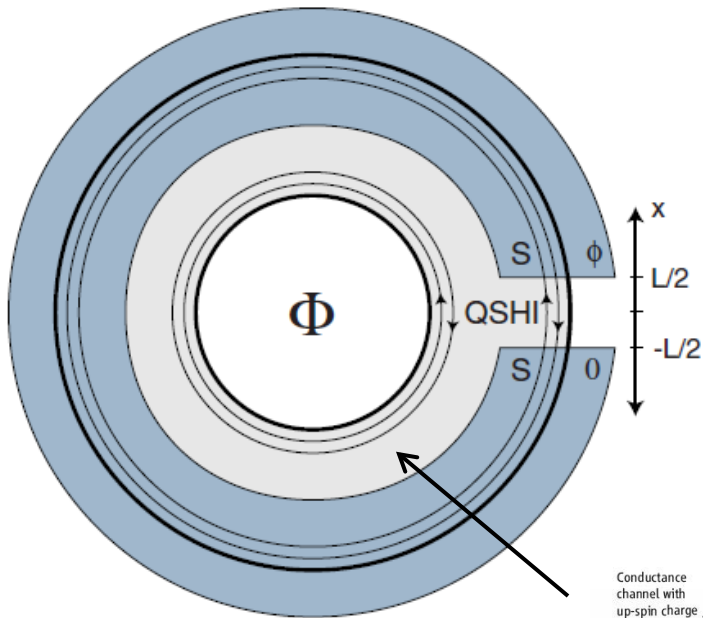


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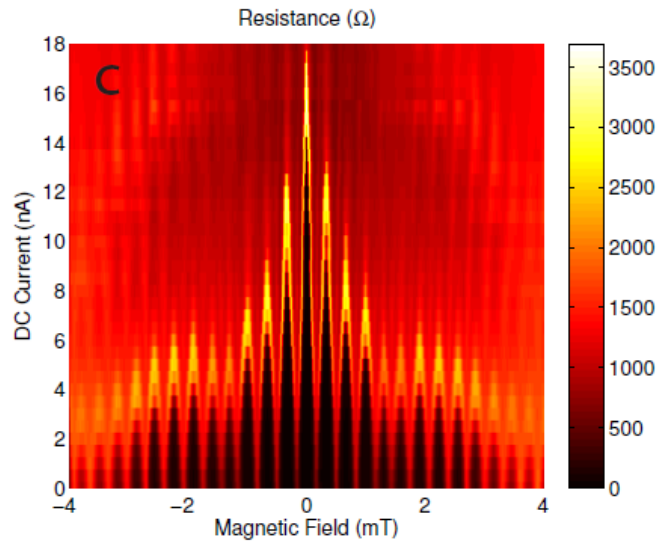
Pioneering prediction



signatures of p-wave
superconductivity?

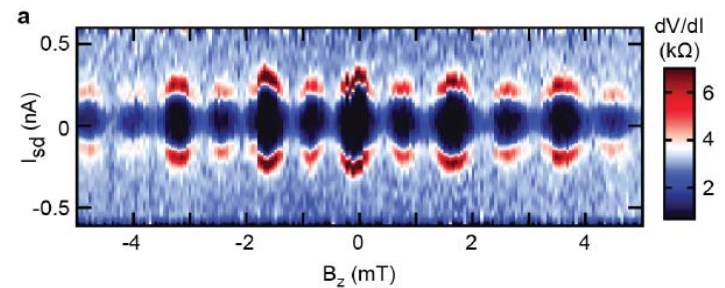
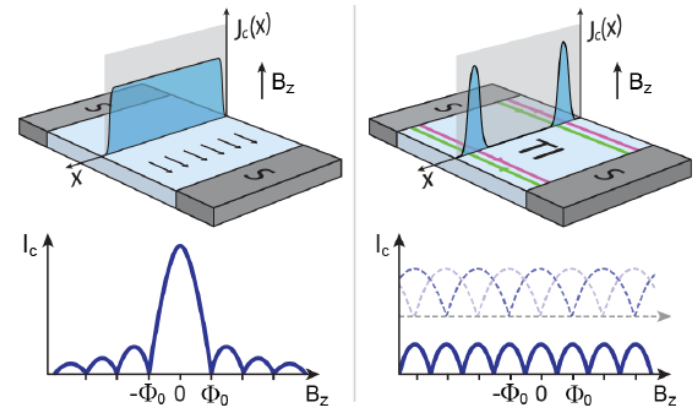
Inspiring experiments

Hg(Cd)Te QWs



Hart et al. *Nature Phys* 2014

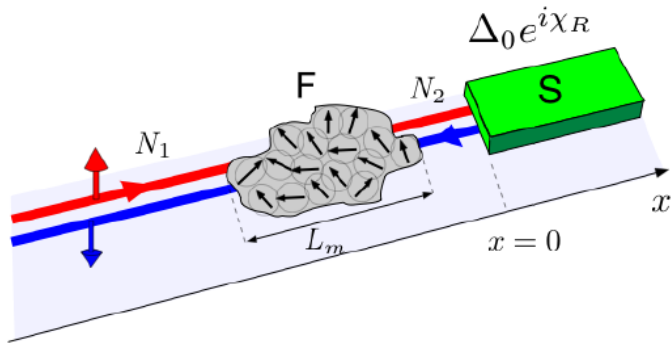
InAs/GaSb QWs



Pribiag et al. *arXiv* 2014



Setup & Hamiltonian

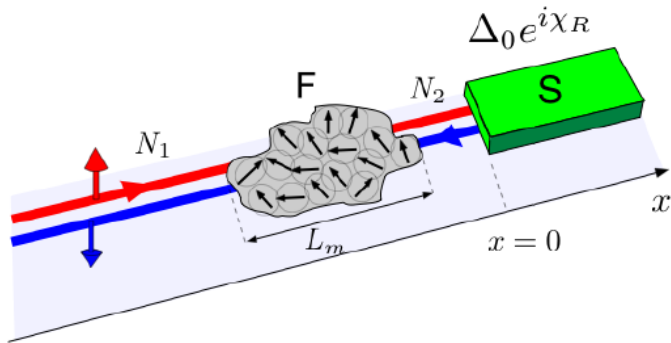


$$H = H_0 + H_{FM} + H_{SC}$$

$$H_0 = \int dx \left(\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger \right) \left[v_F p_x \sigma_z - \mu \right] \begin{pmatrix} \psi_{R\uparrow} \\ \psi_{L\downarrow} \end{pmatrix}$$



Setup & Hamiltonian

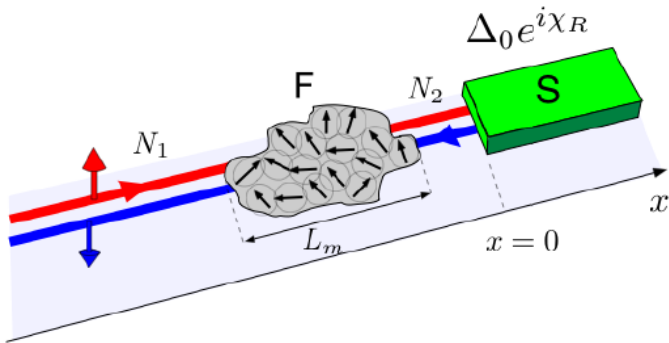


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$$H_{FM} = \int dx \left(\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger \right) \vec{m}(x) \cdot \vec{\sigma} \begin{pmatrix} \psi_{R\uparrow} \\ \psi_{L\downarrow} \end{pmatrix}$$

Setup & Hamiltonian



$$H = H_0 + H_{FM} + H_{SC}$$

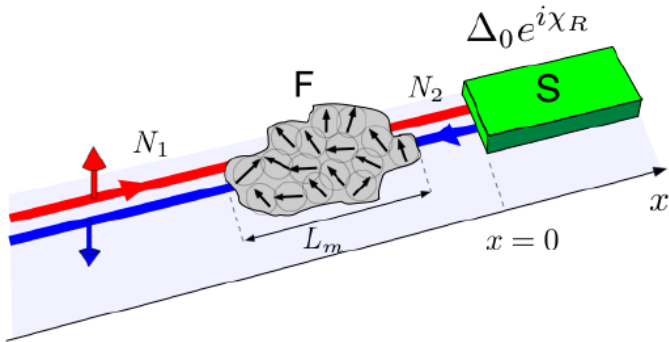
$$H_0 = \int dx \left(\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger \right) \left[v_F p_x \sigma_z - \mu \right] \begin{pmatrix} \psi_{R\uparrow} \\ \psi_{L\downarrow} \end{pmatrix}$$

$$H_{FM} = \int dx \left(\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger \right) \vec{m}(x) \cdot \vec{\sigma} \begin{pmatrix} \psi_{R\uparrow} \\ \psi_{L\downarrow} \end{pmatrix}$$

$$H_{SC} = \int dx \left[\Delta(x) \psi_{R\uparrow}^\dagger \psi_{L\downarrow}^\dagger + \Delta^*(x) \psi_{L\downarrow} \psi_{R\uparrow} \right]$$



BdG Hamiltonian

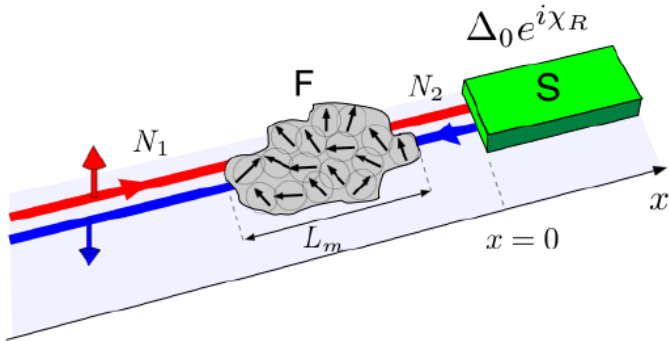


$$H = \frac{1}{2} \int dx \Psi^\dagger H_{BdG} \Psi$$

$$\Psi^\dagger = (\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger, \psi_{L\downarrow}, -\psi_{R\uparrow})$$

$$H_{BdG} = \begin{pmatrix} H_{0+FM}^e & \Delta(x) \sigma_0 \\ \Delta^*(x) \sigma_0 & H_{0+FM}^h \end{pmatrix}$$

BdG Hamiltonian



$$H = \frac{1}{2} \int dx \Psi^\dagger \mathbf{H}_{BdG} \Psi$$

$$\Psi^\dagger = (\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger, \psi_{L\downarrow}, -\psi_{R\uparrow})$$

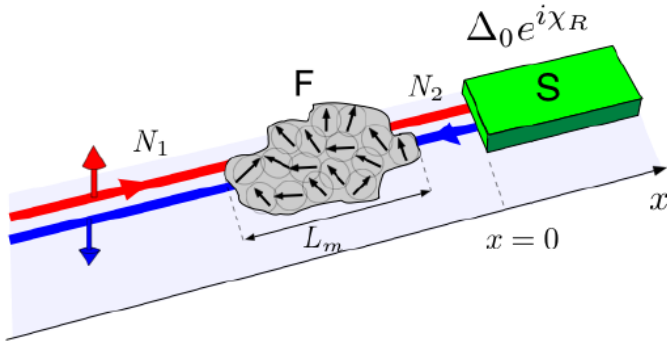
$$H_{0+FM}^e = v_F \sigma_z p_x - \mu \sigma_0 + \vec{m}(x) \cdot \vec{\sigma}$$

$$\mathbf{H}_{BdG} = \begin{pmatrix} H_{0+FM}^e & \Delta(x) \sigma_0 \\ \Delta^*(x) \sigma_0 & H_{0+FM}^h \end{pmatrix}$$

$$H_{0+FM}^h = -T H_{0+FM}^e T^{-1} = -v_F \sigma_z p_x + \mu \sigma_0 + \vec{m}(x) \cdot \vec{\sigma}$$



BdG Hamiltonian



$$H = \frac{1}{2} \int dx \Psi^\dagger \mathbf{H}_{BdG} \Psi$$

$$\Psi^\dagger = (\psi_{R\uparrow}^\dagger, \psi_{L\downarrow}^\dagger, \psi_{L\downarrow}, -\psi_{R\uparrow})$$

$$\mathbf{H}_{BdG} = \begin{pmatrix} H_{0+FM}^e & \Delta(x) \sigma_0 \\ \Delta^*(x) \sigma_0 & H_{0+FM}^h \end{pmatrix}$$

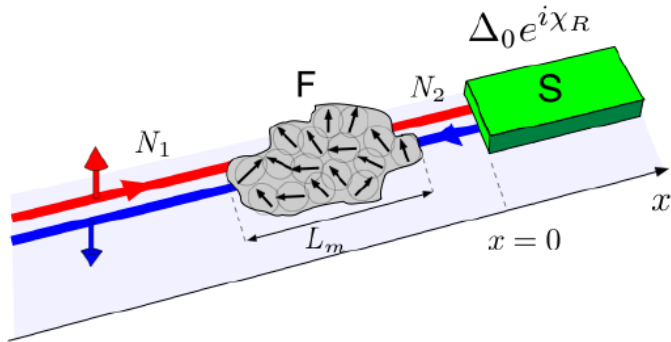
$$H = \sum_{\varepsilon_n \geq 0, j} \varepsilon_n \gamma_{\varepsilon_n, j}^\dagger \gamma_{\varepsilon_n, j}$$

$$\Psi(x) = \sum_{\varepsilon_n \geq 0, j} \left\{ \varphi_{\varepsilon_n, j}(x) \gamma_{\varepsilon_n, j} + [C \varphi_{\varepsilon_n, j}](x) \gamma_{\varepsilon_n, j}^\dagger \right\}$$

charge conjugated wave function



Symmetries & Majoranas



Particle-hole symmetry

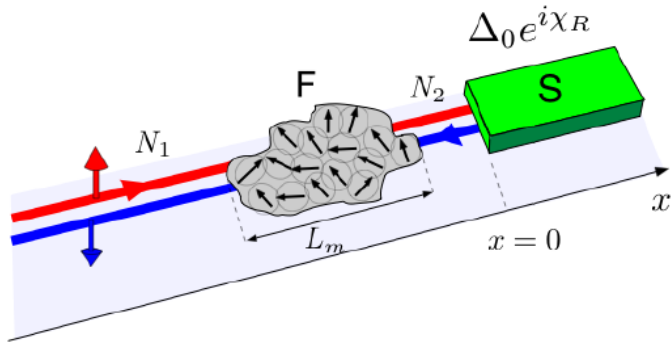
$$CH_{BdG}C^{-1} = -H_{BdG}$$

$$\gamma_{\varepsilon_n, j}^\dagger = \gamma_{-\varepsilon_n, j}$$

$$C = K\tau_y \otimes \sigma_y = KU_C$$



Symmetries & Majoranas



Particle-hole symmetry

$$CH_{BdG}C^{-1} = -H_{BdG}$$

$$C = K\tau_y \otimes \sigma_y = KU_C$$

$$\gamma_{\varepsilon_n, j}^\dagger = \gamma_{-\varepsilon_n, j}$$

$$\Psi(x) = \sum_{\varepsilon_n \geq 0, j} \left\{ \varphi_{\varepsilon_n, j}(x) \gamma_{\varepsilon_n, j} + [C\varphi_{\varepsilon_n, j}](x) \gamma_{\varepsilon_n, j}^\dagger \right\}$$

Majorana fermion



Majorana fermions vs. anyons



Majorana fermions

$$\Psi(x) = \sum_{\varepsilon_n \geq 0, j} \left\{ \varphi_{\varepsilon_n, j}(x) \gamma_{\varepsilon_n, j} + [C \varphi_{\varepsilon_n, j}](x) \gamma_{\varepsilon_n, j}^\dagger \right\}$$

fermions

$$\Psi^\dagger(x) = U_C \Psi(x)$$

$$\gamma_{\varepsilon_n, j}^\dagger = \gamma_{-\varepsilon_n, j}$$



Majorana fermions vs. anyons



Majorana fermions

$$\Psi(x) = \sum_{\varepsilon_n \geq 0, j} \left\{ \varphi_{\varepsilon_n, j}(x) \gamma_{\varepsilon_n, j} + [C \varphi_{\varepsilon_n, j}](x) \gamma_{\varepsilon_n, j}^\dagger \right\}$$

fermions

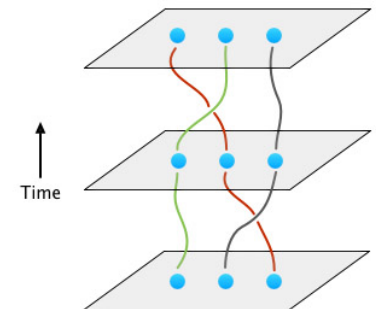
$$\Psi^\dagger(x) = U_C \Psi(x)$$

$$\gamma_{\varepsilon_n, j}^\dagger = \gamma_{-\varepsilon_n, j}$$

Majorana bound states

$$\gamma_{0, j}^\dagger = \gamma_{0, j}$$

anyons



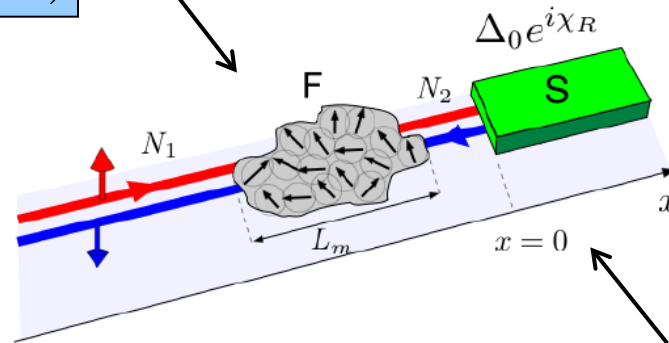


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S-matrix construction

$$\begin{pmatrix} b_{e,1} \\ b_{e,2} \\ b_{h,1} \\ b_{h,2} \end{pmatrix} = \begin{pmatrix} S_0^e(\varepsilon) & 0 \\ 0 & S_0^h(\varepsilon) \end{pmatrix} \begin{pmatrix} a_{e,1} \\ a_{e,2} \\ a_{h,1} \\ a_{h,2} \end{pmatrix}$$



perfect AR

$$\begin{pmatrix} a_{e,2} \\ a_{h,2} \end{pmatrix} = \exp \left(-i \arccos \left(\frac{\varepsilon}{\Delta_0} \right) \right) \begin{pmatrix} 0 & e^{i\chi_R} \\ e^{-i\chi_R} & 0 \end{pmatrix} \begin{pmatrix} b_{e,2} \\ b_{h,2} \end{pmatrix}$$

S-matrix of FM domain

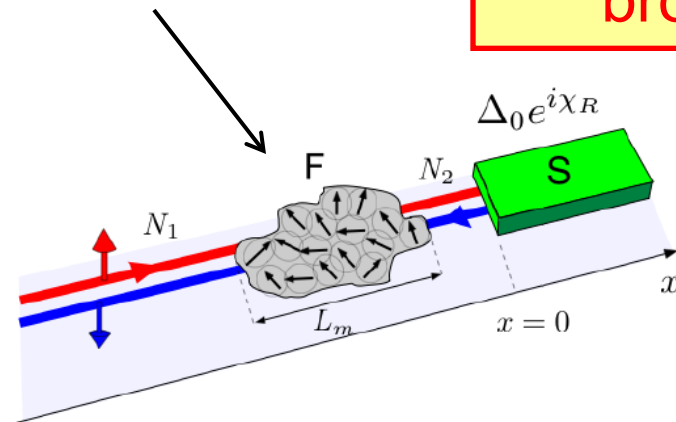
$$\Gamma_m(\varepsilon) \sim k_F L_m$$

$$\Phi_m(\varepsilon) \sim k_F x_0$$

$$S_0^e(\varepsilon) = e^{i\Gamma_m(\varepsilon)} \begin{pmatrix} -ie^{i\Phi_m(\varepsilon)} \sqrt{1-T_\varepsilon} & e^{i\chi_m(\varepsilon)} \sqrt{T_\varepsilon} \\ e^{-i\chi_m(\varepsilon)} \sqrt{T_\varepsilon} & -ie^{-i\Phi_m(\varepsilon)} \sqrt{1-T_\varepsilon} \end{pmatrix}$$

$$\chi_m(\varepsilon) \sim m_z L_m$$

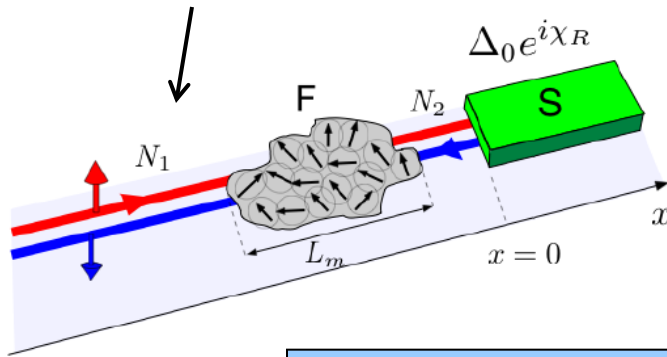
**all symmetries
broken**



Andreev reflection

$$\begin{pmatrix} b_{e,1} \\ b_{h,1} \end{pmatrix} = \begin{pmatrix} r_{ee} & r_{eh} \\ r_{he} & r_{hh} \end{pmatrix} \begin{pmatrix} a_{e,1} \\ a_{h,1} \end{pmatrix}$$

$$R_A = |r_{eh}|^2 = |r_{he}|^2$$

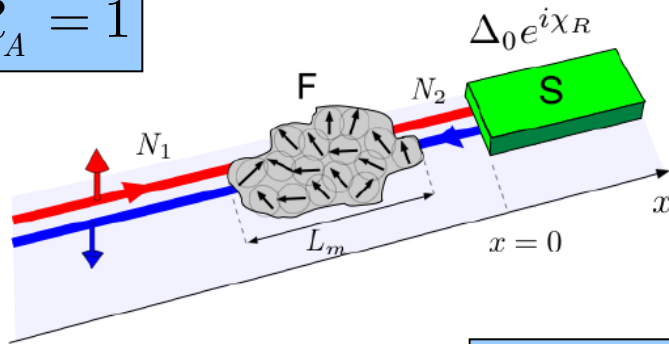


$$\Phi_m^A(\varepsilon) = \frac{1}{2}(\Phi_m(\varepsilon) - \Phi_m(-\varepsilon))$$

$$R_A = \frac{T_\varepsilon T_{-\varepsilon}}{\left(1 - \sqrt{R_\varepsilon R_{-\varepsilon}}\right)^2 + 4 \cos^2 \left[\arccos \left(\frac{\varepsilon}{\Delta_0} \right) + \Phi_m^A(\varepsilon) \right] \sqrt{R_\varepsilon R_{-\varepsilon}}}$$

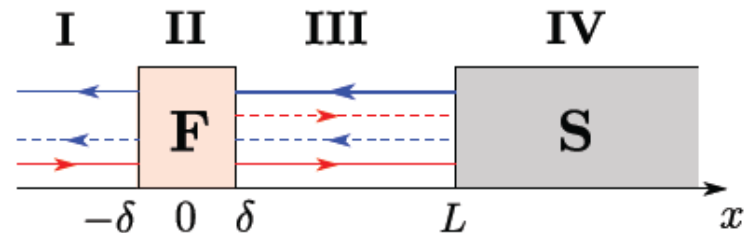
Resonance condition

$$R_A = 1$$



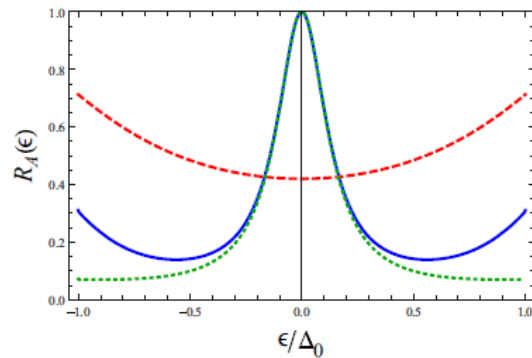
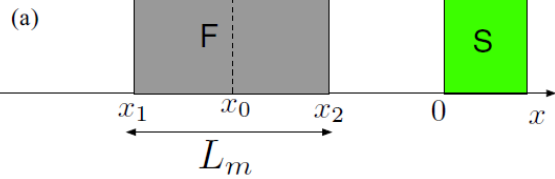
$$\left(\sqrt{R_\varepsilon} - \sqrt{R_{-\varepsilon}}\right)^2 + 4 \cos^2 \left[\arccos \left(\frac{\varepsilon}{\Delta_0} \right) + \Phi_m^A(\varepsilon) \right] \sqrt{R_\varepsilon R_{-\varepsilon}} = 0$$

**Fabry-Perot resonator
for electrons/holes**

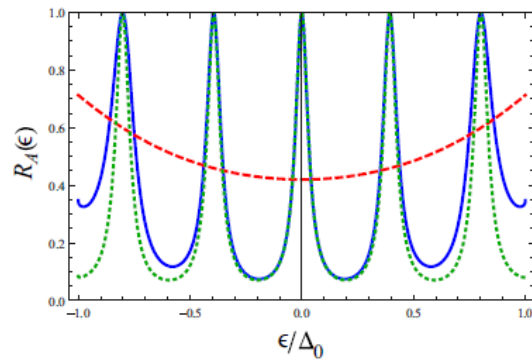




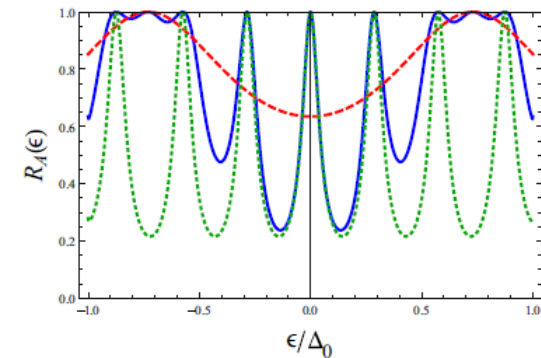
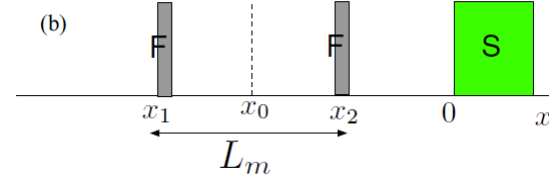
FM domain vs. double barrier



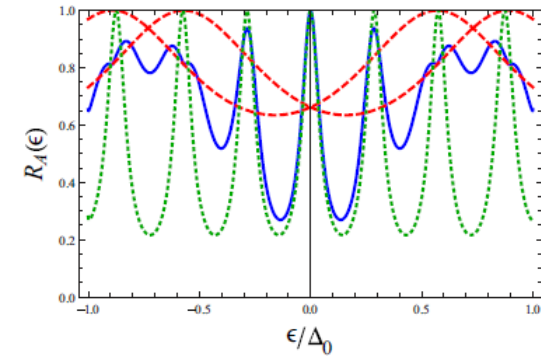
(a) $\mu_0 = 0.5, \mu = 0, L_m = 1, x_0 = 1.5, \Delta\phi = 0$



(b) $\mu_0 = 0.5, \mu = 0, L_m = 1, x_0 = 4.5, \Delta\phi = 0$

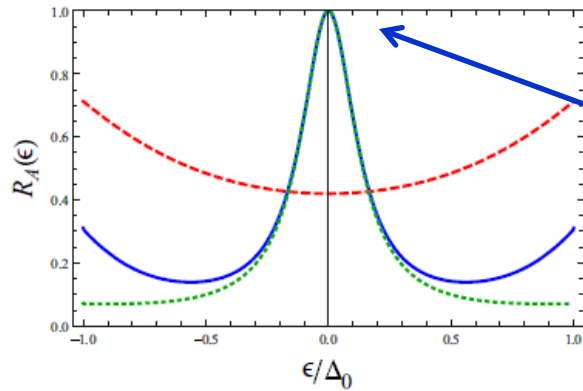


(a) $\mu_0 = 0.35, \mu = 0, L_m = 2.15, x_0 = 6$



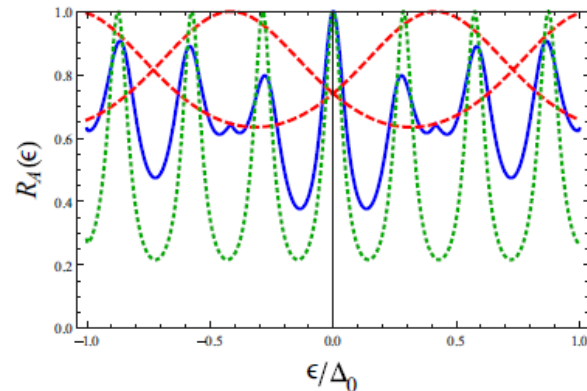
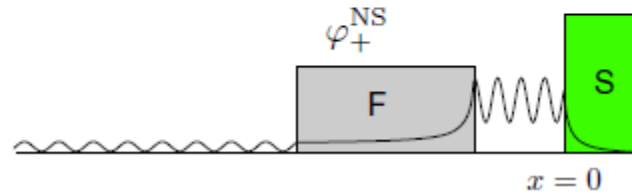
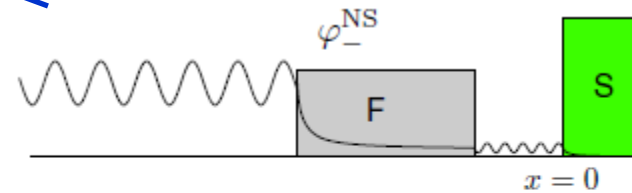
(b) $\mu_0 = 0.35, \mu = 0.16, L_m = 2.15, x_0 = 6$

Robust MBS signature



(a) $\mu_0 = 0.5, \mu = 0, L_m = 1, x_0 = 1.5, \Delta\phi = 0$

$$C\varphi_{\pm}^{NS} = \varphi_{\pm}^{NS}$$



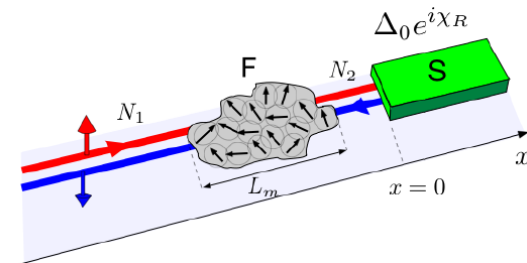
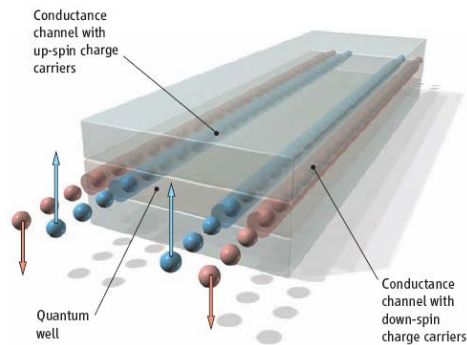
(c) $\mu_0 = 0.35, \mu = 0.3, L_m = 2.15, x_0 = 6$

Majorana bound states

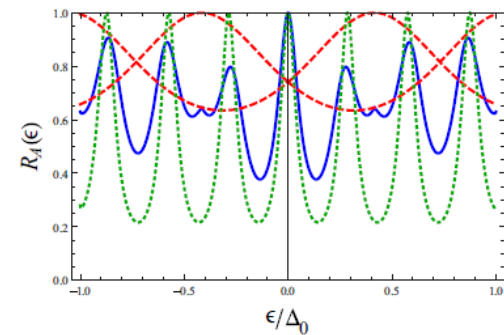
$$\varepsilon = 0$$



Summary Lecture 1



$$H_{BdG} = \begin{pmatrix} H_{0+FM}^e & \Delta(x) \sigma_0 \\ \Delta^*(x) \sigma_0 & H_{0+FM}^h \end{pmatrix}$$



(c) $\mu_0 = 0.35, \mu = 0.3, L_m = 2.15, x_0 = 6$